

Introduction to Phononic Crystals and Metamaterials

B. Djafari Rouhani

University of Lille, France

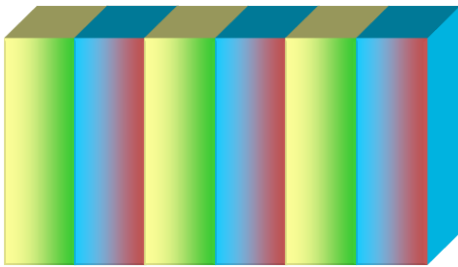
School « METAgenierie »

July 2-7, Oléron (France)

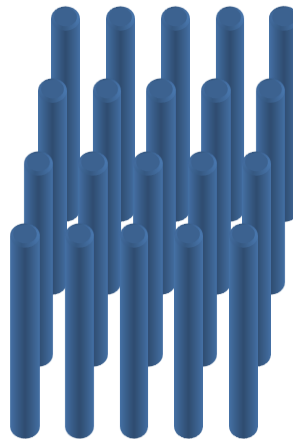
PHONONIC CRYSTALS

Heterogeneous materials whose elastic constants and density are periodic functions of the position (1D, 2D, 3D)

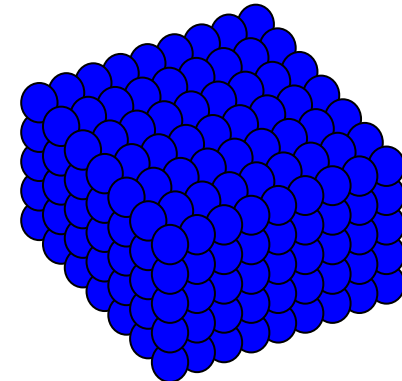
1D: Multilayers materials



2D: Array of cylinders of circular, square, cross section embedded in a matrix



3D: Array of spheres embedded in a matrix

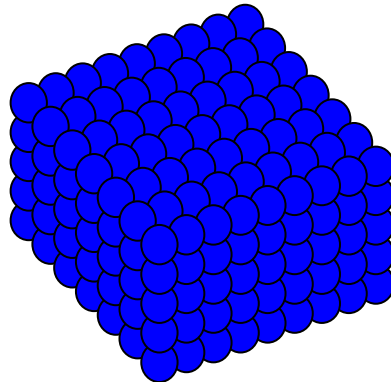
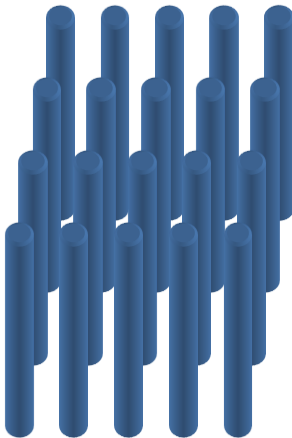
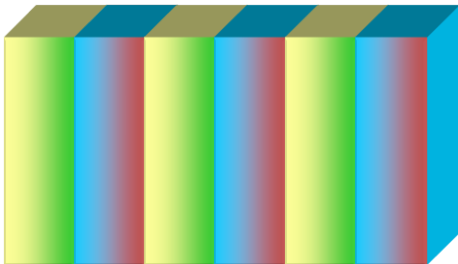


⇒ **Engineering of the band structure**, in particular **Forbidden bands for acoustic waves**

⇔ Elastic analogs of photonic crystals : Heterogeneous materials which refractive index n is a periodic function of the position

PHONONIC CRYSTALS

Periodicity → determines the properties of materials



Material	Description	Waves	Gap
Crystalline Solid	Periodic arrangement of atoms ~ 5 Å	Electrons (Ψ) Schrödinger Eq.	Absence of electron states
Photonic Crystal	Periodic modulation of ϵ μ on a macroscopic scale	EM (E B) Maxwell Eqs.	Absence of states of the EM field
Phononic Crystal	Periodic modulation of ρ λ μ on a macroscopic scale	Elastic (U) Elasticity Eqs.	Absence of states of the elastic field

Classical waves in **artificial periodic** structures:
controlling the propagation of **light** and **sound**.

METAMATERIALS

- Artificial materials with properties or wave manipulation functionalities that cannot be found in nature or realized with conventional materials
- A common feature of metamaterials is their **subwavelength characteristics**: the wavelength in the background is much larger than the size of the constituting building blocks (“meta-molecules” or unit cells)
- The functionalities arise as the collective manifestations of the internal constituent units (possibly locally resonant).
- Characteristic of metamaterials
 - ▶ Properties are based on effective parameters
 - ▶ Material response does not depend on the size and shape of the sample
 - ▶ Building blocks may display low frequency (subwavelength) resonating elements
 - ▶ Periodicity not required (although maintained in most of the structures)

Background and Motivations

1. Existence of band gaps

- ▶ Evanescent waves inside the gaps (tunneling, superluminal transmission)
- ▶ Strong confinement of waveguide and cavity modes. Filtering applications. Slow waves
- ▶ Tunable structures. Sensor applications

2. Refractive properties

- ▶ Positive and negative refraction
- ▶ Imaging and Focusing applications

3. Local resonances and metamaterials

- ▶ Sound isolation
- ▶ Effective properties. Negative dynamic mass density and compressibility. Zero index
- ▶ GRIN devices. Cloaking. Focusing and imaging (superlens, hyperlens)
- ▶ Metasurfaces. Superabsorption. Phase manipulation, control of refractive properties (space coiling)
- ▶ Active and time dependent materials . Non reciprocal behaviors

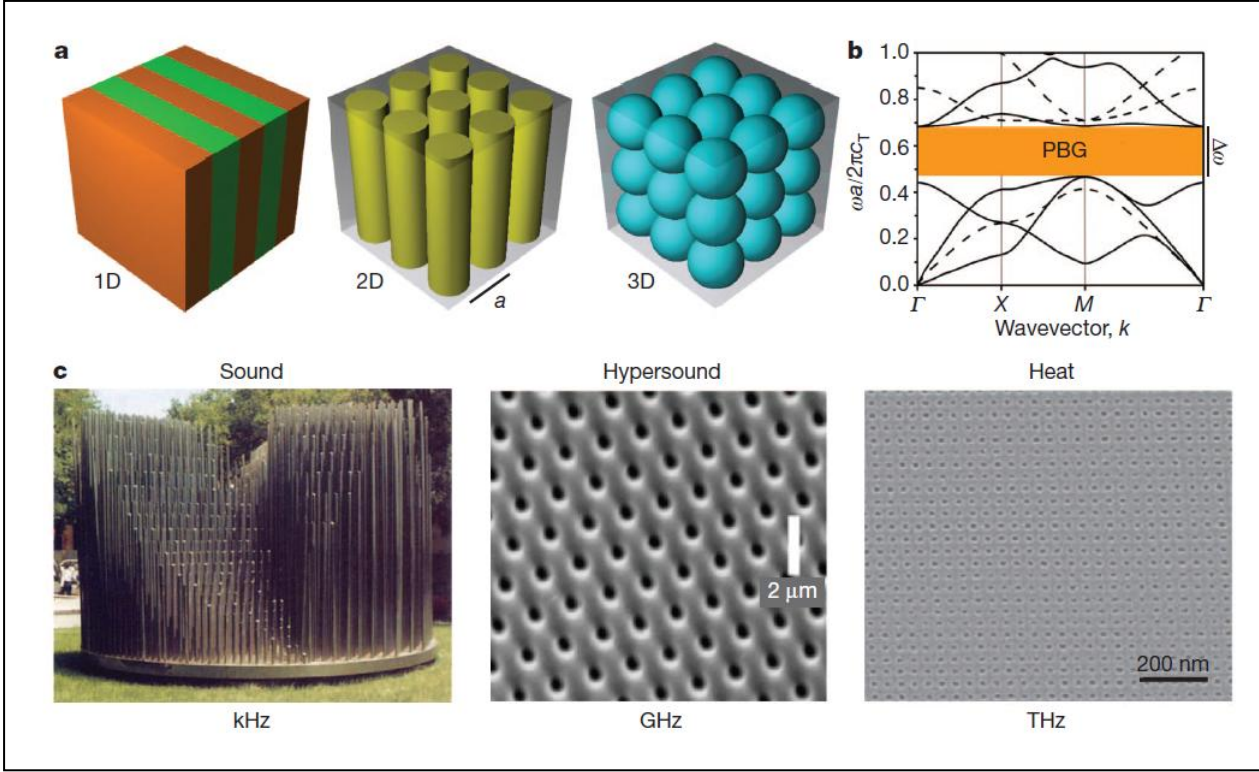
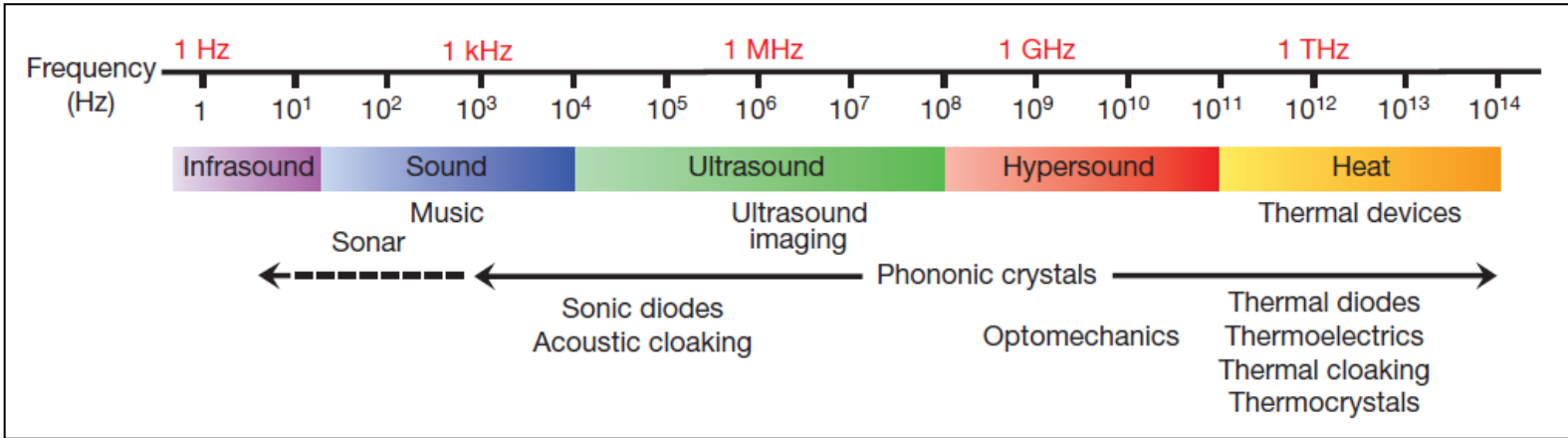
4. Dual phononic-photonic(phoXonic) crystals

- ▶ Simultaneous photonic-phononic band gaps and phonon-photon confinements
- ▶ Enhanced phonon-photon interaction. Optomechanic crystals
- ▶ Dual sensors

5. Thermal management at the nanoscale

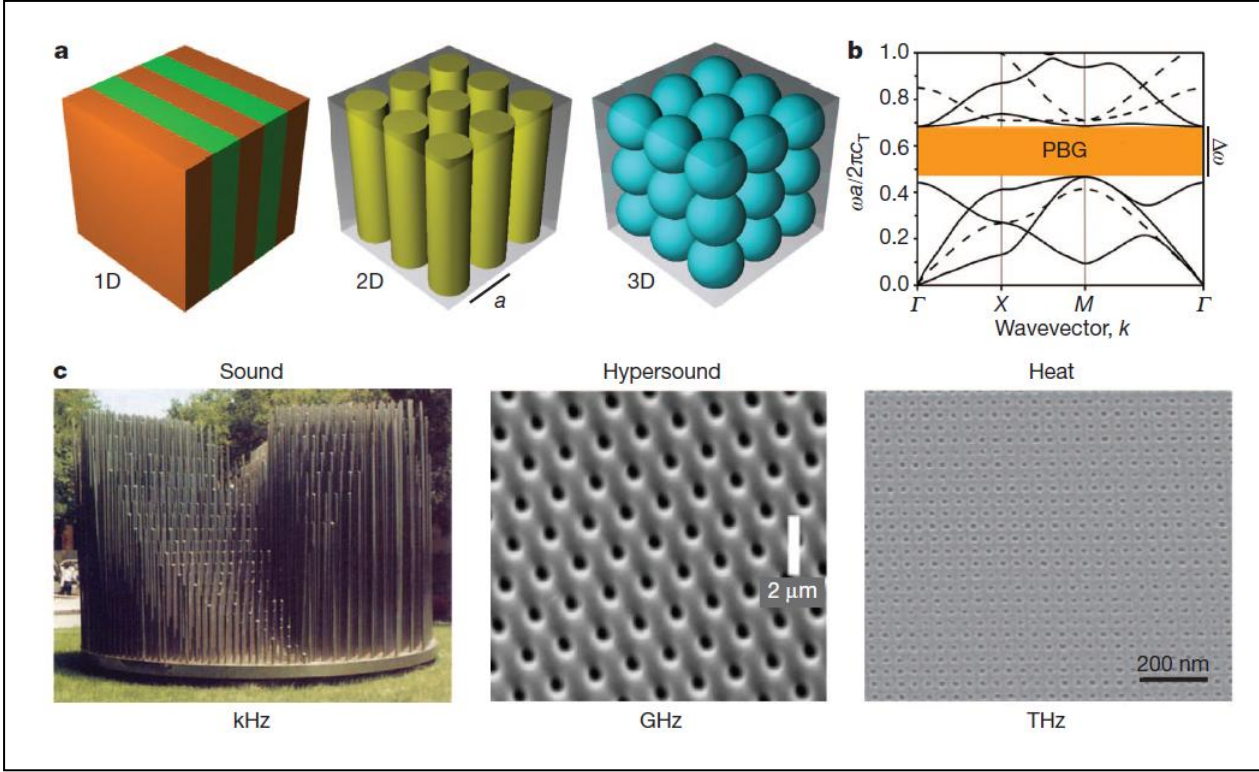
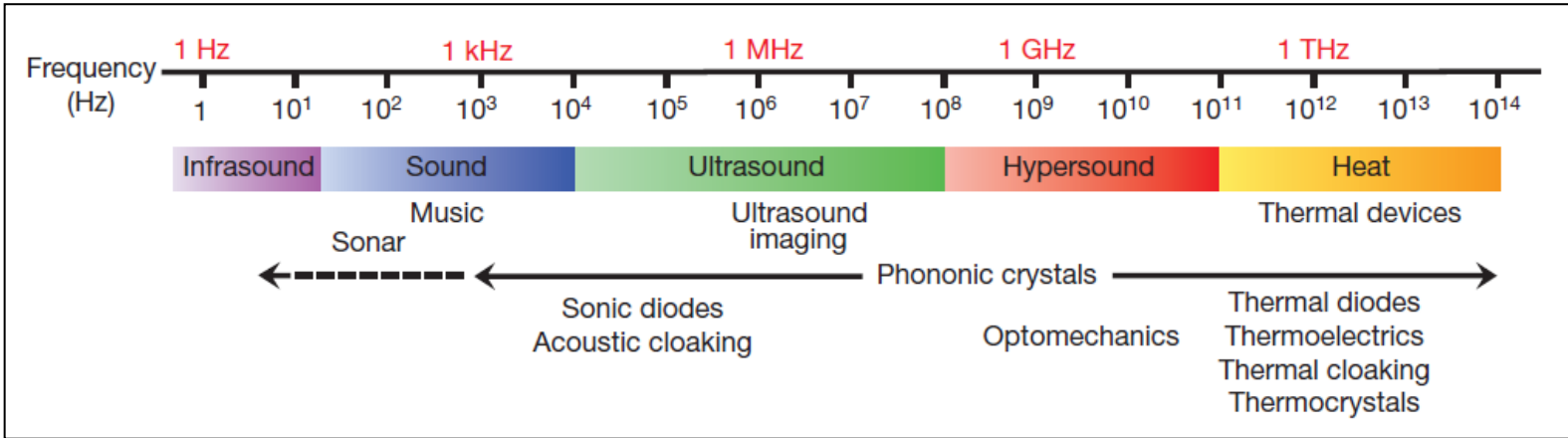
6. Emerging topics: PT symmetry, Time-space periodicity, Topological phononics

Phononic spectrum



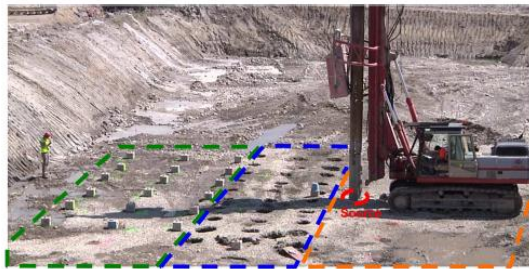
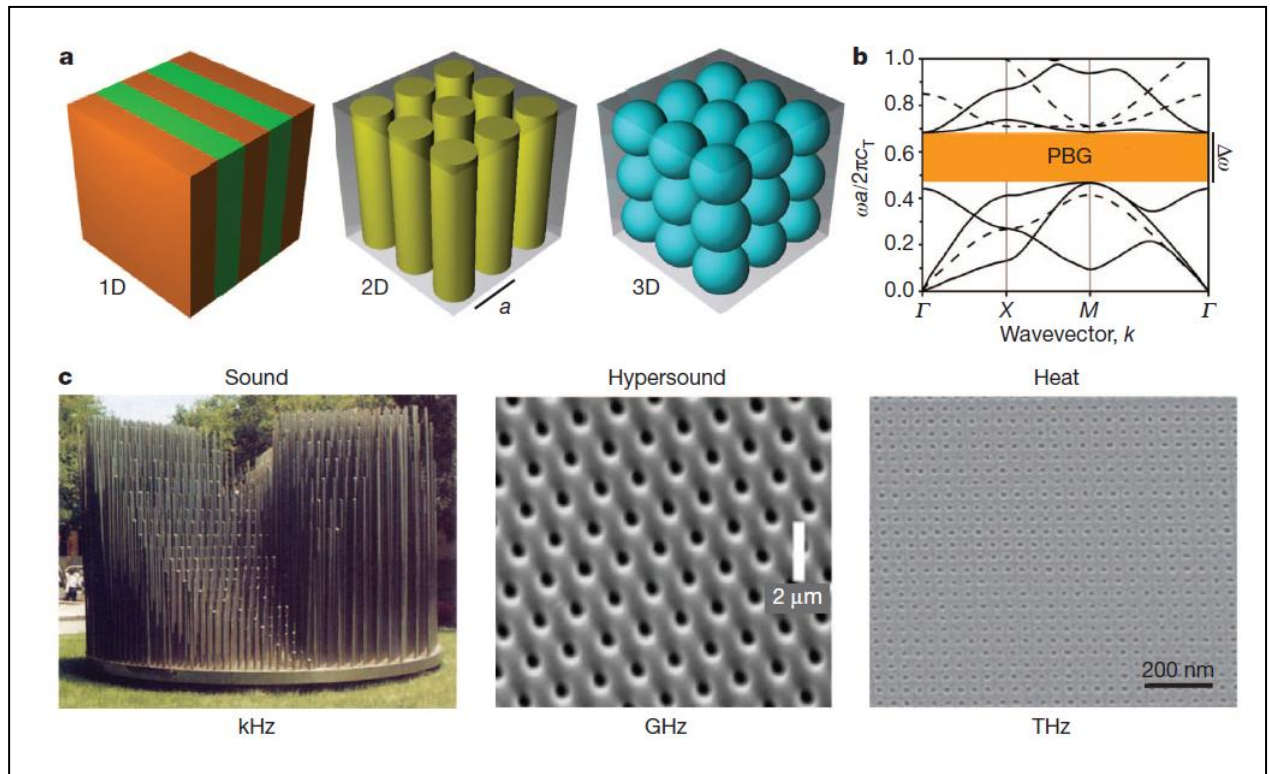
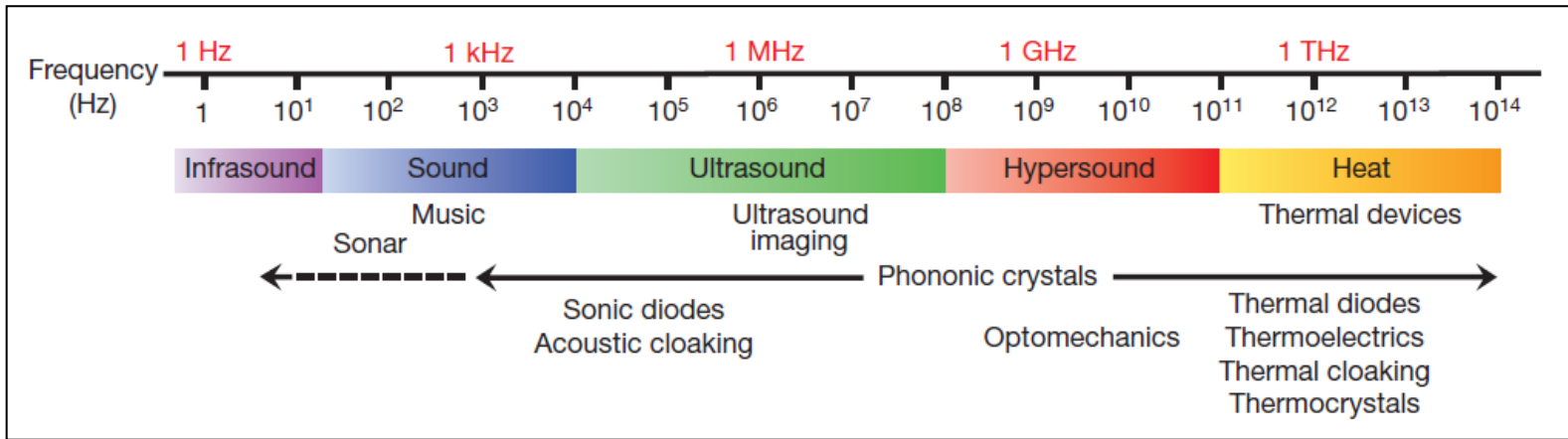
From M. Maldovan in Nature 503, 209 (2013)

Phononic spectrum



From M. Maldovan in Nature 503, 209 (2013)

Phononic spectrum



Sensitive three components velocimeters (green grid) Five meters deep 320 mm holes Source: - Frequency: 50 Hz - Horizontal displacement: 14 mm

S. Brûlé et al, Phys. Rev. Lett. 112, 133901 (2014)

From M. Maldovan in Nature 503, 209 (2013)

1. Simple analytical models to introduce basic notions

- ▶ Band gaps and localized modes associated to defects
- ▶ Zeros of transmission and Fano resonances

2. One-dimensional (1D) multilayer structures

- ▶ Theoretical methods
- ▶ Dispersion curves, band gaps and localized modes
- ▶ Transmission coefficient: tunnelling (fast) transmission and resonant (slow) transmission

3. Two-dimensional (2D) Phononic crystals

- ▶ Theoretical methods
- ▶ Dispersion curves and complete band gaps (Bragg gaps and hybridization gaps)
- ▶ Local resonances and low frequency gaps
- ▶ Waveguide and cavity modes

4. Phononic crystal slabs and nanobeams

- ▶ Array of holes in a Si membrane
- ▶ Array of pillars on a thin membrane
- ▶ Surface waves in semi-infinite phononic crystals
- ▶ Nanobeam waveguides

5. Brief overview of refractive properties

- ▶ Negative refraction and focusing
- ▶ Self-collimation and beam splitting

6. Subwavelength structures and applications of metamaterials

- ▶ Effective properties (positive and negative dynamic parameters)
- ▶ Focusing and imaging. Superlens and heperlens
- ▶ Cloaking
- ▶ GRIN devices
- ▶ Metasurfaces. Resonating units and space coiling. Absorption. Phase manipulation

7. Active materials and some emerging topics

Non reciprocal behaviors . Time-space periodicity. PT symmetry. Topological phononics.

8. Dual phononic-photonic crystals (phoXonic) and Optomechanics

- ▶ Simultaneous phononic-photonic band gaps.
- ▶ Waveguide modes. Slow and fast modes
- ▶ Enhanced phonon-photon interaction in a cavity. Comparison of photoelastic and optomechanic effects
- ▶ Phononic and Phoxonic sensors

1. Simple analytical models to introduce basic notions

- ▶ Band gaps and localized modes associated to defects
- ▶ Zeros of transmission and Fano resonances

2. One-dimensional (1D) multilayer structures

- ▶ Theoretical methods
- ▶ Dispersion curves, band gaps and localized modes
- ▶ Transmission coefficient: tunnelling (fast) transmission and resonant (slow) transmission

3. Two-dimensional (2D) Phononic crystals

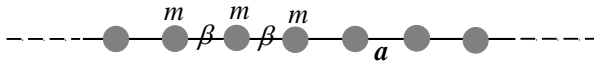
- ▶ Theoretical methods
- ▶ Dispersion curves and complete band gaps (Bragg gaps and hybridization gaps)
- ▶ Local resonances and low frequency gaps
- ▶ Waveguide and cavity modes

4. Phononic crystal slabs and nanobeams

- ▶ Array of holes in a Si membrane
- ▶ Array of pillars on a thin membrane
- ▶ Surface waves in semi-infinite phononic crystals
- ▶ Nanobeam waveguides

Analytical models with linear chains

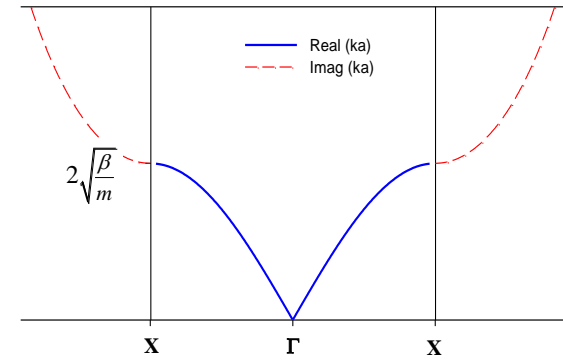
Monoatomic linear chain



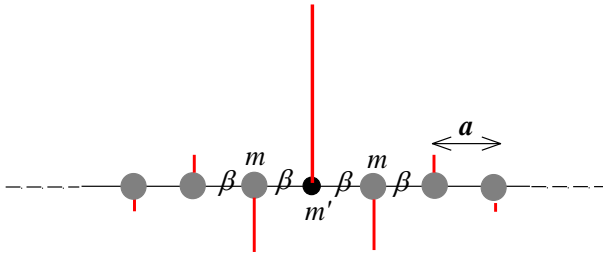
$$\frac{d^2 u_n}{dt^2} = -m \omega^2 u_n = \beta(u_{n+1} + u_{n-1} - 2u_n)$$

$$u_n(t) = A e^{i(k n a - \omega t)}$$

$$\cos(ka) = 1 - \frac{m\omega^2}{2\beta} \Rightarrow k = k' + i k''$$

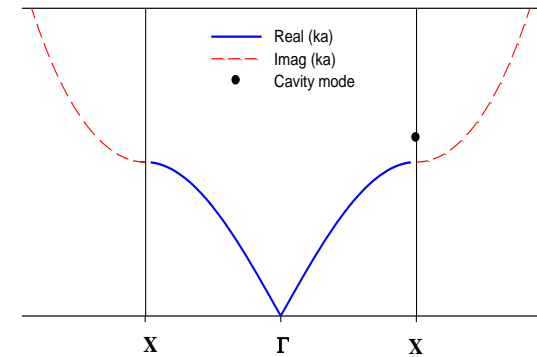


Monoatomic linear chain with a cavity

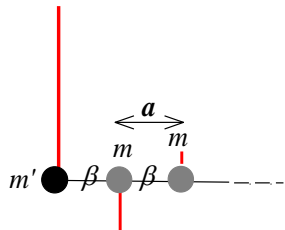


$$m' < m, \quad \omega_d = \sqrt{\frac{\beta}{m}} \frac{2m/m'}{\sqrt{2m/m'-1}}$$

$$m' = 0.5m, \quad k''a = \ln(3)$$

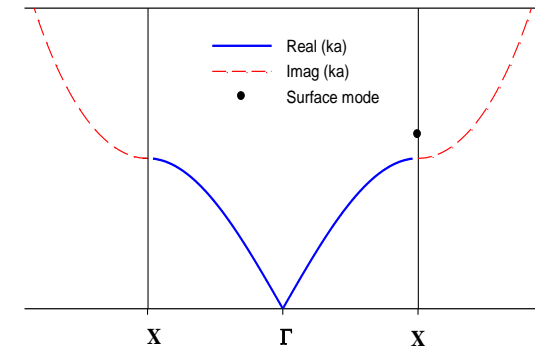


Monoatomic linear chain with a defect atom at the surface



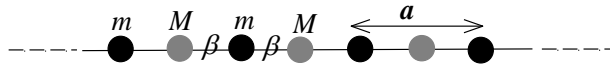
$$m' < m/2, \quad \omega_s = \sqrt{\frac{\beta}{m}} \frac{m/m'}{\sqrt{m/m'-1}}$$

$$m' = 0.25m, \quad k''a = \ln(3)$$



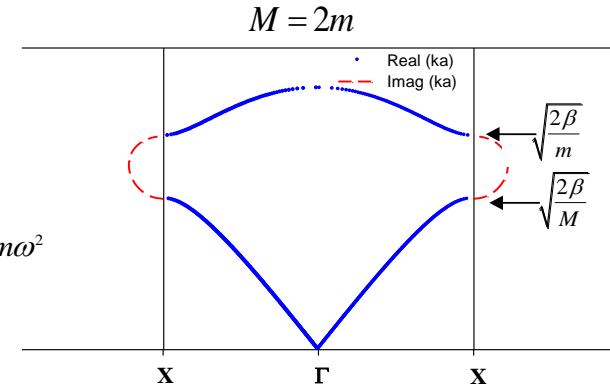
Analytical models with linear chains

Diatomic linear chain



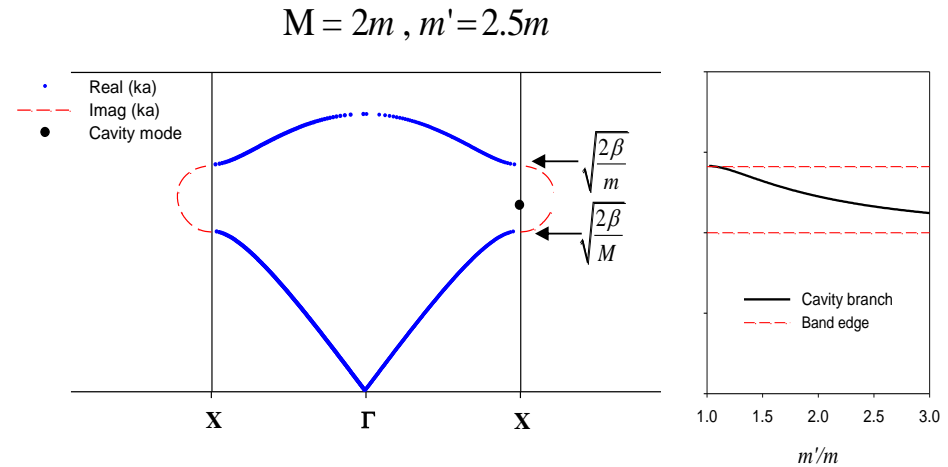
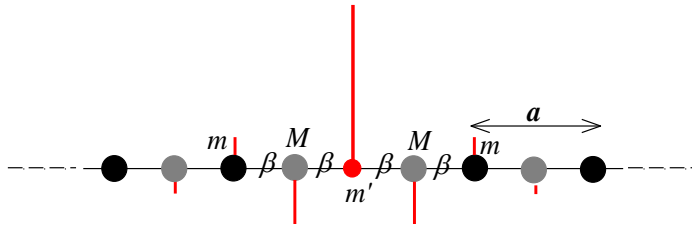
$$\cos(ka) = \frac{\gamma_1 \gamma_2}{2\beta^2} - 1$$

$$\gamma_1 = 2\beta - M\omega^2, \quad \gamma_2 = 2\beta - m\omega^2$$

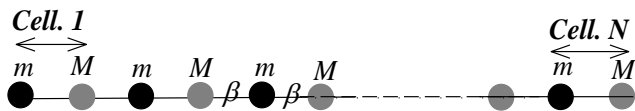


Diatomic linear chain with cavity

$$t - \frac{1}{t} + (m' - m)\omega^2 \frac{\gamma_M}{\beta^2} = 0, \quad t = e^{ika}$$



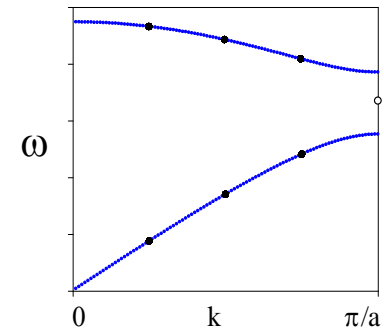
Finite chain composed of N = 4 cells



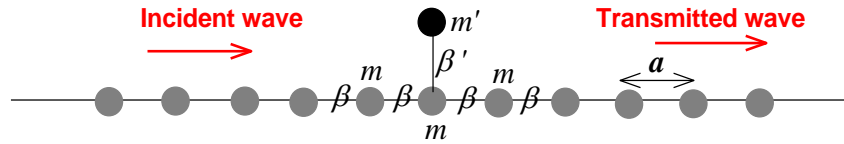
\$N-1\$ modes in each band : \$k = m\pi/Na\$ (\$m = 1, 2, \dots, N-1\$)

+

One surface mode by gap



Linear chain with attached stub



$$-m\omega^2 u_0 = \beta(u_1 + u_{-1} - 2u_0) + \beta'(v - u_0)$$

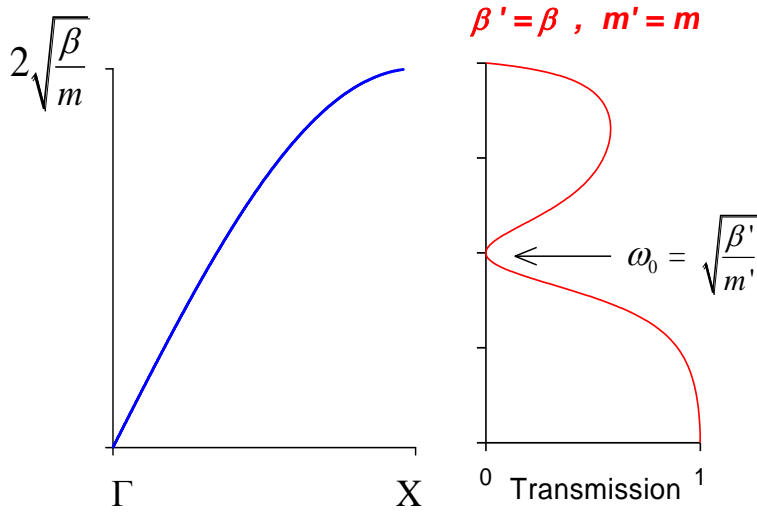
$$-m' \omega^2 v = \beta'(u_0 - v)$$

$$M(\omega) \omega^2 u_0 = \beta(u_1 + u_{-1} - 2u_0)$$

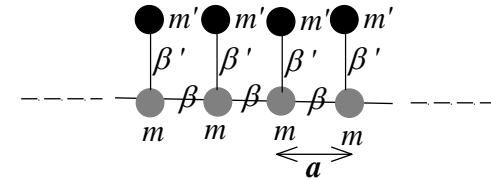
where $M(\omega) = m + \beta' m' / (\beta' - m' \omega^2)$

The system becomes equivalent to a linear chain
With a **dynamical mass defect** $M(\omega)$

$$\text{Transmission: } t = \frac{\beta(t-1/t)}{2\beta(t-1) + M(\omega)\omega^2}, \quad t = e^{ika}$$

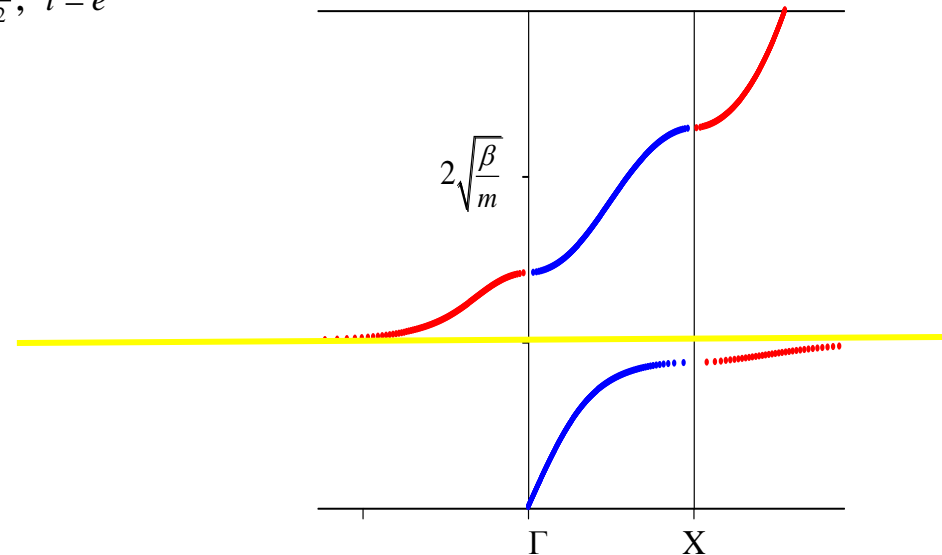


Periodic array of stubs

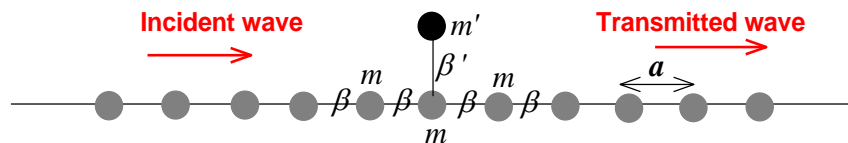


$$\cos(ka) = 1 - \frac{M(\omega)\omega^2}{2\beta}$$

Opening of a gap around ω_0
due to the local resonance



Linear chain with attached stub



$$-m\omega^2 u_0 = \beta(u_1 + u_{-1} - 2u_0) + \beta'(v - u_0)$$

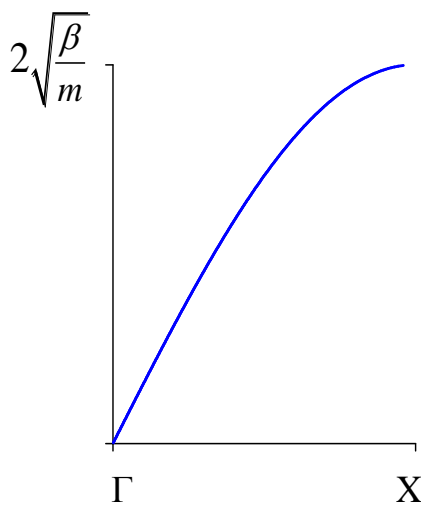
$$-m' \omega^2 v = \beta'(u_0 - v)$$

$$M(\omega) \omega^2 u_0 = \beta(u_1 + u_{-1} - 2u_0)$$

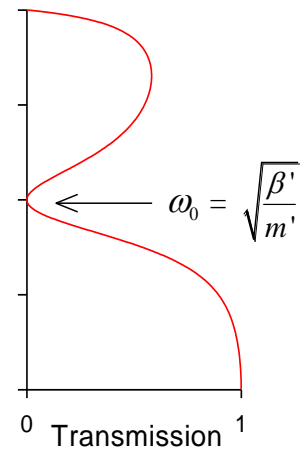
$$\text{where } M(\omega) = m + \beta' m' / (\beta' - m' \omega^2)$$

The system becomes equivalent to a linear chain
With a **dynamical mass defect** $M(\omega)$

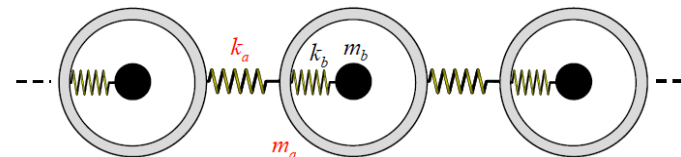
$$\text{Transmission: } t = \frac{\beta(t-1/t)}{2\beta(t-1) + M(\omega)\omega^2}, \quad t = e^{ika}$$



$$\beta' = \beta, \quad m' = m$$

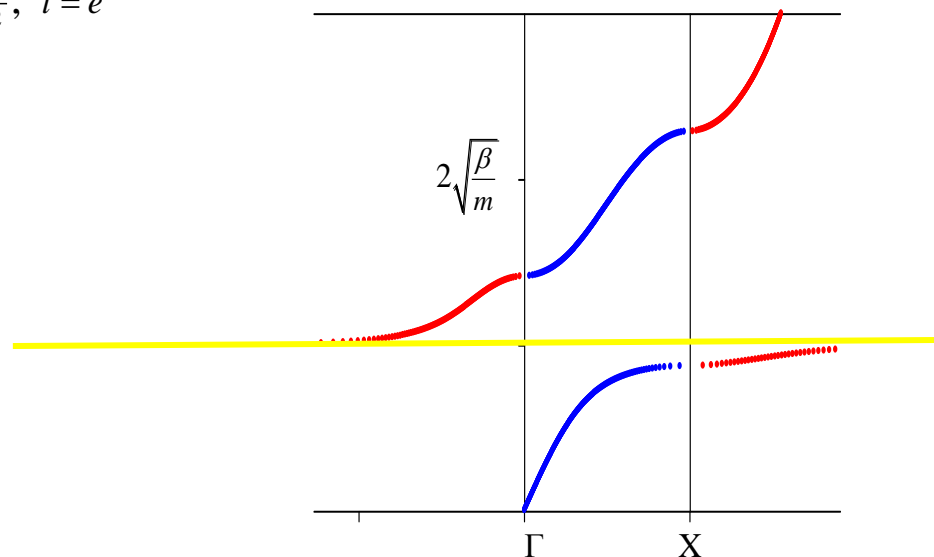


Periodic array of stubs

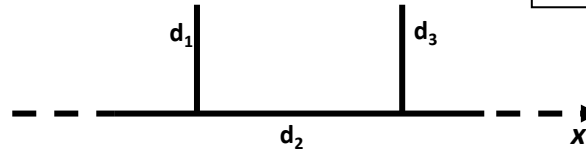


$$\cos(ka) = 1 - \frac{M(\omega)\omega^2}{2\beta}$$

Opening of a gap around ω_0
due to the local resonance

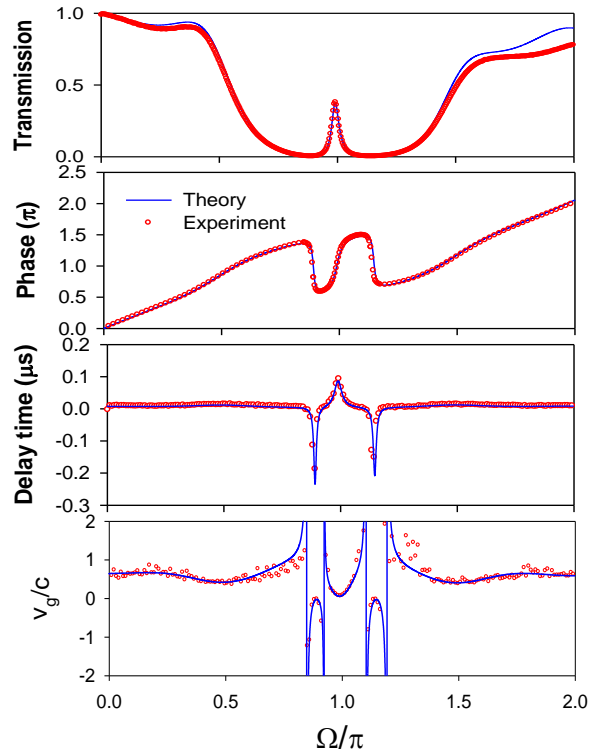


Fano resonances in a stubbed waveguide



Symmetric (EIT-like) Fano resonance

$$d_1=0.44d_2, d_3 = 0.56d_2, d_2=1\text{m}$$



Transmission coefficient following the Fano lineshape around the resonance

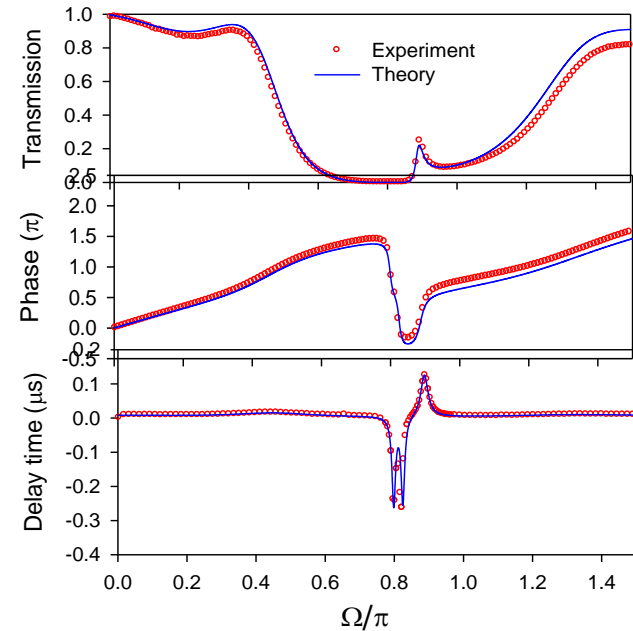
$$\Omega = \omega d_2 n / c = \pi + \varepsilon$$

$$T = A \frac{(\varepsilon + q_1 \Gamma)^2 (\varepsilon - q_2 \Gamma)^2}{\varepsilon^2 + \Gamma^2}$$

Γ , q , ε_R , q_1 and q_2 depend on the geometrical parameters d_1 , d_2 and d_3

Asymmetric Fano resonance

$$d_1=0.605d_2, d_3 = 0.625d_2, d_2=1\text{m}$$

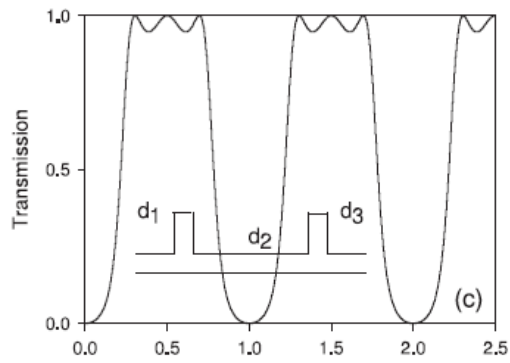


Transmission coefficient following the Fano lineshape around the resonance

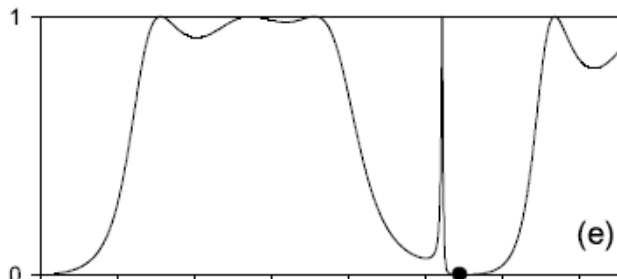
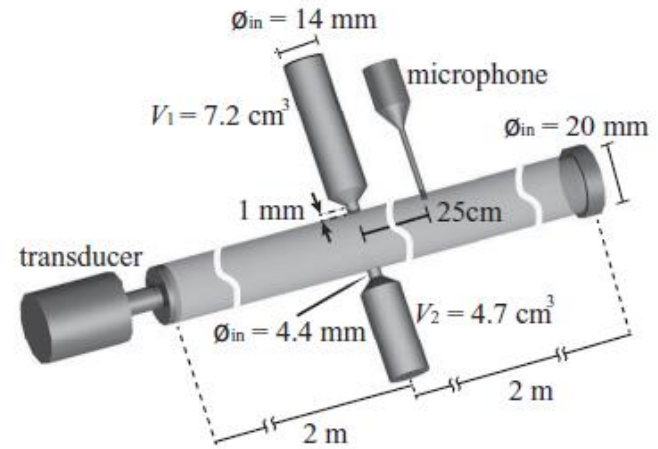
$$\Omega = \omega d_2 n / c = \pi + \varepsilon$$

$$T = B \frac{(\varepsilon - \varepsilon_R + q\Gamma)^4}{(\varepsilon - \varepsilon_R)^2 + \Gamma^2}$$

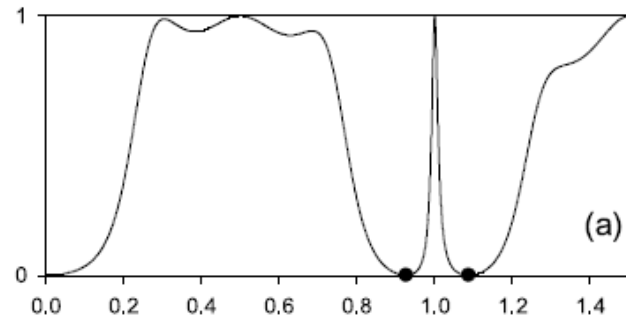
Fano and EIT resonances



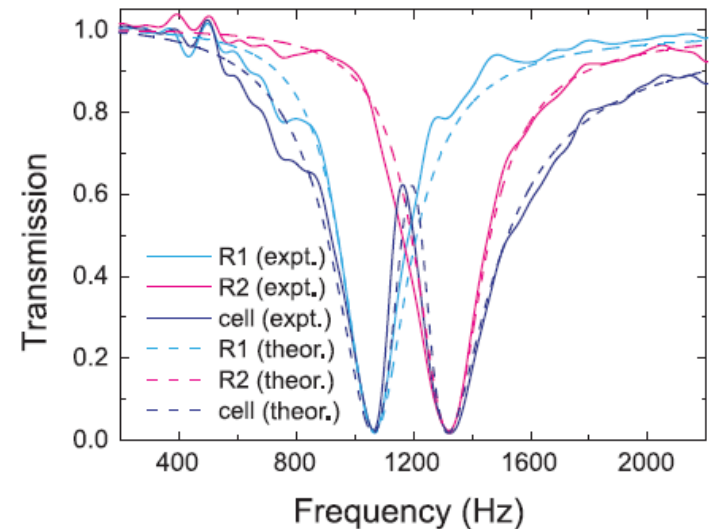
$$d_1 = d_3 = 0.5d_2$$



$$d_1 = d_3 = 0.46d_2$$



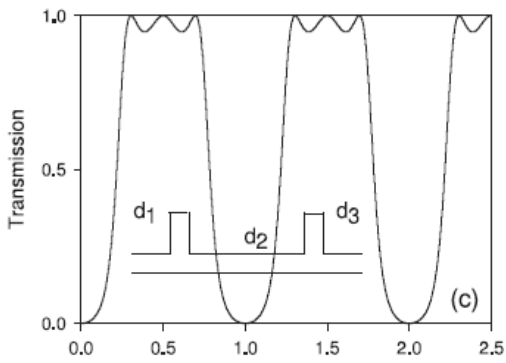
$$d_1 = 0.46d_2, d_3 = 0.54d_2$$



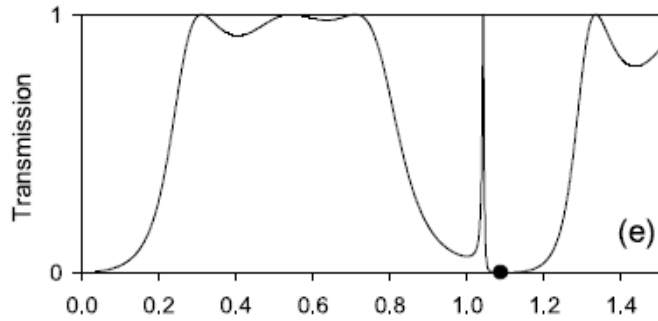
Transmission gaps and Fano resonances in an acoustic waveguide: Analytical model,
E.H. El Boudouti et al., JPCM 20, 255212 (2008)

Acoustic transparency and slow sound using detuned acoustic resonators
A. Santillan et al, Phys. Rev. B 84, 064304 (2011)

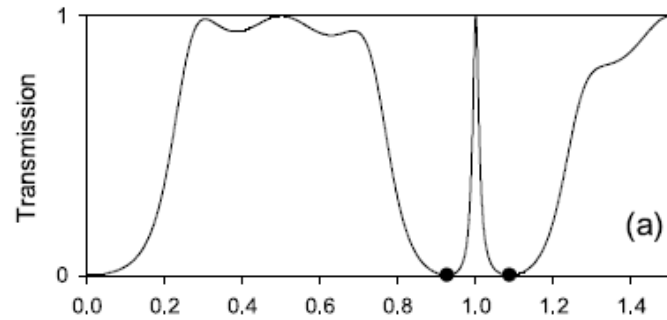
Fano and EIT resonances



$$d_1 = d_3 = 0.5d_2$$

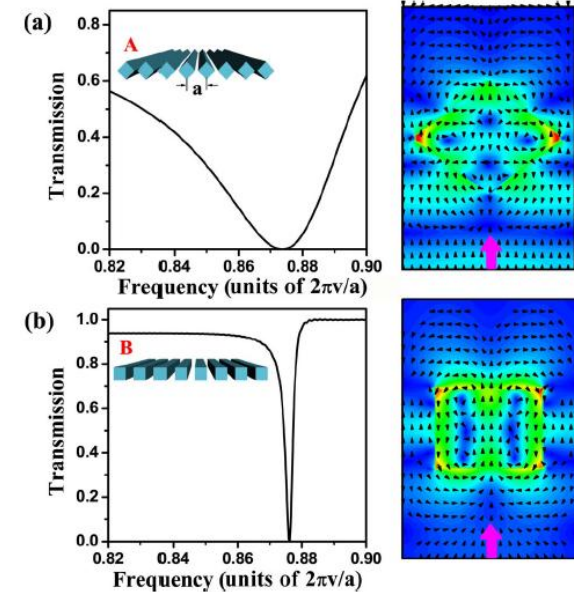
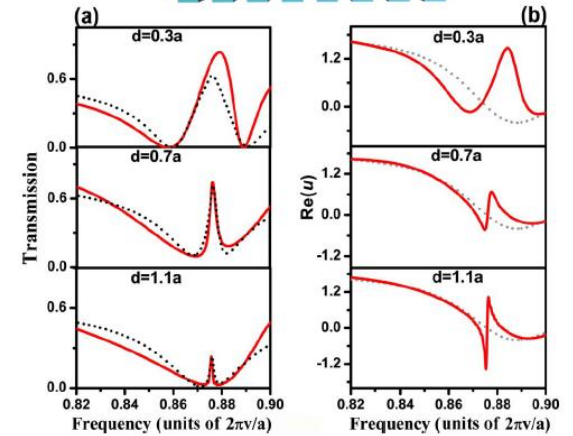
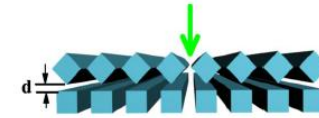


$$d_1 = d_3 = 0.46d_2$$



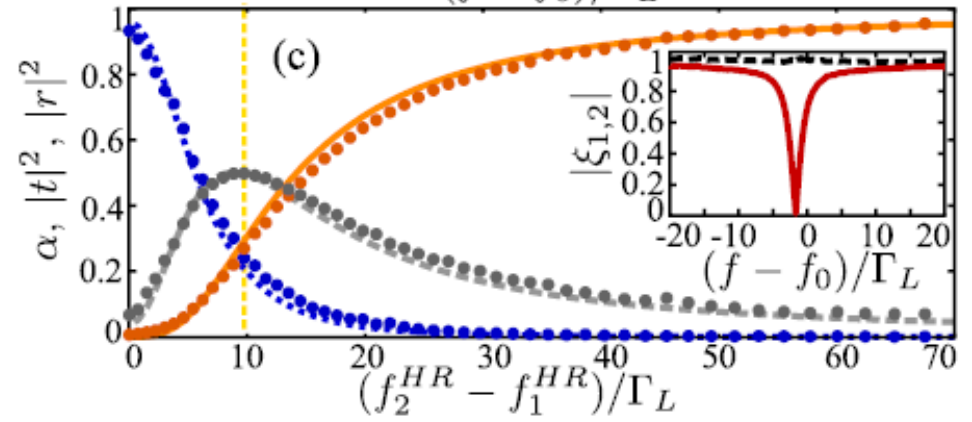
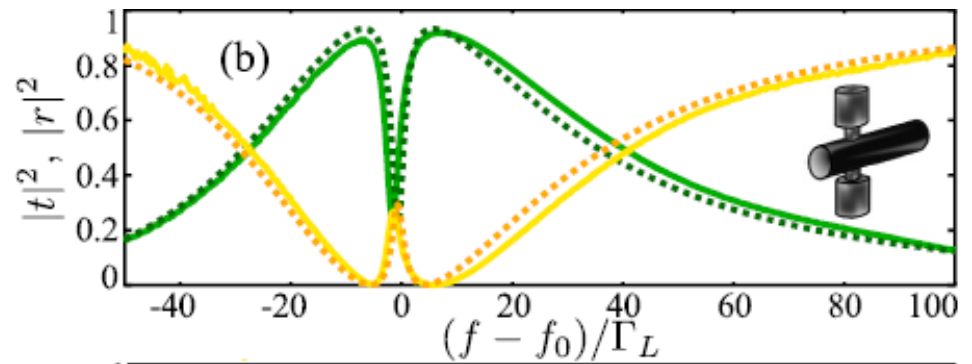
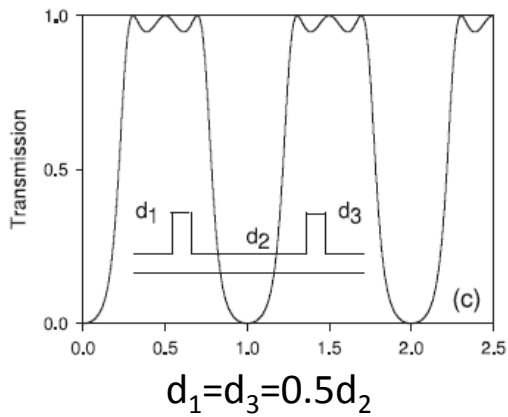
$$d_1 = 0.46d_2, d_3 = 0.54d_2$$

Transmission gaps and Fano resonances in an acoustic waveguide: Analytical model,
E.H. El Boudouti et al., JPCM 20, 255212 (2008)



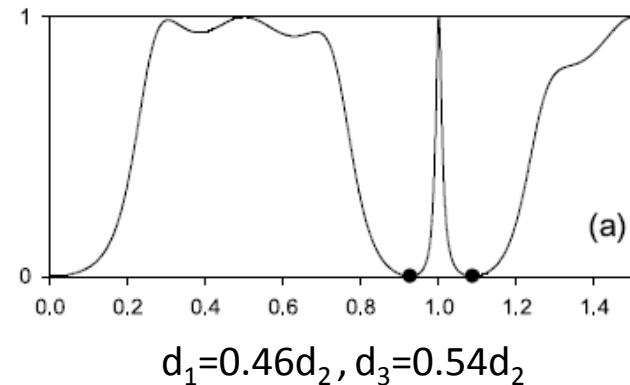
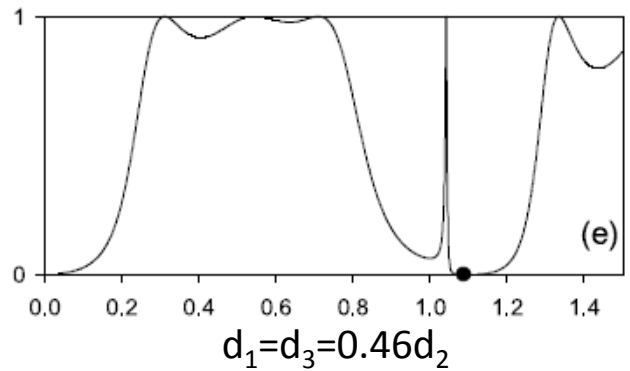
Acoustic analog of EIT in periodic arrays of square rods
F. Liu et al, Phys. Rev. B 82, 026601 (2010)

Fano and EIT resonances



Control of acoustic absorption in 1D scattering by resonant scatterers

A. Merkel, G. Theocharis, O. Richoux, V. Romero-García, and V. Pagneux, Appl. Phys. Lett. **107**, 244102 (2015)



Transmission gaps and Fano resonances in an acoustic waveguide: Analytical model, E.H. El Boudouti et al., JPCM 20, 255212 (2008)

1. Simple analytical models to introduce basic notions

- ▶ Band gaps and localized modes associated to defects
- ▶ Zeros of transmission and Fano resonances

2. One-dimensional (1D) multilayer structures

- ▶ Theoretical methods
- ▶ Dispersion curves, band gaps and localized modes
- ▶ Transmission coefficient: tunnelling (fast) transmission and resonant (slow) transmission

3. Two-dimensional (2D) Phononic crystals

- ▶ Theoretical methods
- ▶ Dispersion curves and complete band gaps (Bragg gaps and hybridization gaps)
- ▶ Local resonances and low frequency gaps
- ▶ Waveguide and cavity modes

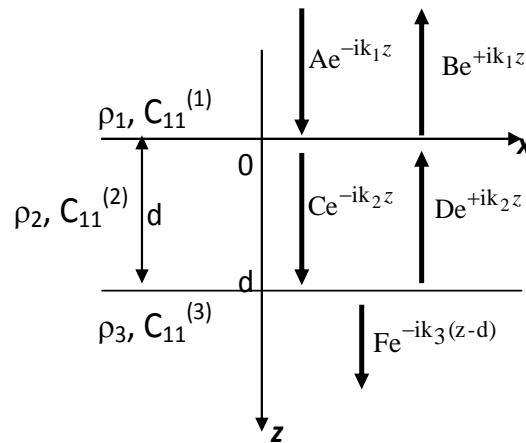
4. Phononic crystal slabs and nanobeams

- ▶ Array of holes in a Si membrane
- ▶ Array of pillars on a thin membrane
- ▶ Surface waves in semi-infinite phononic crystals
- ▶ Nanobeam waveguides

Transfer Matrix Method

Theoretical methods

Transmission across a layer 2 inserted between two substrates 1 and 3



Continuity of the displacement field $u(z)$ at $z = 0$ and $z = d$:

$$\begin{aligned} A + B &= C + D \\ C e^{-ik_2 d} + D e^{+ik_2 d} &= F \end{aligned}$$

Continuity of the stress $C_{11} \frac{du(z)}{dz}$ at $z = 0$ and $z = d$:

$$\begin{aligned} C_{11}^{(1)} k_1 (A - B) &= C_{11}^{(2)} k_2 (C - D) \\ C_{11}^{(2)} k_2 (C e^{-ik_2 d} - D e^{+ik_2 d}) &= C_{11}^{(3)} k_3 \end{aligned}$$

$$\begin{pmatrix} 1 & 1 \\ k_1 & -k_1 \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} = F T \begin{pmatrix} 1 \\ k_3 \end{pmatrix}$$

where

$$T = \begin{pmatrix} \cos(k_2 d) & \frac{i \sin(k_2 d)}{Z_2} \\ i Z_2 \sin(k_2 d) & \cos(k_2 d) \end{pmatrix}$$

T is the transfer matrix

wave vector $k_i = \frac{\omega}{v_i}$

longitudinal velocity of sound $v_i = \sqrt{\frac{C_{11}^{(i)}}{\rho_i}}$

acoustic impedance $Z_i = \rho_i v_i$

Transmission coefficient

$$t = \frac{F}{A} = \frac{4Z_1 Z_2 e^{-ik_2 d}}{(Z_1 + Z_2)(Z_1 - Z_2) + (Z_1 - Z_2)(Z_2 - Z_3)e^{-i2k_2 d}}$$

Reflection coefficient

$$r = \frac{B}{A} = \frac{(Z_1 - Z_2)(Z_2 + Z_3) + (Z_1 + Z_2)(Z_2 - Z_3)e^{-i2k_2 d}}{(Z_1 + Z_2)(Z_2 + Z_3) + (Z_1 - Z_2)(Z_2 - Z_3)e^{-i2k_2 d}}$$

Transfer Matrix Method

Theoretical methods

Periodic structure made of two layers 1 and 2

1) A **transfer matrix** can relate the amplitudes A_n, B_n of layer 1 in the cell n to the amplitudes A_{n+1}, B_{n+1} of layer 1 in the cell $n+1$

$$\begin{pmatrix} A_{n+1} \\ B_{n+1} \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} A_n \\ B_n \end{pmatrix}$$

2) **Bloch theorem:**
$$\begin{pmatrix} A_n \\ B_n \end{pmatrix} = e^{-inkD} \begin{pmatrix} A_0 \\ B_0 \end{pmatrix}$$

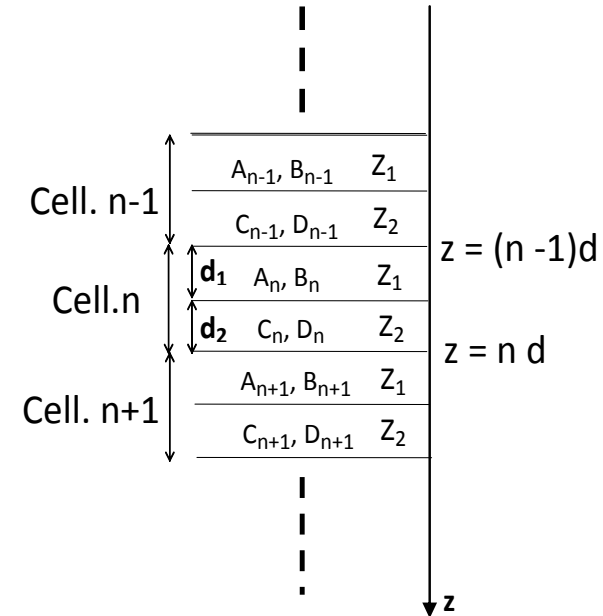
3) The **dispersion relation** are obtained by writing the boundary conditions at two consecutive interfaces

$$\cos(kD) = \cos(k_1 d_1) \cos(k_2 d_2) - 0.5 \left(\frac{Z_1}{Z_2} + \frac{Z_2}{Z_1} \right) \sin(k_1 d_1) \sin(k_2 d_2)$$

k is the Bloch wave vector and $D = d_1 + d_2$ is the period.

Allowed bands \longrightarrow k real \longrightarrow $-1 < \cos(kD) < 1$

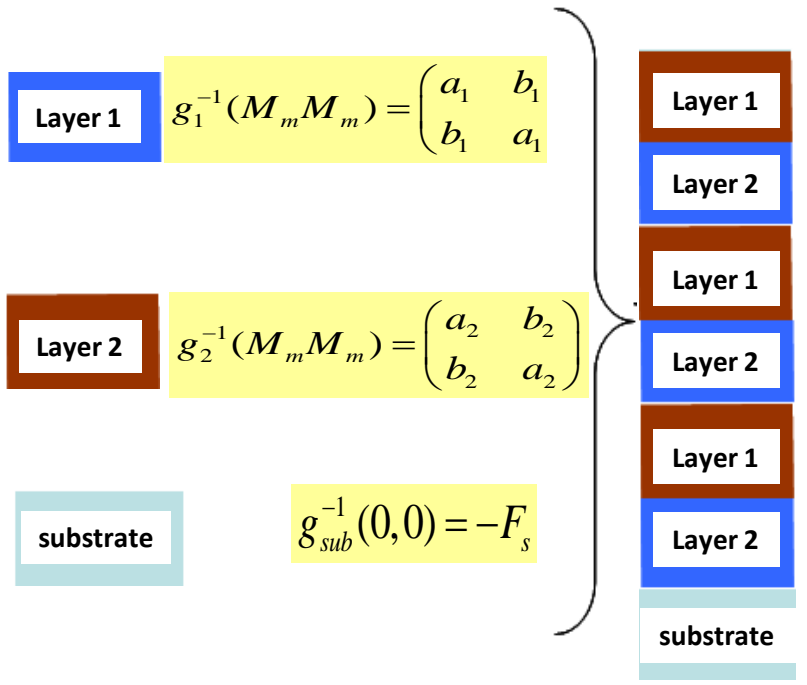
Forbidden bands \longrightarrow k complex \longrightarrow $\cos(kD) > 1$ or $\cos(kD) < -1$



Green function Method

Interface response theory

Theoretical methods



$$g_{tot}^{-1}(MM) = \begin{pmatrix} -F_{sub} + a_2 & b_2 & 0 & 0 & 0 & 0 & 0 \\ b_2 & a_1 + a_2 & b_1 & 0 & 0 & 0 & 0 \\ 0 & b_1 & a_1 + a_2 & b_2 & 0 & 0 & 0 \\ 0 & 0 & b_2 & a_1 + a_2 & b_1 & 0 & 0 \\ 0 & 0 & 0 & b_1 & a_1 + a_2 & b_2 & 0 \\ 0 & 0 & 0 & 0 & b_2 & a_1 + a_2 & b_1 \\ 0 & 0 & 0 & 0 & 0 & b_1 & a_1 \end{pmatrix}$$

M represents the space of interfaces between different layers

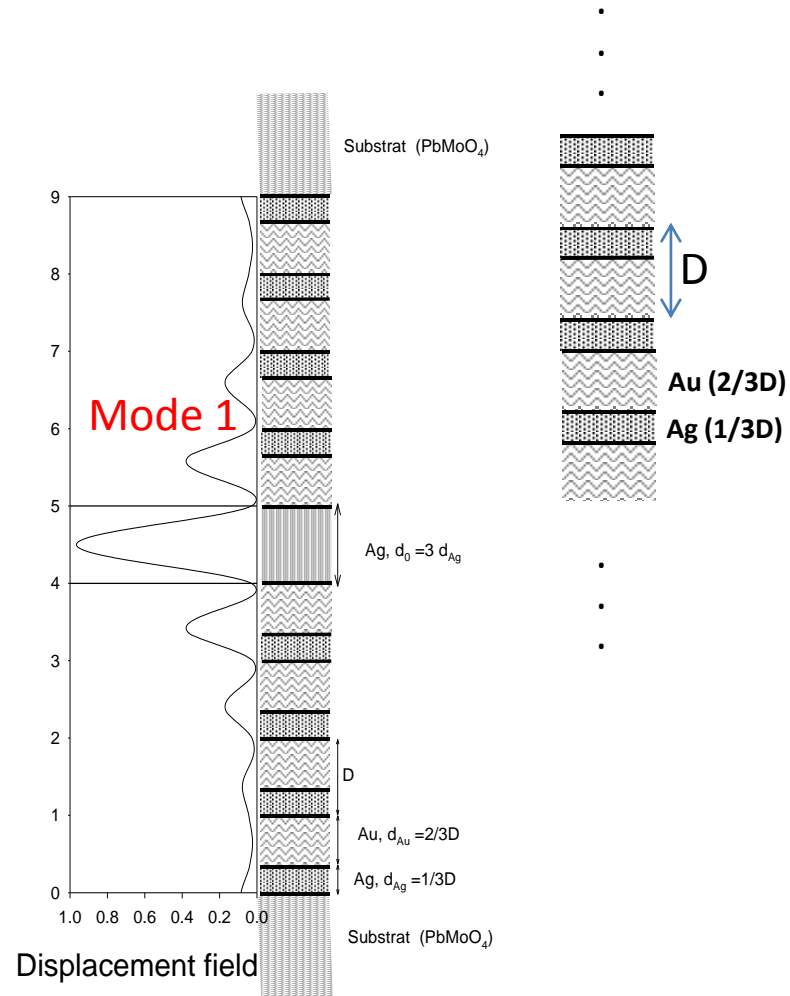
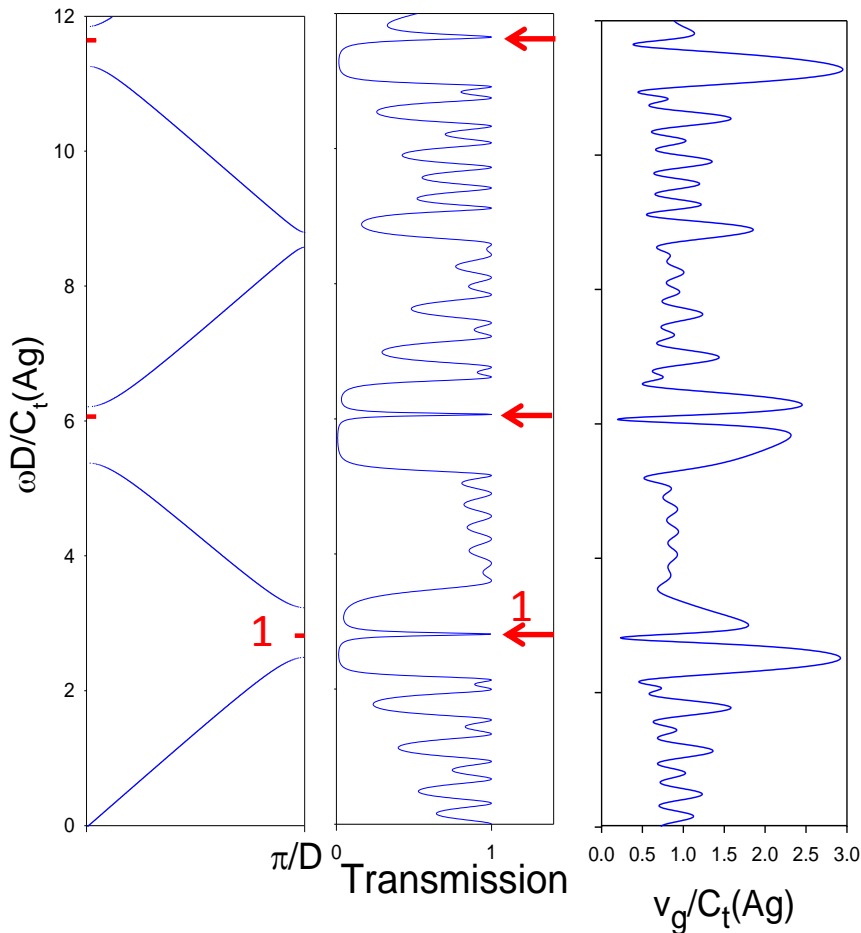
$a_i = -F_i C_i / S_i$
 $b_i = F_i / S_i$
 $F_i = -j \omega \rho_i C_{Li}$
 $C_i = \text{Cosh}(-j \omega d_i / C_{Li})$
 $S_i = \text{Sinh}(-j \omega d_i / C_{Li})$
 $i = 1, 2$

- *Local DOS = $-(1/\pi) \text{Im} [g(MM)]$ on each interface M
- *Total DOS (integrating the LDOS over the whole space)
- *Transmission and Reflection coefficients

Longitudinal acoustic waves in a superlattice

- Example of a Ag/Au superlattice
- Effect of a cavity layer

Band structure and transmission



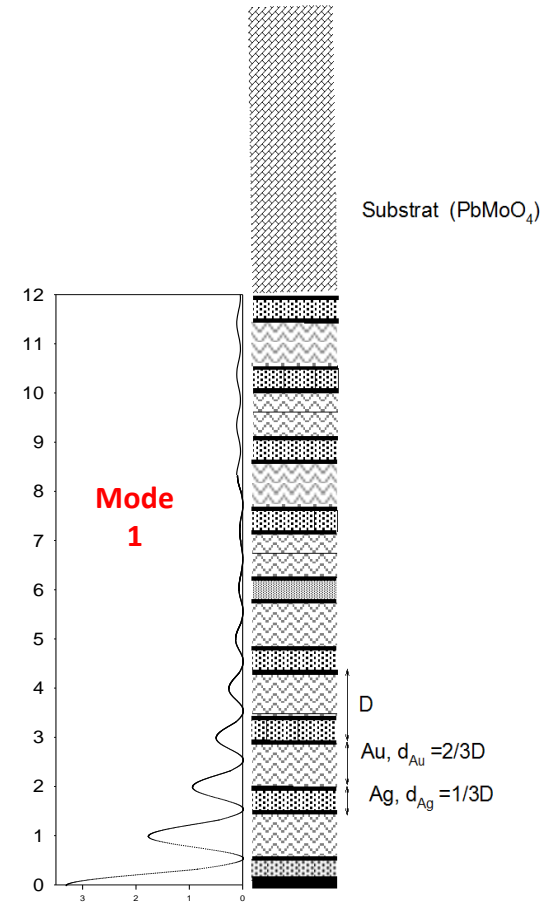
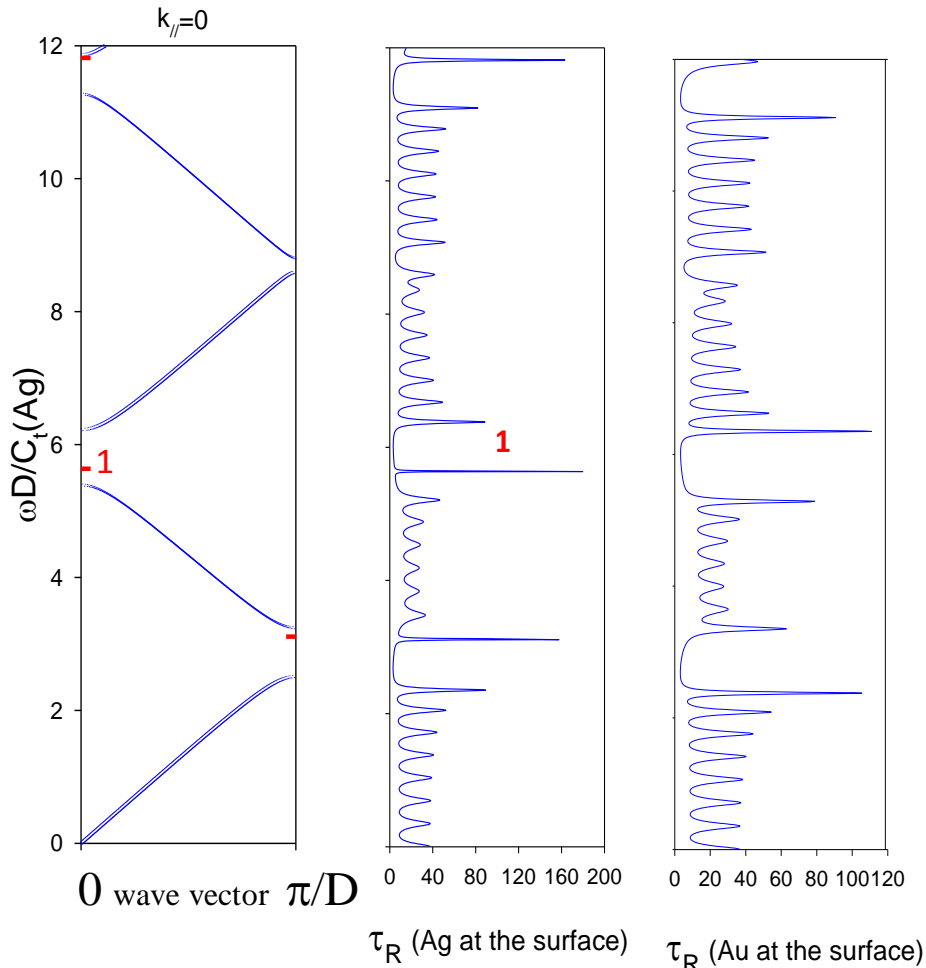
$$\cos(kD) = \cos(k_1 d_1) \cos(k_2 d_2) - 0.5 \left(\frac{Z_1}{Z_2} + \frac{Z_1}{Z_2} \right) \sin(k_1 d_1) \sin(k_2 d_2)$$

Dieleman et al. Phys. Rev. B 64, 174304 (2001)

Longitudinal acoustic waves in a superlattice

- Example of a Ag/Au superlattice
- Effect of a surface layer

Band structure and transmission



Band structure and transmission

Projected band structure

As a function of $k_{//}$

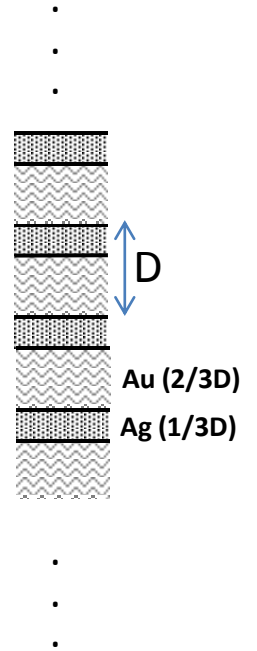
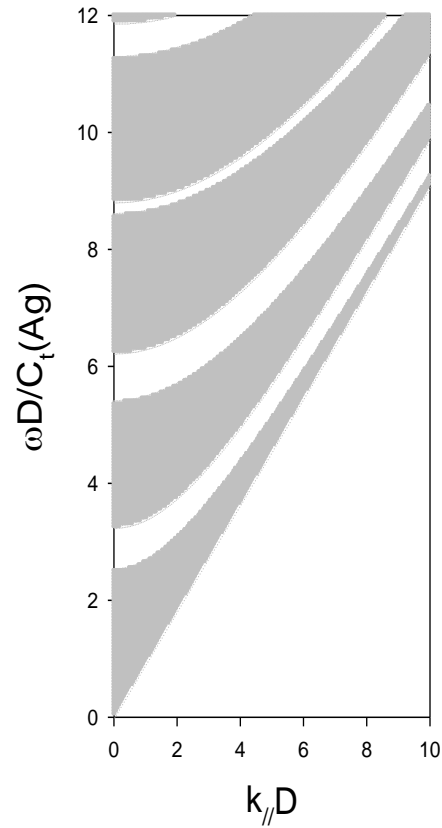
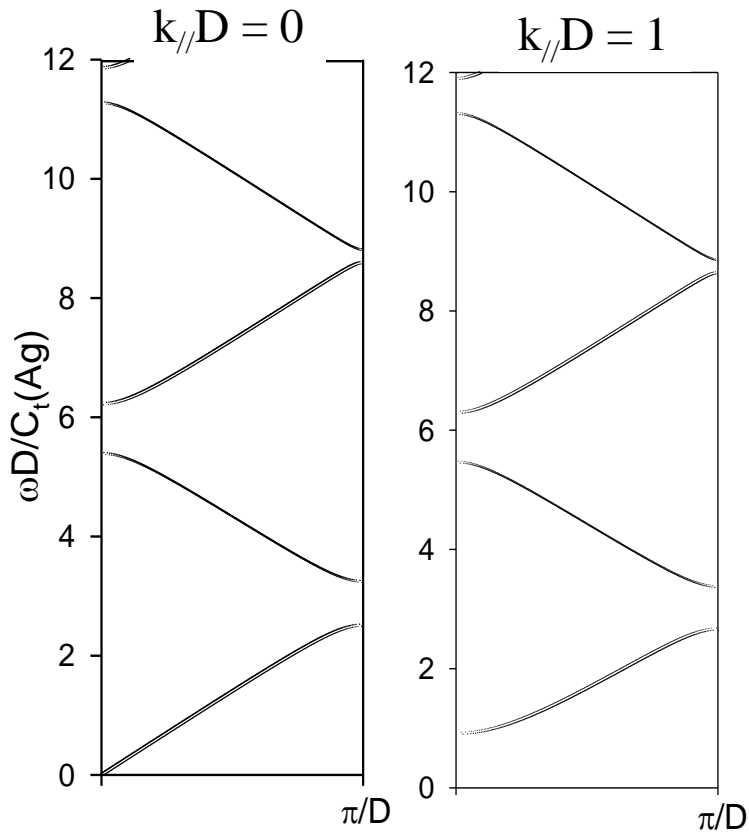
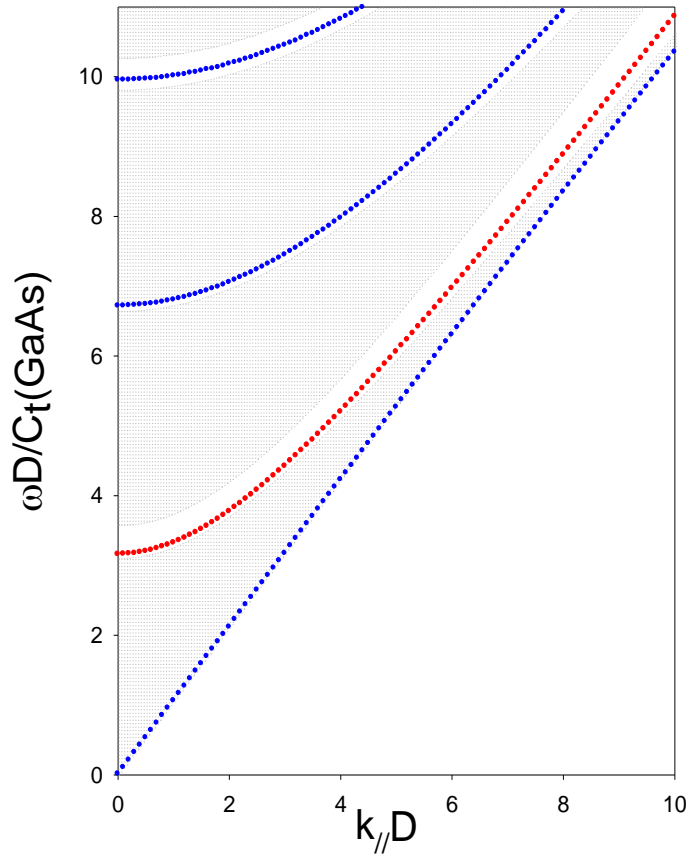


Illustration of surface modes

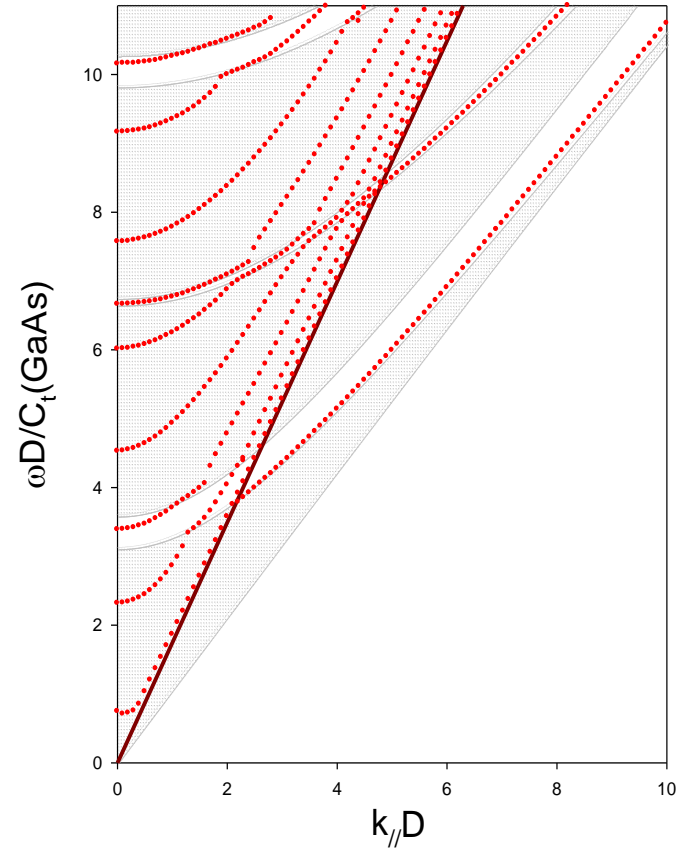
as a function of $k_{//}$ in a
GaAs-AlAs superlattice

Band structure and transmission

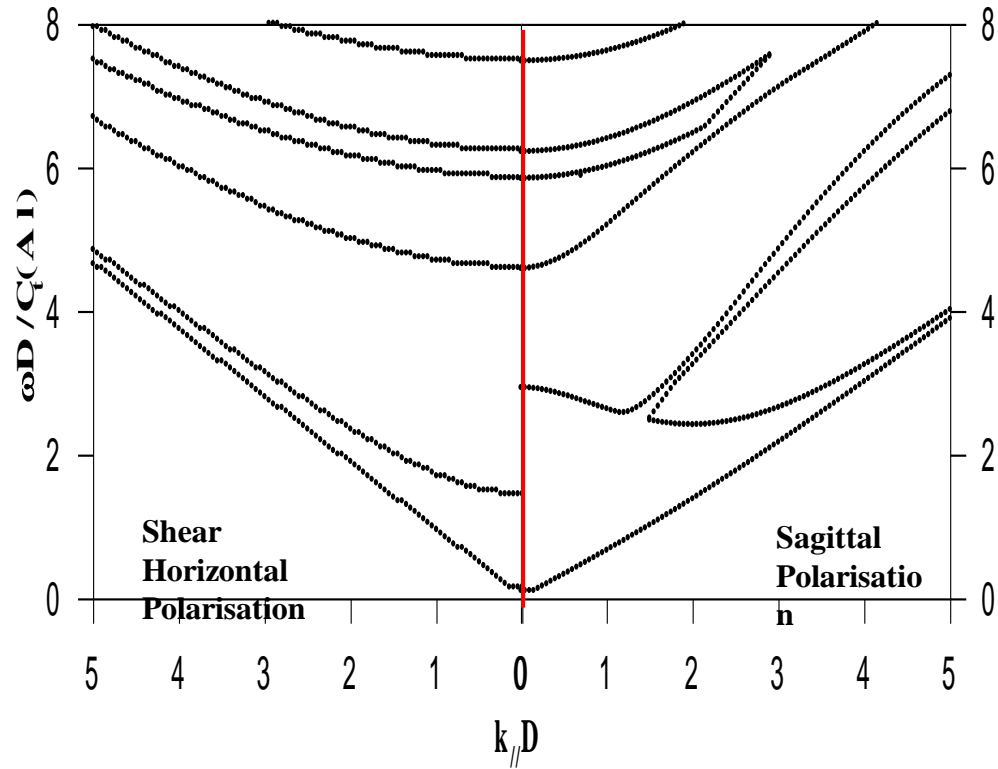


GaAs at the surface

Surface layer with thickness $d_s = 0.7 d(\text{GaAs})$
Surface layer with thickness $d_s = 0.3 d(\text{GaAs})$



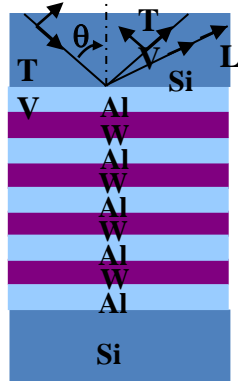
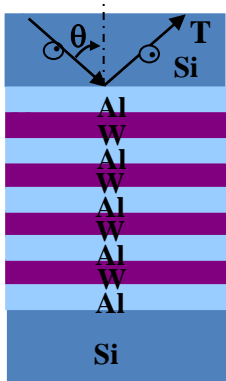
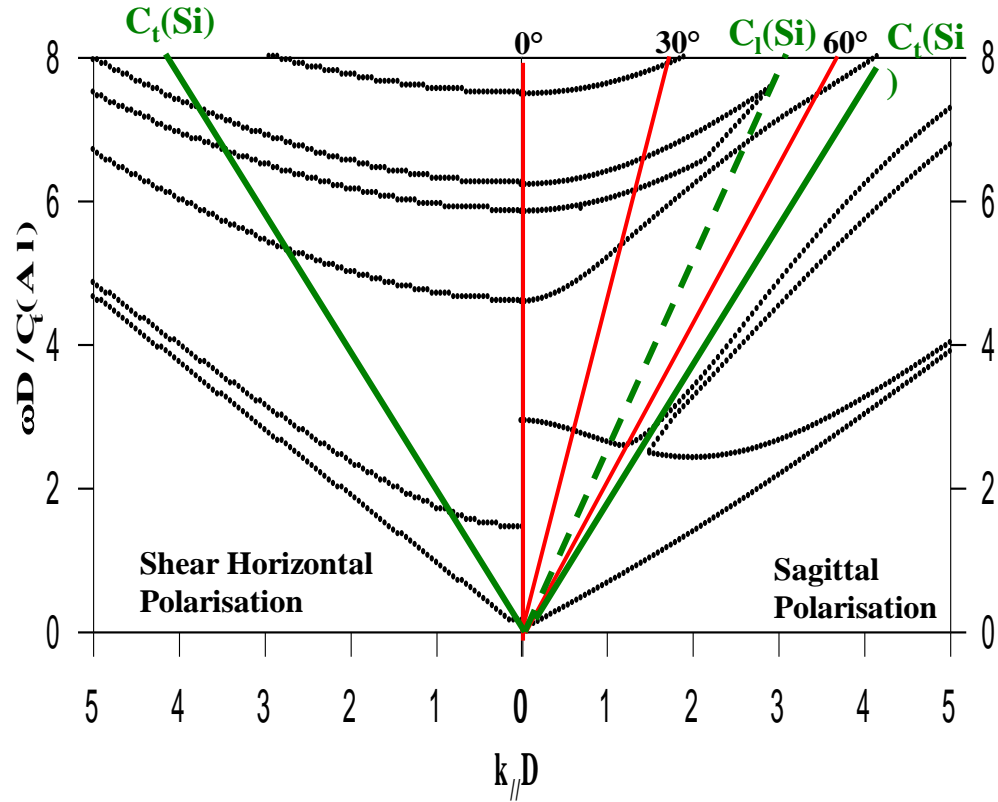
A Si layer of thickness $d_{\text{Si}} = 3D$
at the surface



Looking for an omnidirectional transmission gap

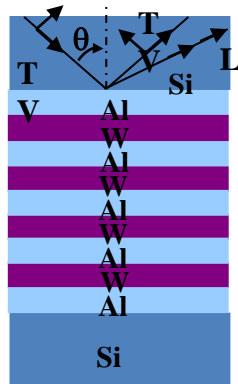
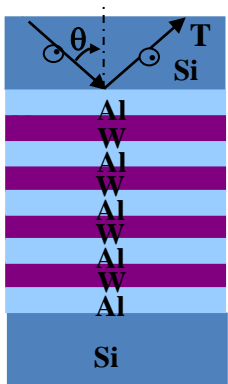
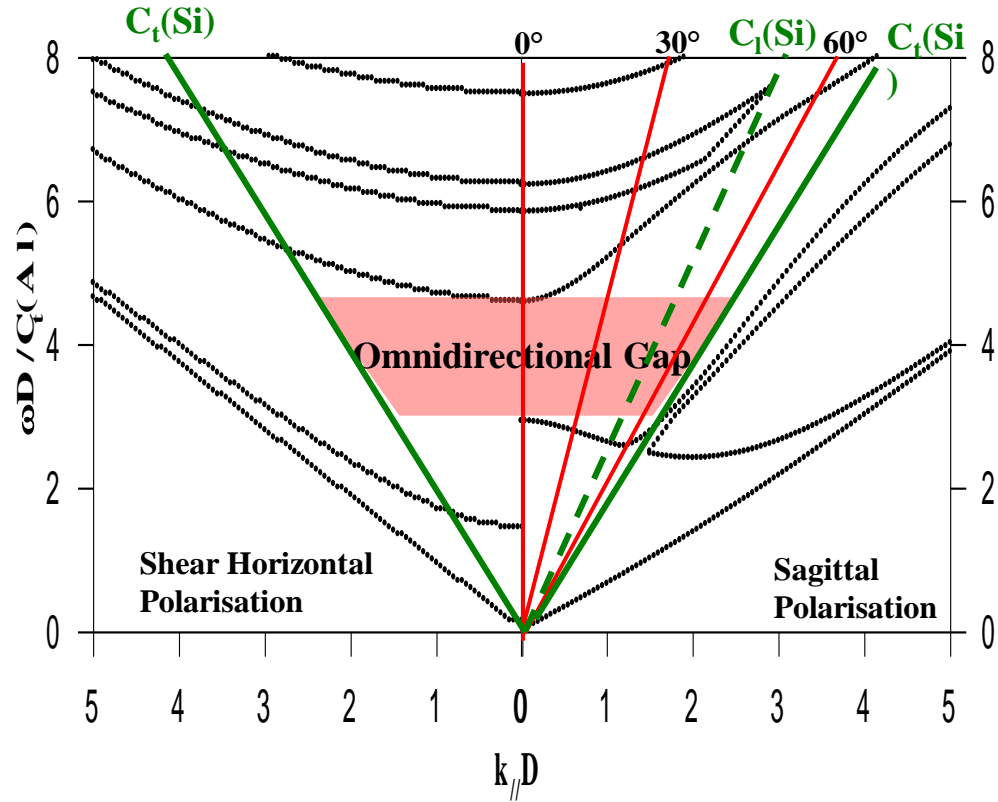
Projected band structure
Al-W superlattice

Band structure and transmission



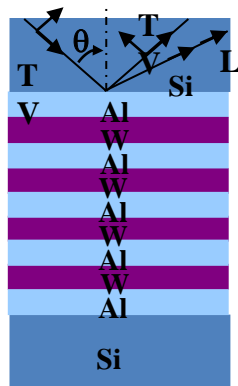
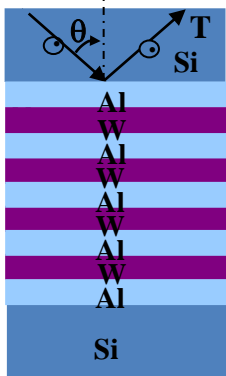
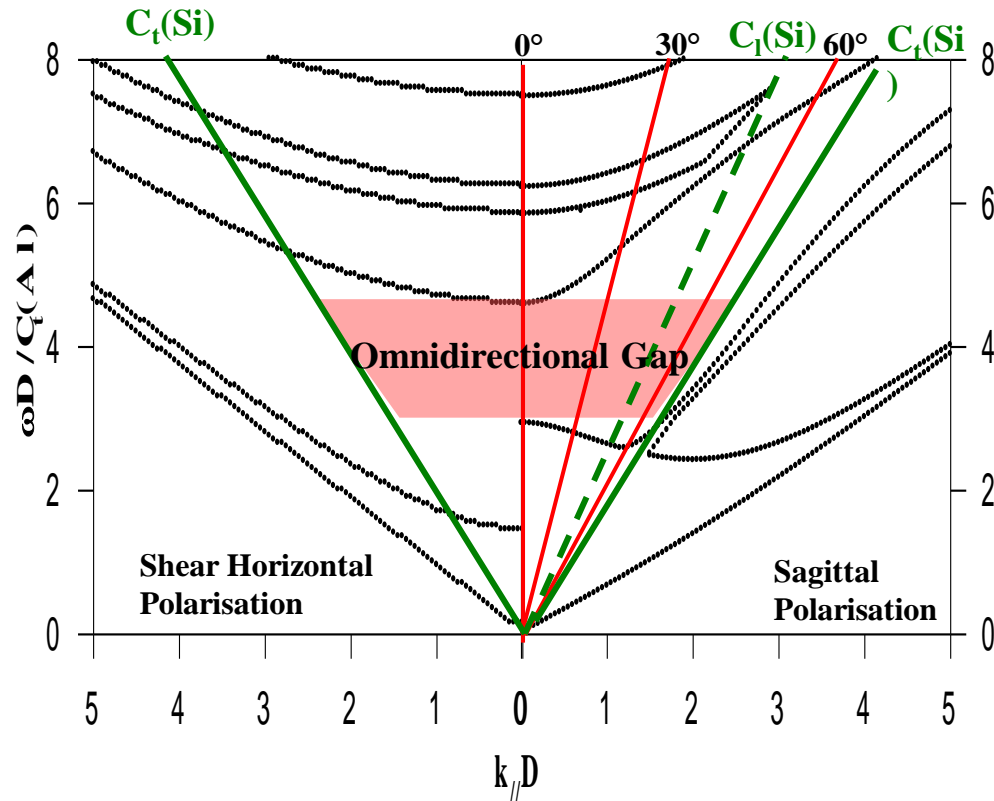
Projected band structure
Al-W superlattice

Band structure and transmission

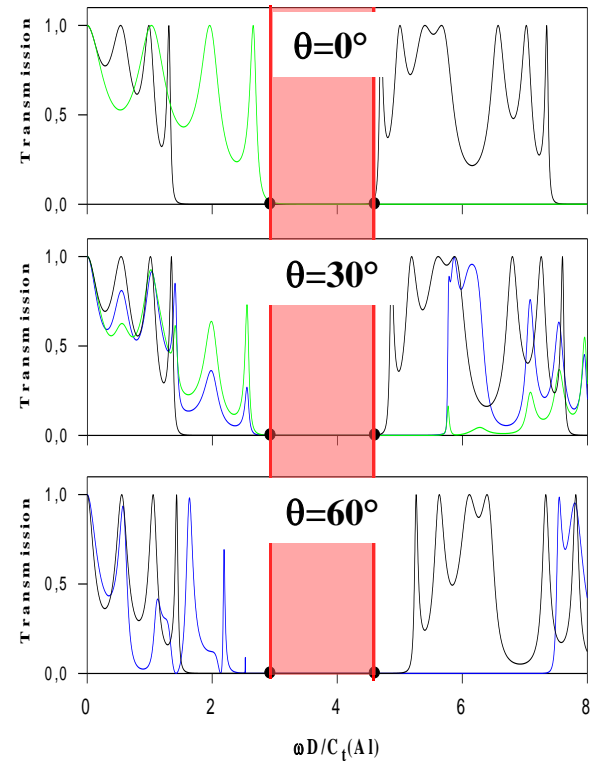


Projected band structure
Al-W superlattice

Band structure and transmission



— Polarisation TH
— Polarisation L
— Polarisation TV



Transmission coefficients at different incidence angles

1. Simple analytical models to introduce basic notions

- ▶ Band gaps and localized modes associated to defects
- ▶ Zeros of transmission and Fano resonances

2. One-dimensional (1D) multilayer structures

- ▶ Theoretical methods
- ▶ Dispersion curves, band gaps and localized modes
- ▶ Transmission coefficient: tunnelling (fast) transmission and resonant (slow) transmission

3. Two-dimensional (2D) Phononic crystals

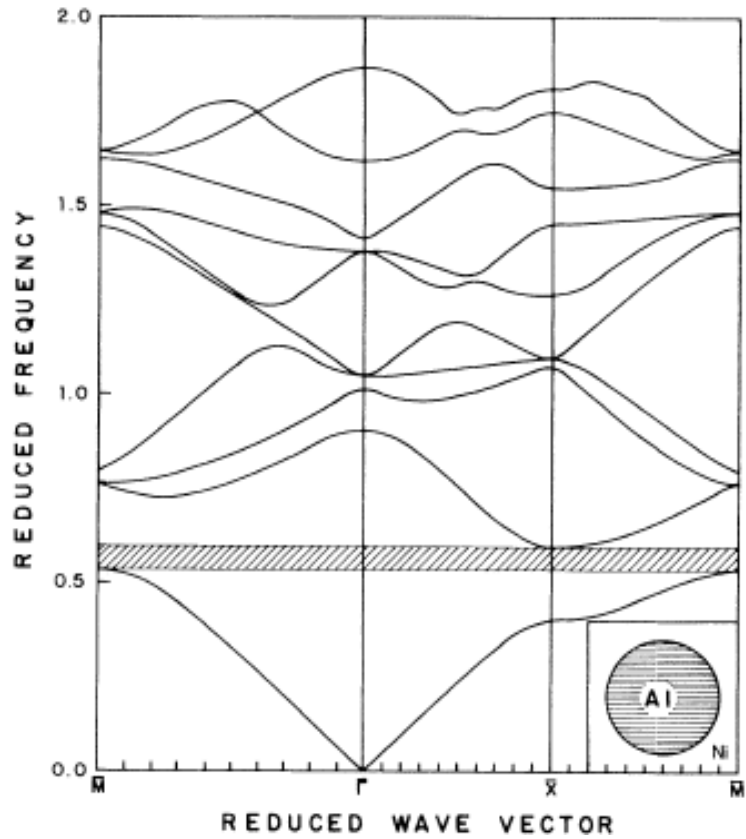
- ▶ Theoretical methods
- ▶ Dispersion curves and complete band gaps (Bragg gaps and hybridization gaps)
- ▶ Local resonances and low frequency gaps
- ▶ Waveguide and cavity modes

4. Phononic crystal slabs and nanobeams

- ▶ Array of holes in a Si membrane
- ▶ Array of pillars on a thin membrane
- ▶ Surface waves in semi-infinite phononic crystals
- ▶ Nanobeam waveguides

Introduction

Out-of-plane propagation in a 2D phononic crystal of Al cylinders in a Ni matrix. Filling fraction=40%



Eusebio Sempere's sculpture in Madrid



M.S. Kushwaha, P. Halevi, L. Dobrzynski, and B. Djafari Rouhani, Phys. Rev. Lett, 71, 2022 (1993)

R. Martínez-Sala, J. Sancho, J. V. Sánchez, V. Gómez, J. Linares & F. Meseguer, Nature, 378,241 (1995)

Equations of motion:

$$\rho \frac{\delta^2 u_i}{\delta t^2} = \sum_j \frac{\delta \sigma_{ij}}{\delta x_j} = \sum_{j,kl} C_{ijkl} \frac{\delta u_k}{\delta x_l}$$

Most Usual Methods

- ✓ PWE (Plane Wave Expansion)
- ✓ FDTD (Finite Difference Time Domain)
- ✓ FEM (Finite Element Method)
- ✓ MST (Multiple Scattering Theory)

Main calculated properties

- ✓ Dispersion
- ✓ Transmission
- ✓ Reflection
- ✓ Map of the fields

Symbolic equations in 1D:

$$\rho \frac{\delta^2 u}{\delta t^2} = \frac{\delta \sigma}{\delta x} = \frac{\delta}{\delta x} \left(C \frac{\delta u}{\delta x} \right)$$

Equation of motion

$$\rho \frac{\delta^2 u}{\delta t^2} = \frac{\delta}{\delta x} \left(C \frac{\delta u}{\delta x} \right)$$

$$\rho(x) = \sum_{G=m\frac{2\pi}{a}} \rho_G e^{iGx}$$

$$C(x) = \sum_{G=m\frac{2\pi}{a}} C_G e^{iGx}$$

Fourier series of periodic functions
+
Bloch theorem

$$u_k(x, t) = U_k(x) e^{i(kx - \omega t)} = \sum_G U_G e^{i((k+G)x - \omega t)}$$

Inserting the functions into the equation of motion, we obtain for each Fourier component:

$$\forall G: \quad -\omega^2 \sum_{G'} \rho_{G-G'} U_{G'} = \sum_{G'} C_{G-G'} (k + G)(k + G') U_{G'}$$

This can be written in the following matrix form:

$$\vec{U} = \begin{pmatrix} \dots \\ U_G \\ \dots \end{pmatrix} \rightarrow -\omega^2 \overset{\leftrightarrow}{M} \vec{U} = \overset{\leftrightarrow}{C} \vec{U} \rightarrow \text{Eigenvalue equation} \rightarrow \omega^2 = f(k)$$

Another formulation where k is calculated as a function of ω

$$k^2 \vec{N}_1 \vec{U} + k \vec{N}_2 \vec{U} + N_3(\omega) \vec{U} = 0$$

Construct a double-sized matrix:

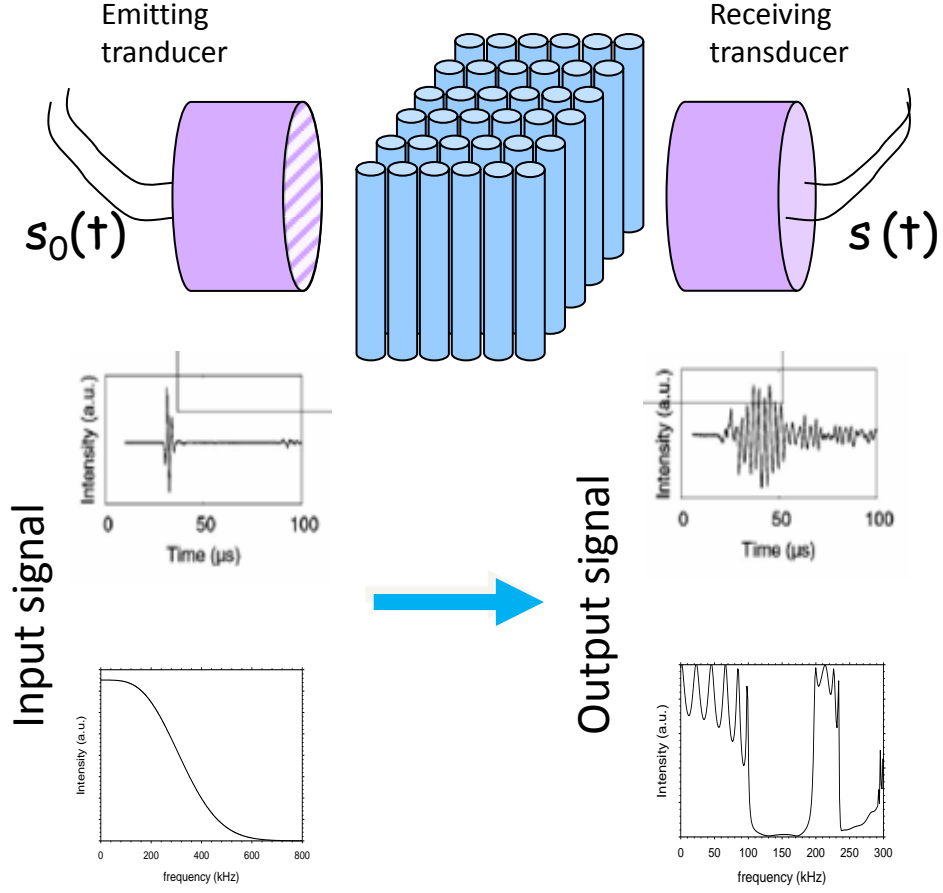
$$\vec{W} = \begin{pmatrix} k \vec{U} \\ \vec{U} \end{pmatrix} : \quad k \begin{pmatrix} \vec{N}_1 & \vec{N}_2 \\ 0 & \vec{1} \end{pmatrix} \begin{pmatrix} k \vec{U} \\ \vec{U} \end{pmatrix} = \begin{pmatrix} 0 & \vec{N}_3 \\ \vec{1} & 0 \end{pmatrix} \begin{pmatrix} k \vec{U} \\ \vec{U} \end{pmatrix}$$

Or: $k \vec{M} \vec{W} = \vec{M}' \vec{W} \longrightarrow$ Eigenvalue equation for k \longrightarrow $k = k' + ik'' = f(\omega)$

Advantage: obtaining complex values of $k=k'+ik''$ for each ω :

- Complex band structure \longrightarrow Decay factor when the frequency belongs to a gap
- Taking account of the acoustic absorption (**complex** and **frequency dependent** elastic constants)

Finite Difference Time Domain (FDTD) Method



$$s(t) = \int s(\omega) e^{i\omega t} d\omega$$

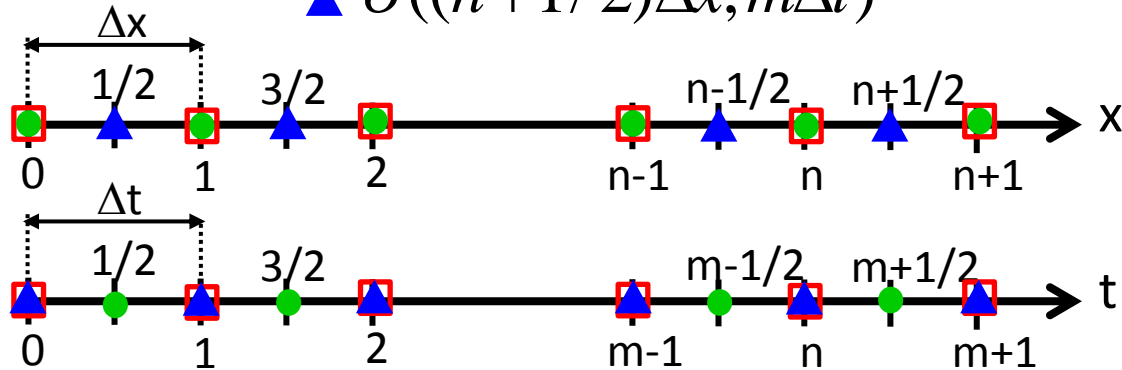
$$t(\omega) = \frac{s(\omega)}{s_0(\omega)}$$

FDTD Finite Difference Time Domain

Theoretical Methods

$$\begin{aligned}
 &u, v, \sigma \\
 &\sigma = C \frac{\delta u}{\delta x} \\
 &\rho \frac{\delta v}{\delta t} = \frac{\delta \sigma}{\delta x} \\
 &v = \frac{\delta u}{\delta t}
 \end{aligned}$$

- $u(n\Delta x, m\Delta t)$
- $v(n\Delta x, (m+1/2)\Delta t)$
- ▲ $\sigma((n+1/2)\Delta x, m\Delta t)$



Transformation of the differential equations into difference equations

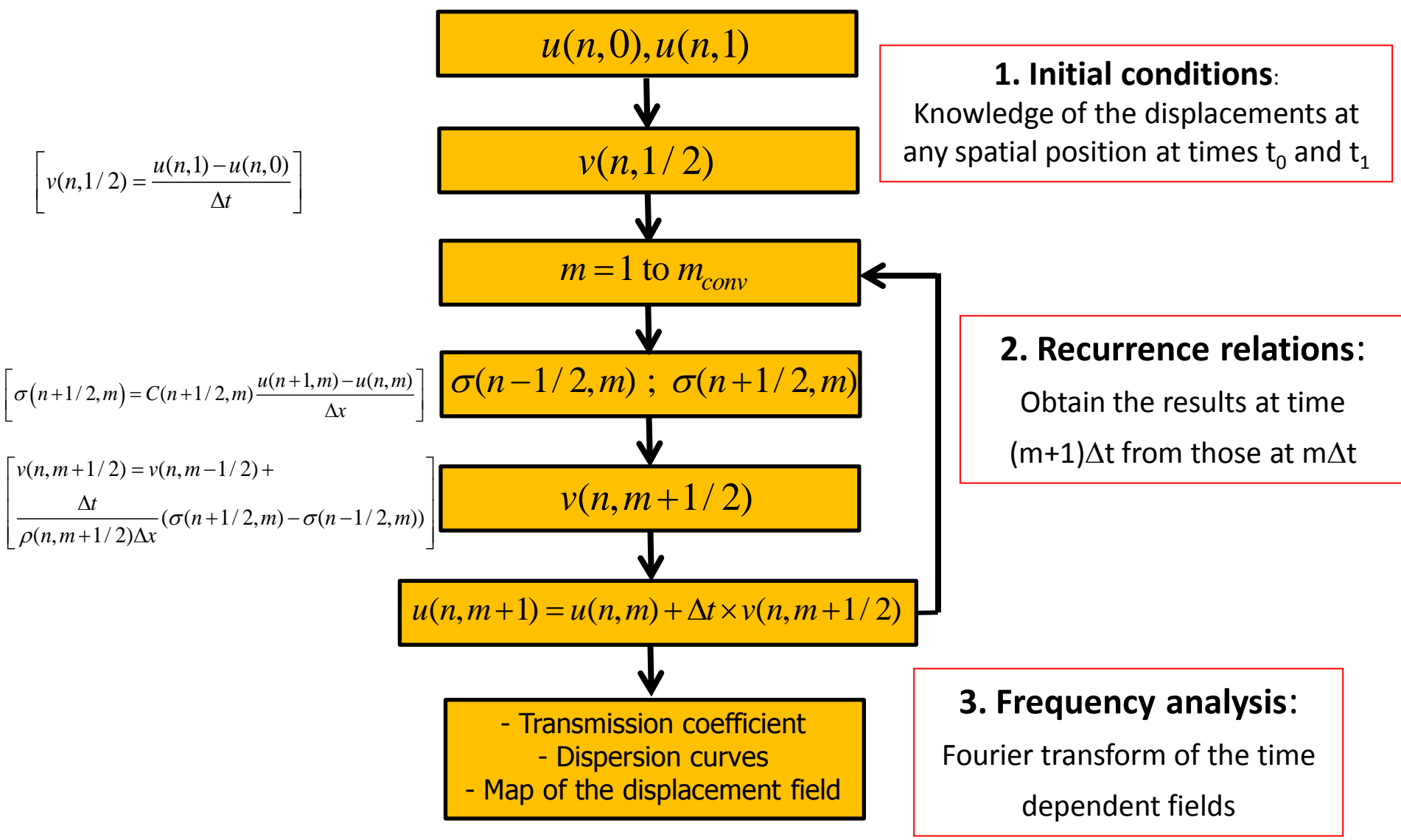
$$\sigma(n+1/2, m) = C(n+1/2, m) \frac{u(n+1, m) - u(n, m)}{\Delta x}$$

$$\rho(n, m+1/2) \left(\frac{v(n, m+1/2) - v(n, m-1/2)}{\Delta t} \right) = \frac{\sigma(n+1/2, m) - \sigma(n-1/2, m)}{\Delta x}$$

$$v(n, m+1/2) = \frac{u(n, m+1) - u(n, m)}{\Delta t}$$

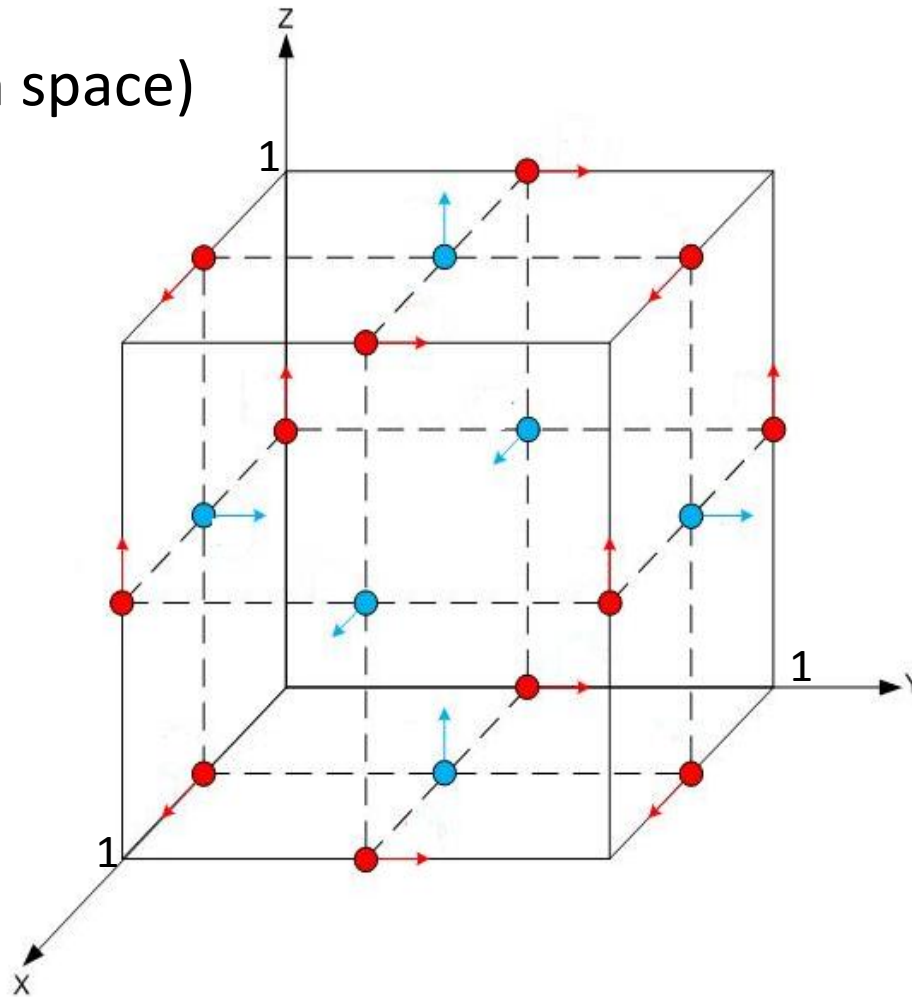
FDTD Finite Difference Time Domain

Theoretical Methods



FDTD Finite Difference Time Domain

Yee cell (in space)

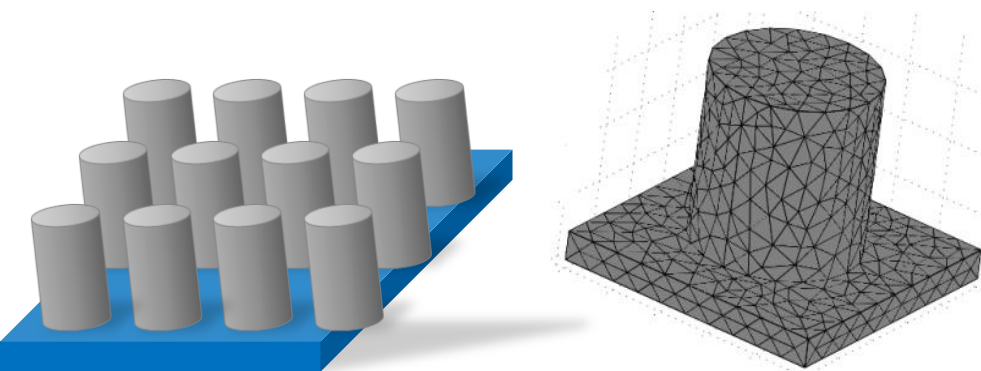


- u_x, u_y, u_z
 v_x, v_y, v_z
- $\sigma_x, \sigma_y, \sigma_z$

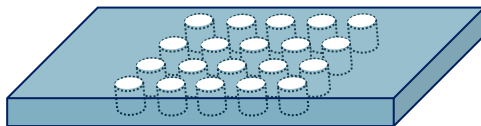
Finite Element Method (FEM)

Equations of motion:
$$\rho \frac{\delta^2 u_i}{\delta t^2} = \sum_j \frac{\delta \sigma_{ij}}{\delta x_j} = \sum_{j,kl} C_{ijkl} \frac{\delta u_k}{\delta x_l}$$

- The structure is divided into small elements.
- The displacements are developed on basis functions, where the variables are the values of the displacement at the nodes.
- A variational method is applied which yields an eigenvalue problem



Unit cell of an array of pillars on a membrane



$$-\omega^2 \overset{\leftrightarrow}{M} \vec{U} = \overset{\leftrightarrow}{K} \vec{U}$$

↑ ↑

Density matrix Stiffness matrix

Multiple Scattering Theory:

Based on the KKR (Kohn-Korringa-Rostocker) theory in electronic structures of solids

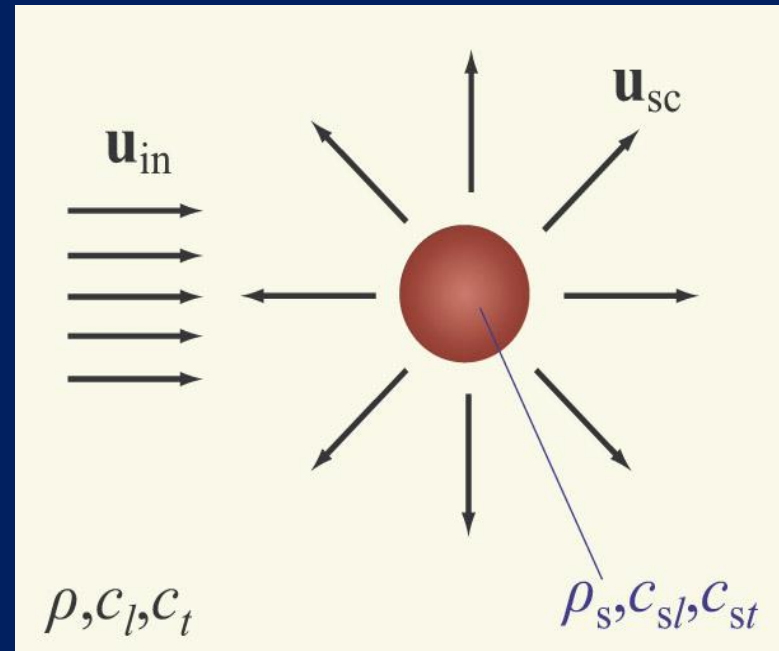
Scattering by an elastic sphere

$$c_l^2 \nabla(\nabla \cdot \mathbf{u}) - c_t^2 \nabla \times \nabla \times \mathbf{u} + \omega^2 \mathbf{u} = 0$$

$$L = P \ell m$$

$$P = L \quad \text{Longitudinal}$$

$$P = M, N \quad \text{Transverse}$$



$$\mathbf{u}_{in}(\mathbf{r}) = \sum_L a_L^0 \mathbf{J}_L(\mathbf{r})$$

$$\mathbf{u}_{sc}(\mathbf{r}) = \sum_L a_L^+ \mathbf{H}_L(\mathbf{r})$$

Boundary Conditions

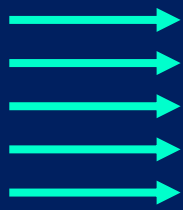


Scattering Matrix \mathbf{T}

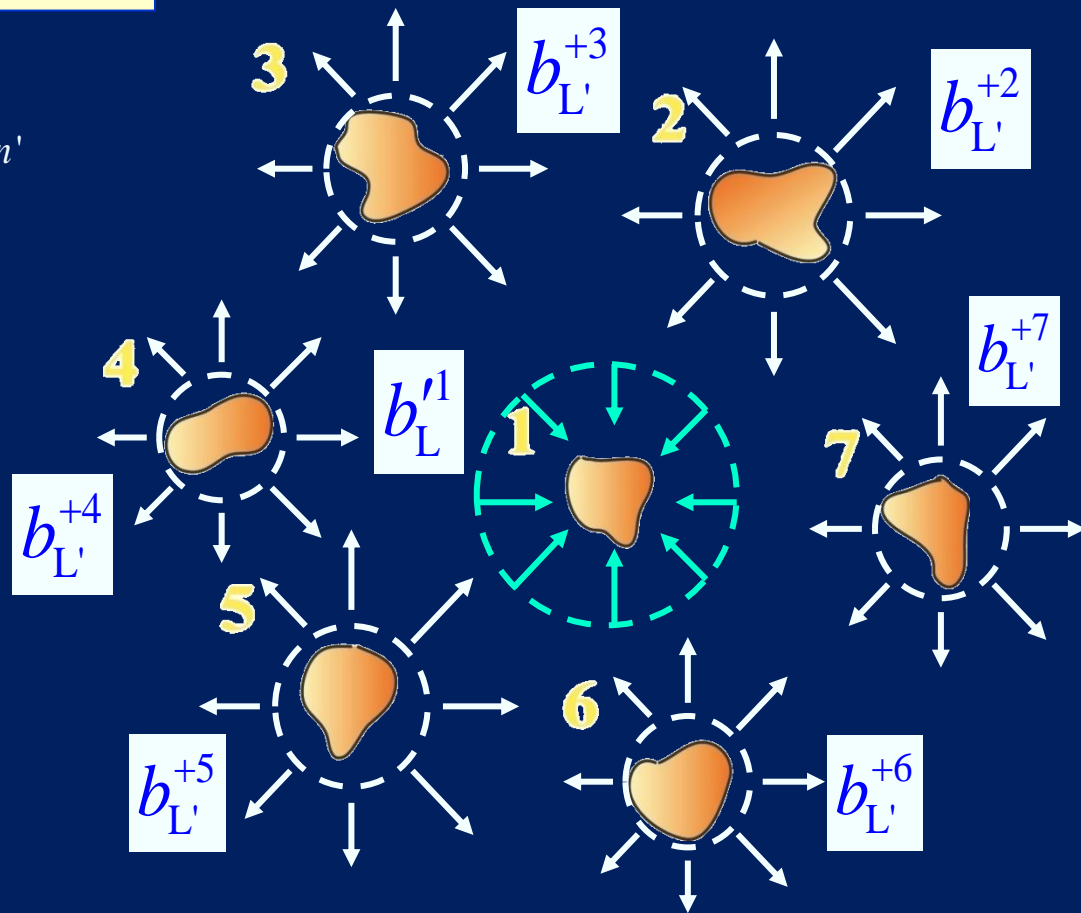
$$a_L^+ = \sum_{L'} T_{LL'} a_{L'}^0$$

Multiple Scattering

$$b_L'^1 = \sum_{n'L'} \Omega_{LL'}^{1n'} b_L^{+n'}$$



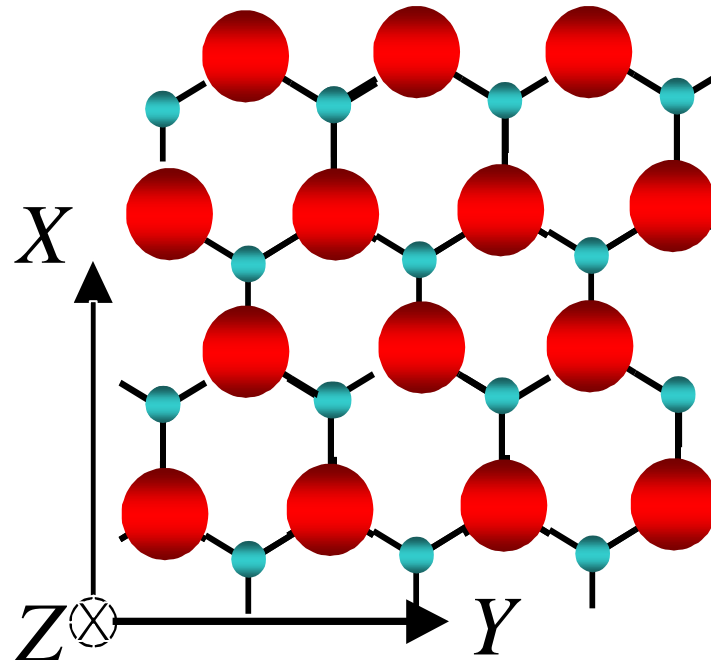
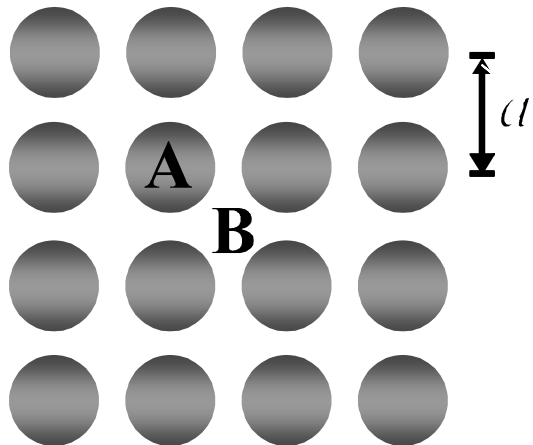
a_L^0



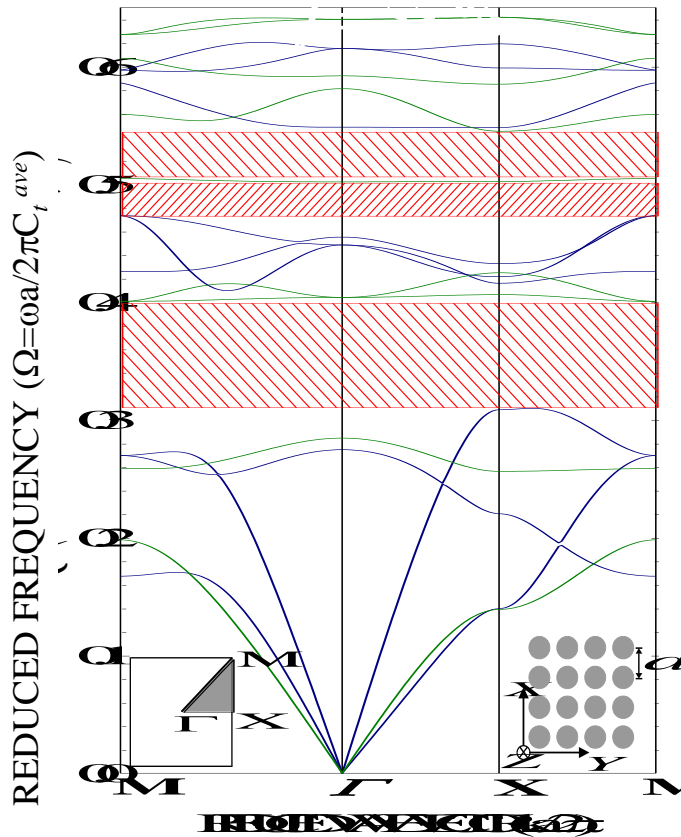
$$\sum_{n'L'} \left(\delta_{1n'} \delta_{LL'} - \sum_{L''} T_{LL''}^1 \Omega_{L'L''}^{1n'} \right) b_L^{+n'} = \sum_{L'} T_{LL'}^1 a_L^{01}$$

2D PHONONIC CRYSTALS

- 📖 Constituents: Solid/solid, fluid/fluid, mixed solid/fluid composites
- 📖 Structure: Square array and boron-nitride structure (BN)
- 📖 Shape of the inclusions: circle, square,...
- 📖 Composition: $f \equiv$ filling factor of inclusions



Square array of Carbon cylinders (circular cross section)
embedded in an epoxy matrix

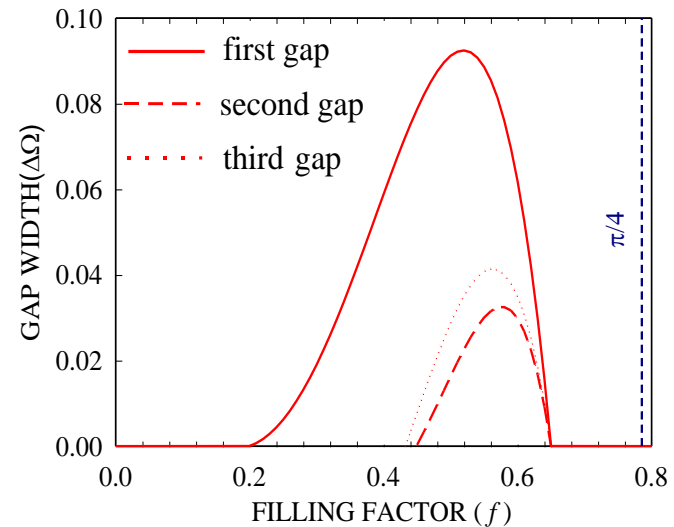


Propagation limited to the XOY plane

$\vec{k}(k_x, k_y, k_z = 0)$

XY modes $\Leftrightarrow \vec{u} \in XOY$

Z modes $\Leftrightarrow \vec{u} // OZ$

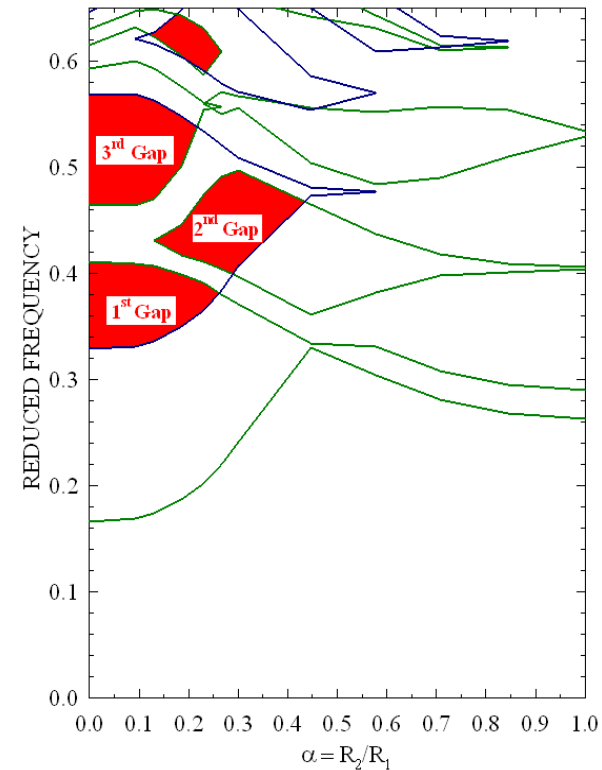
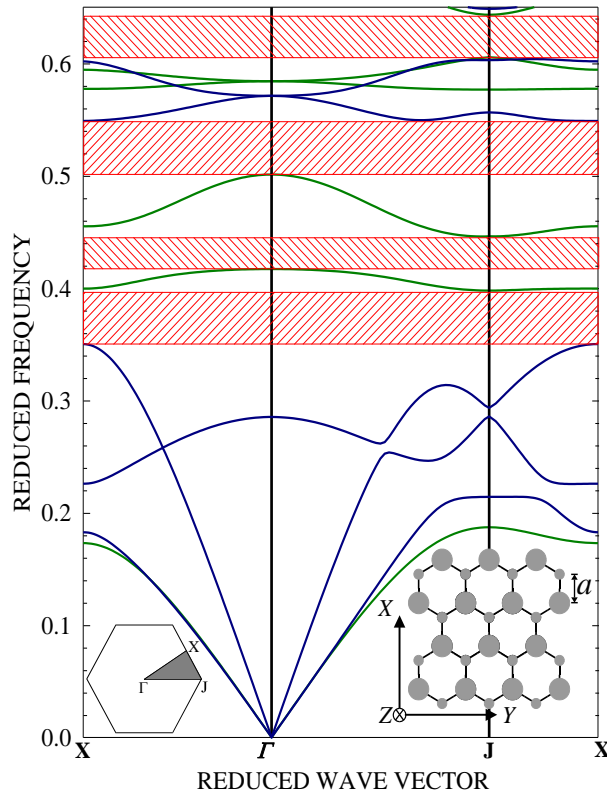


BN array of Carbon cylinders (circular cross section)
embedded in an epoxy resin matrix

$$f = f_1 + f_2 = 60 \%$$

$$\alpha = R_1/R_2 =$$

0.19

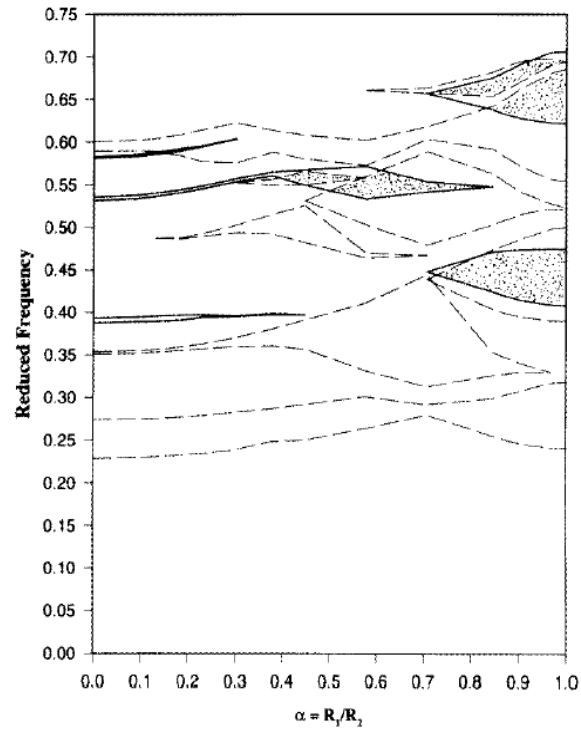


$\alpha = 0 \Leftrightarrow$ Triangular array

$\alpha = 1 \Leftrightarrow$ Graphite array

BN array of epoxy cylinders embedded in a Carbon matrix

$$f_1 + f_2 = 0.60$$



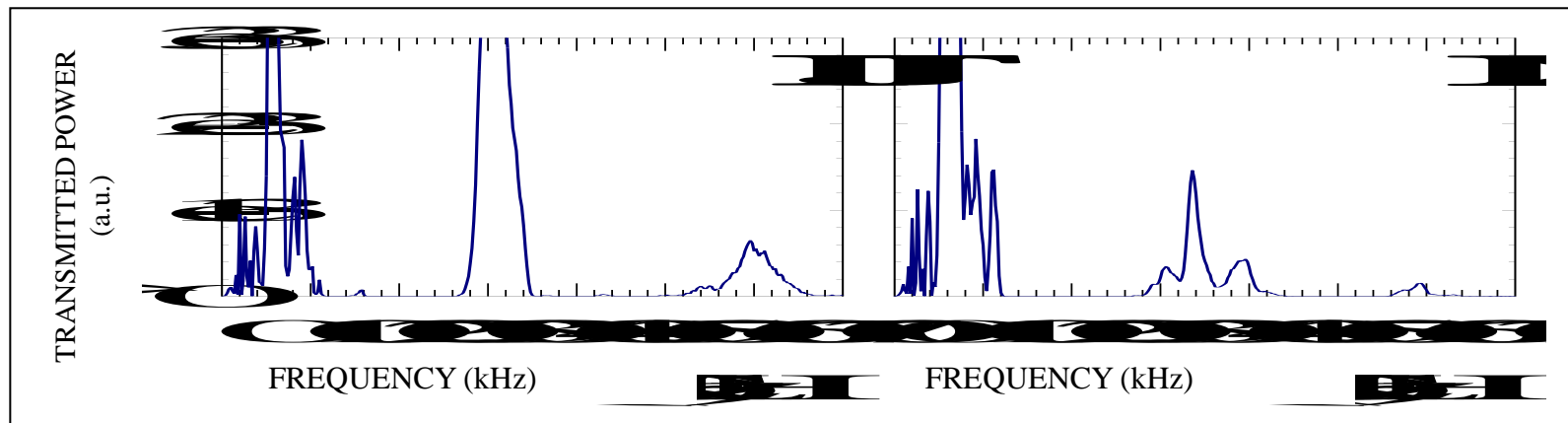
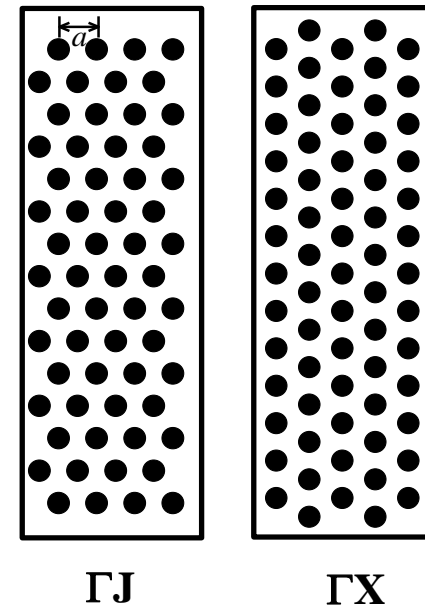
EXPERIMENTAL RESULTS :

Triangular array of steel cylinders
embedded in an epoxy resin matrix

$$R = 2 \text{ mm}, a = 6.02 \text{ mm} \Rightarrow f = 40 \%$$

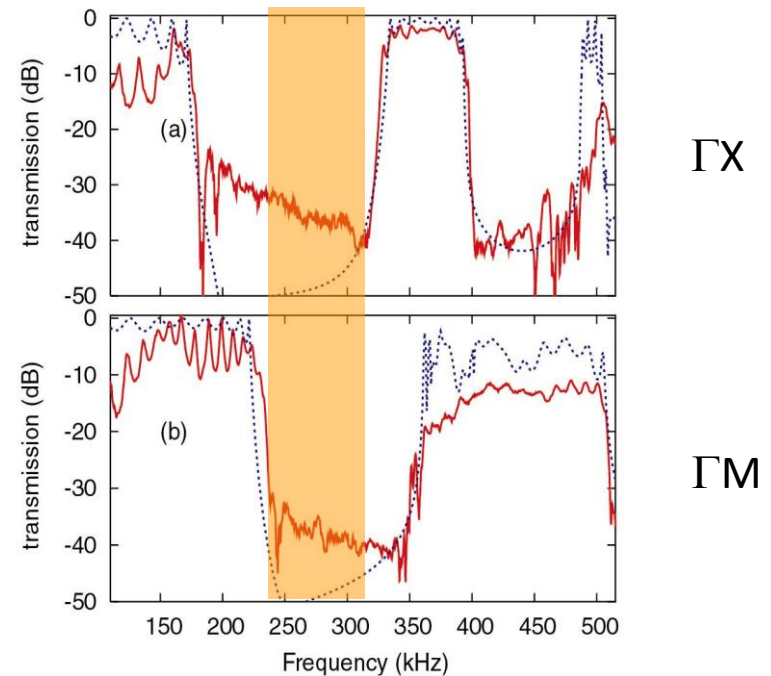
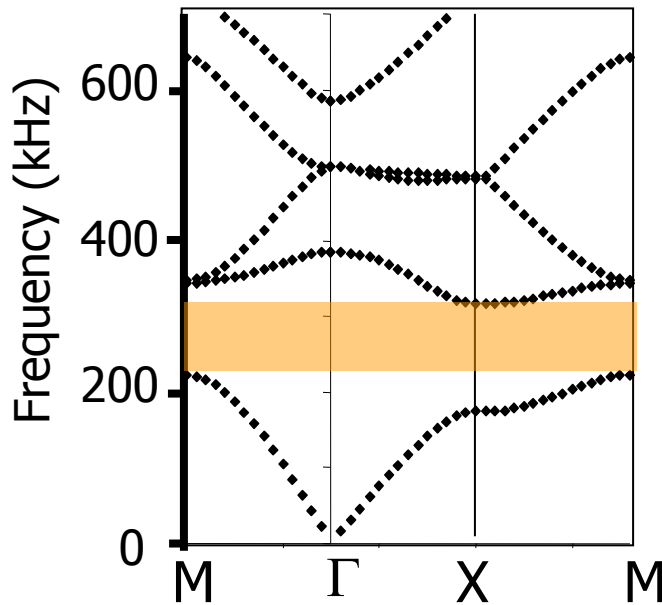
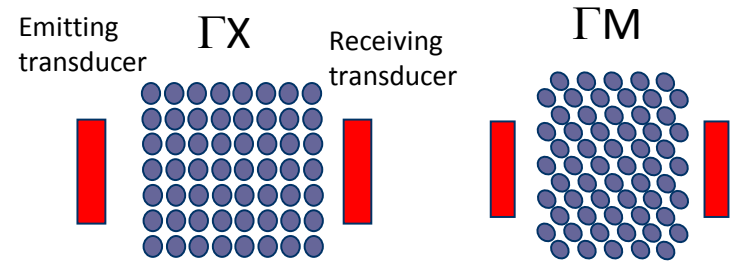
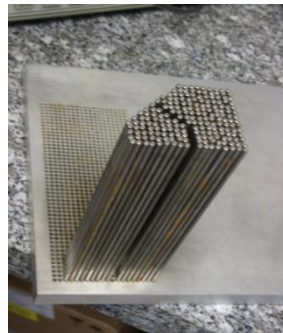
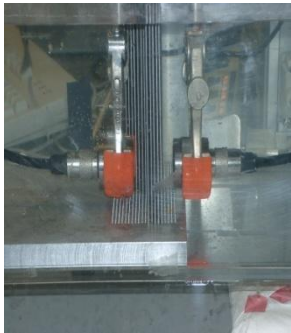
Dimensions : 80 mm x 80 mm x 27 mm

→ Transmission spectra of longitudinal
waves

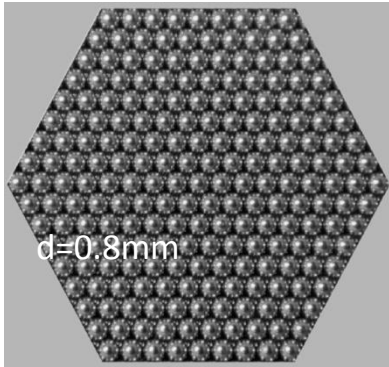


Ultrasonic 2D Phononic crystals: steel cylinders in water

$$a = 3 \text{ mm}; D = 2.5 \text{ mm} \Rightarrow f = 54,5 \%$$



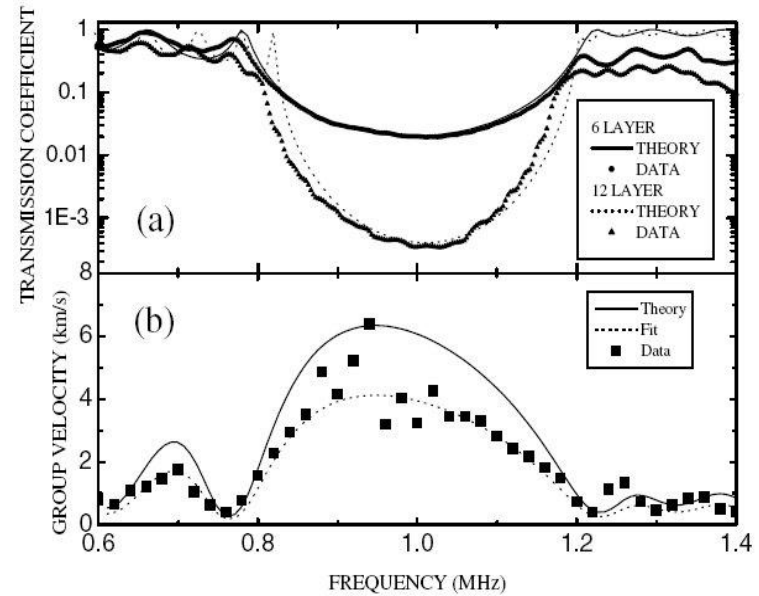
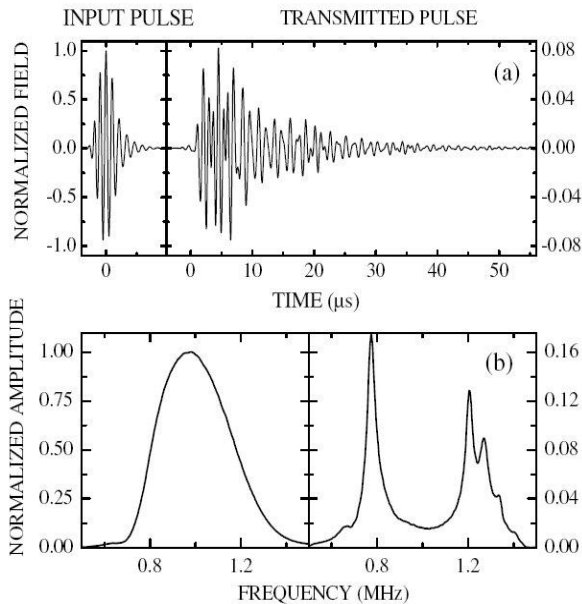
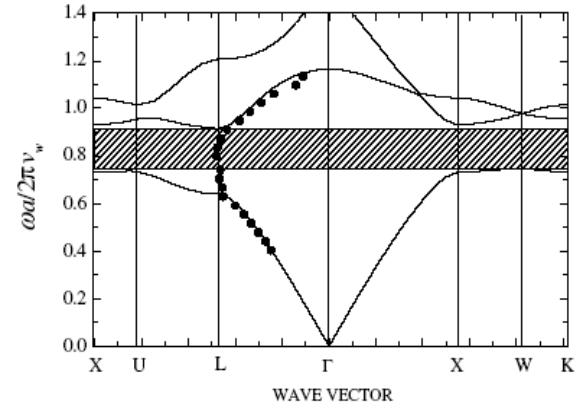
Ultrasonic 3D Phononic crystals:
fcc lattice of tungsten carbide beads in water



$$T(L, \omega) = A(L, \omega) \exp[i \phi(L, \omega)]$$

$$v_p(\omega) = \frac{\omega}{k} = L \frac{\omega}{\phi}$$

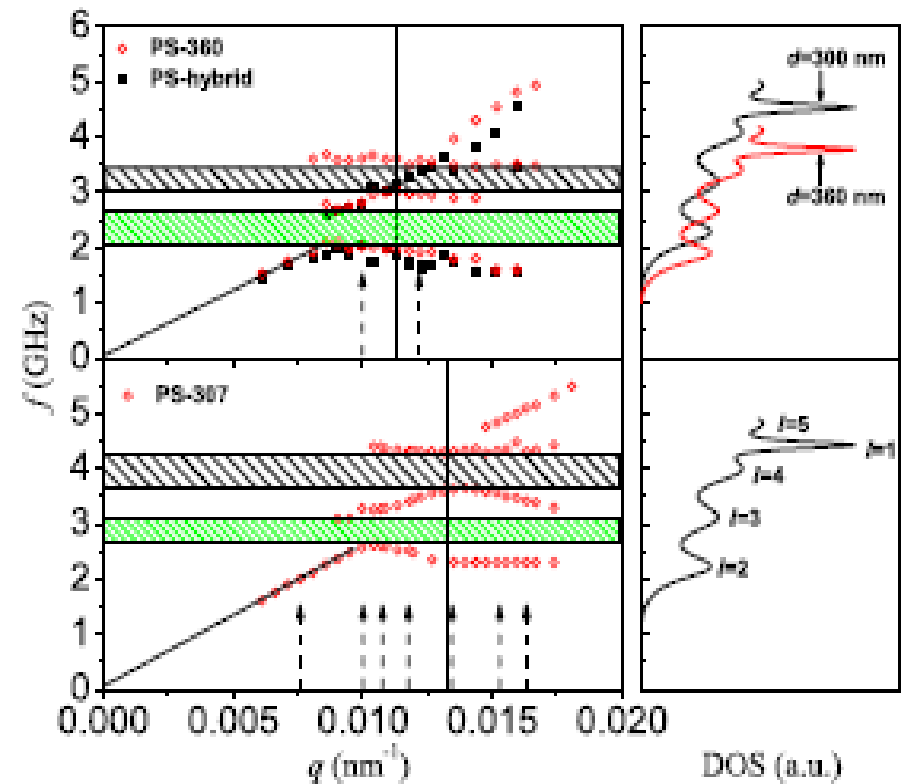
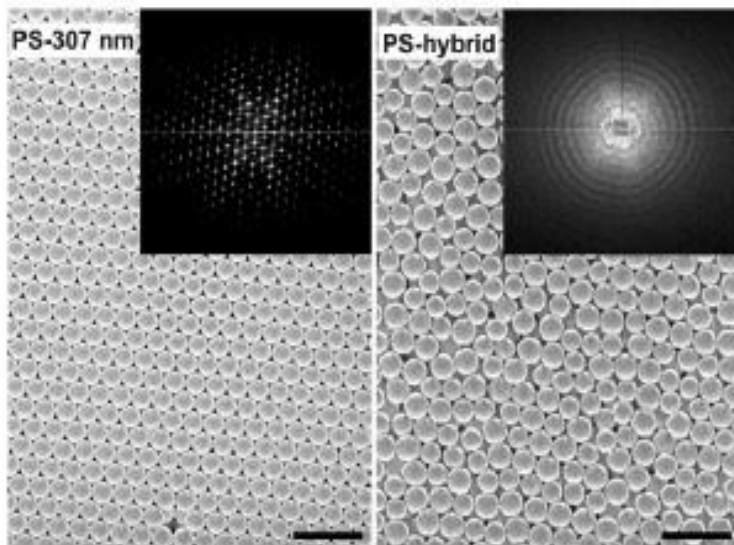
$$v_g(\omega) = \frac{d\omega}{dk} = L \frac{d\omega}{d\phi}$$



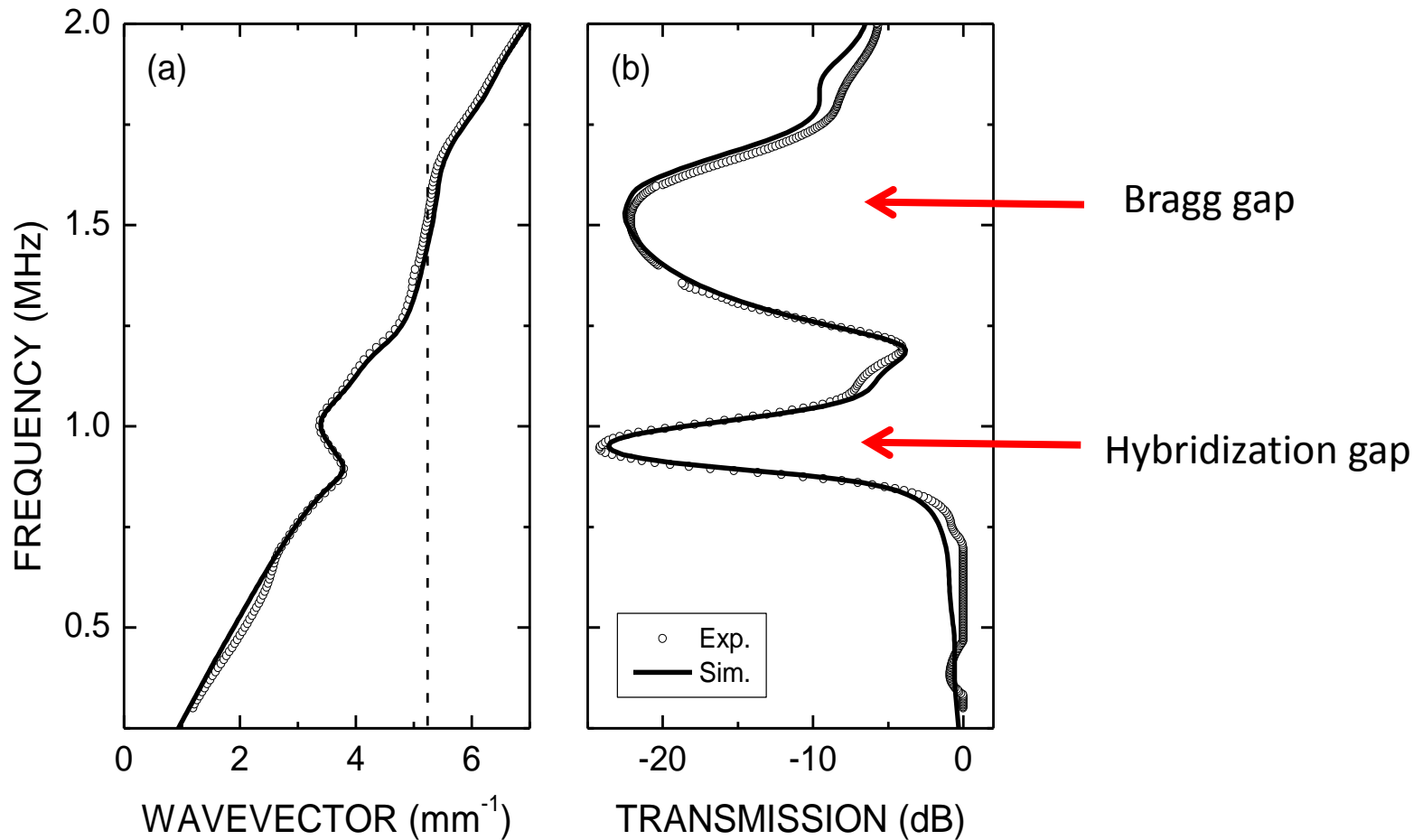
THE WIDTH OF THE ABSOLUTE FORBIDDEN BANDS IS
VERY SENSITIVE TO:

- ✉ the nature (solid, fluid) of the constituent materials
- ✉ the contrast between the physical characteristics (density and elastic constants) of the constituent materials
- ✉ the filling factor of inclusions
- ✉ the symmetry of the lattice
- ✉ the shape of the inclusions → Circular, square, ...

Colloidal films of polystyrene (PS) infiltrated with PDMS
 FCC structures with PS diameters of 307 and 360 nm



Phononic crystal of nylon rods in water, Hexagonal lattice
 Rods diameter=0.46mm; filling fraction=40%



Overlapping hybridization and Bragg gaps

E. Psarobas et al, Phys. Rev. B 65, 064307 (2002)

T. Still et al., Phys. Rev. Lett. 100, 194301 (2008)

C. Croënne et al., AIP Adv. 1, 041401 (2011)

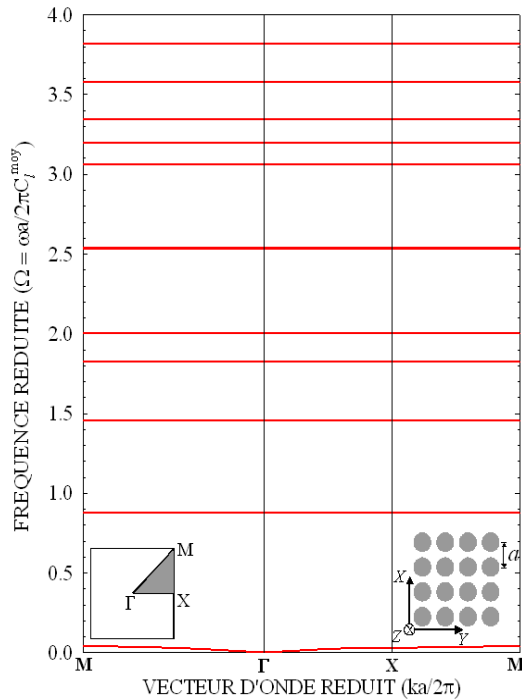
Y. Achaoui et al. Phys. Rev. B 83, 104201 (2011)

A. Bretagne et al., AIP Conf. Proc. 1433, 317 (2012)

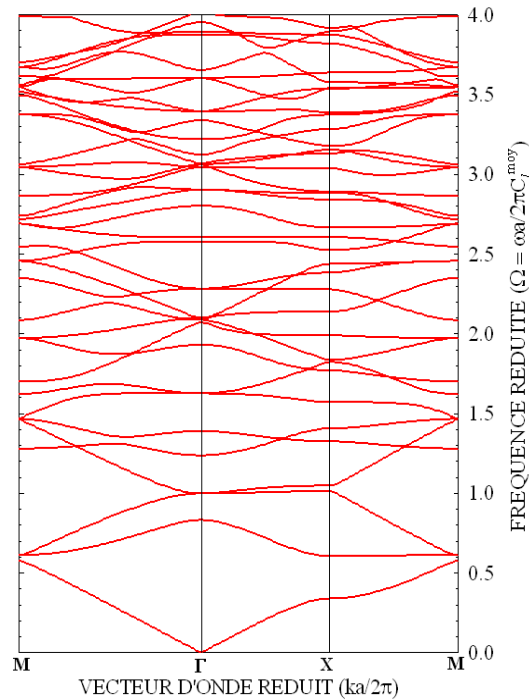
N. Kaina et al. Scientific reports 3, 3240 (2013)

Square array of cylinders (circular cross section) of:

air in water



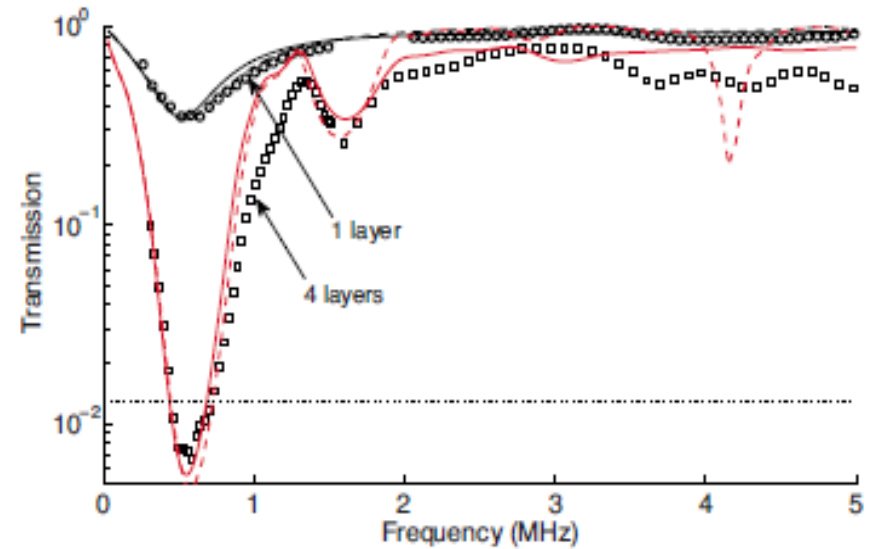
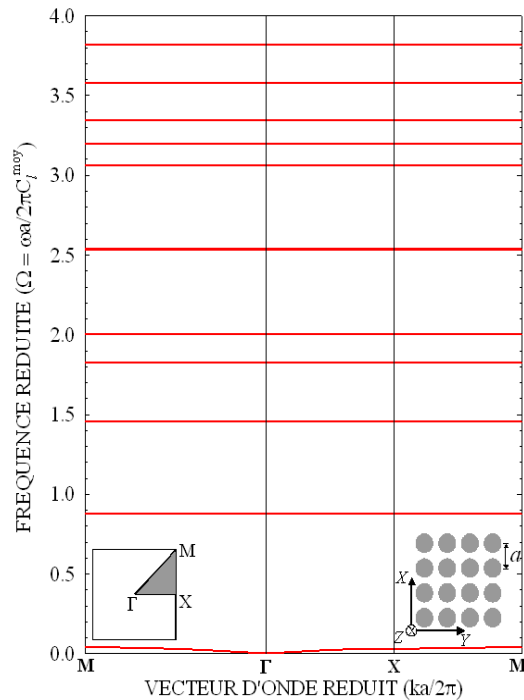
water in air



Flat bands: resonances of a
single cylinder of air in water

$$f = 35 \%$$

Square array of cylinders of air in water



Ultrasonic measurement in a phononic crystal made of bubbles in PDMS. Period= 300 μm , bubble radius=38 μm

The first gap is attributed to the combined effect of Bragg reflections and bubble resonances

V. Leroy , A. Bretagne, M. Fink, H. Willaime, P. Tabeling, and A. Tourin, APL 95, 171904 (2009)

Flat bands: resonances of a single cylinder of air in water

Band structure Fluid/fluid systems

Air bubbles in PDMS. Period= $300\mu\text{m}$,
bubble radius= $38\mu\text{m}$, Filling fraction $<1\%$

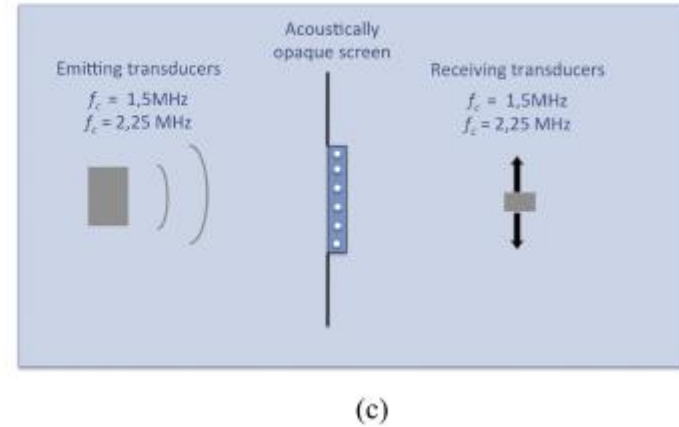
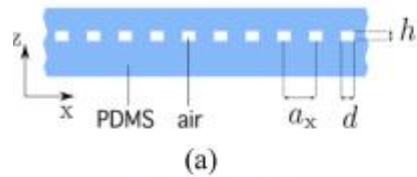
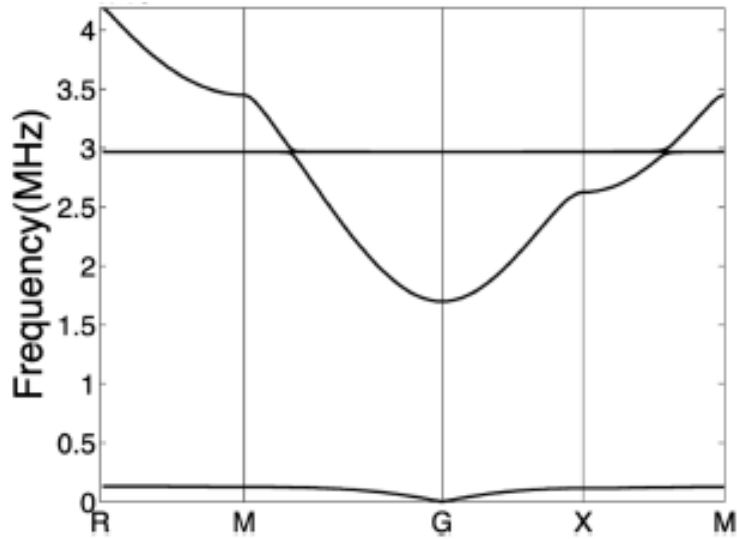
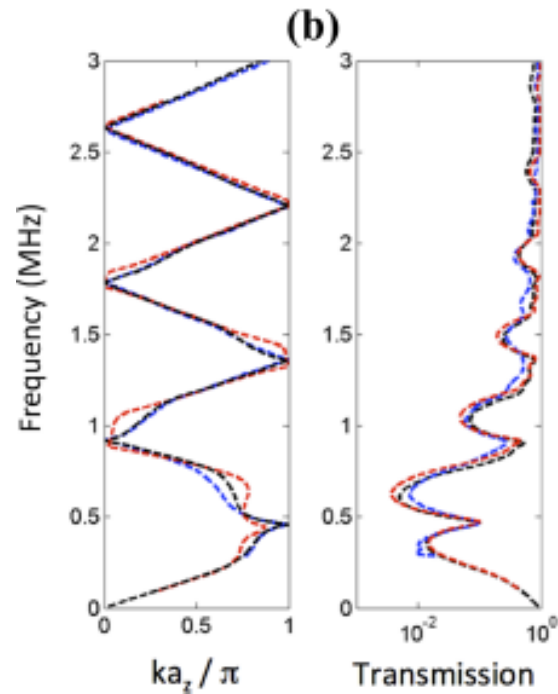
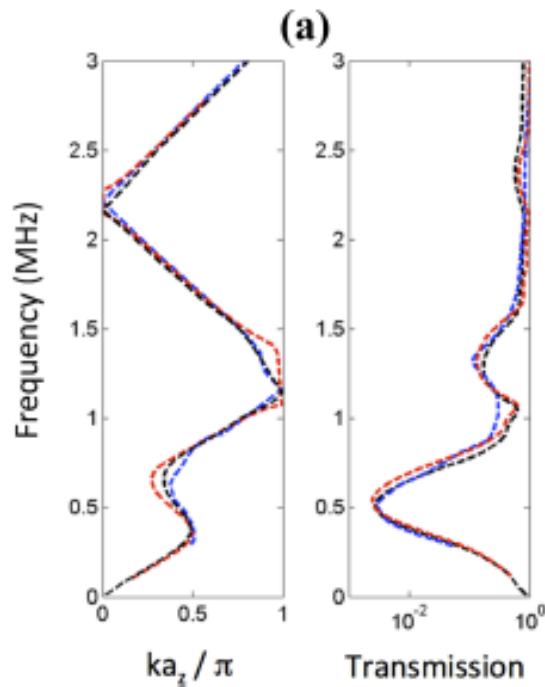
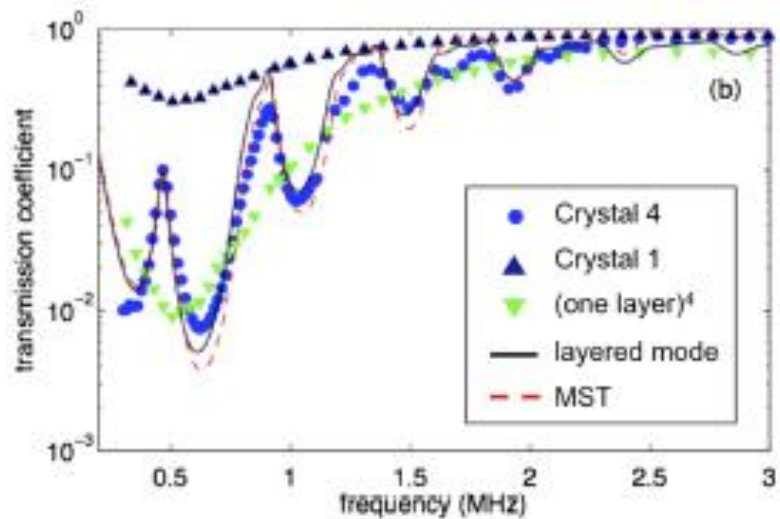
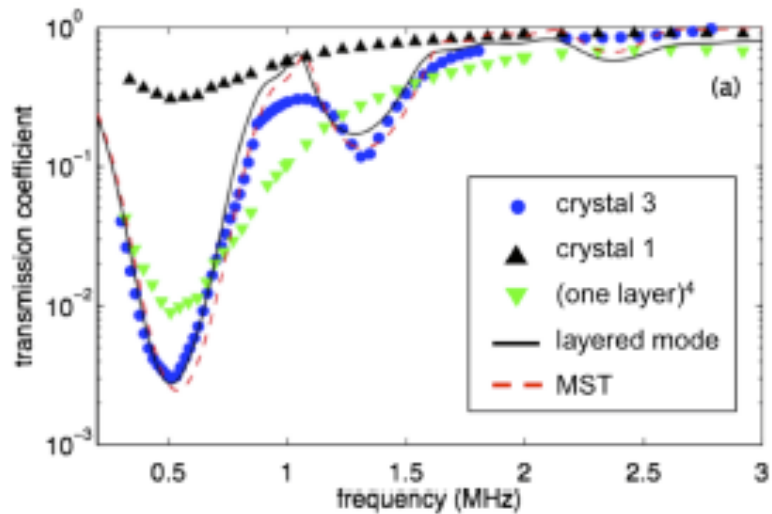
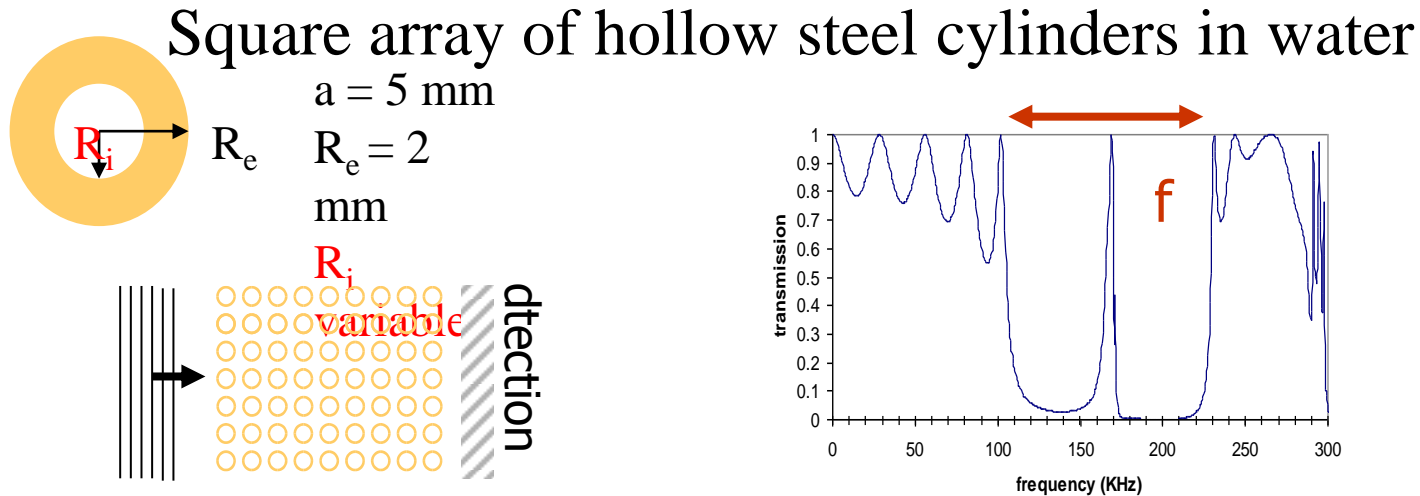


TABLE I. Lattice constants and bubble size for the 4 crystals used in this study.

Sample	a_z (μm)	$a_x = a_y$ (μm)	h (μm)	d (μm)	R (μm) Radius of the equivalent sphere
Crystal 1	One single layer	300			
Crystal 2	One single layer	200			
Crystal 3	475	300	50	78	38
Crystal 4	1150	300			



Frequency filtering with hollow scatterers

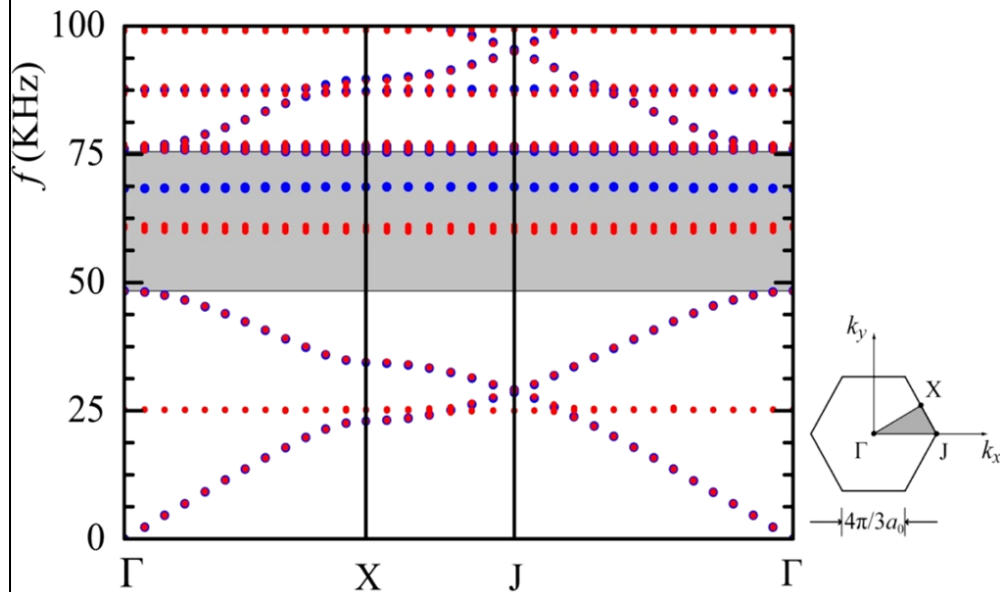


The frequency f is a function of the **internal radius R_i**
 and the **nature of the fluid inside and outside** the cylinders

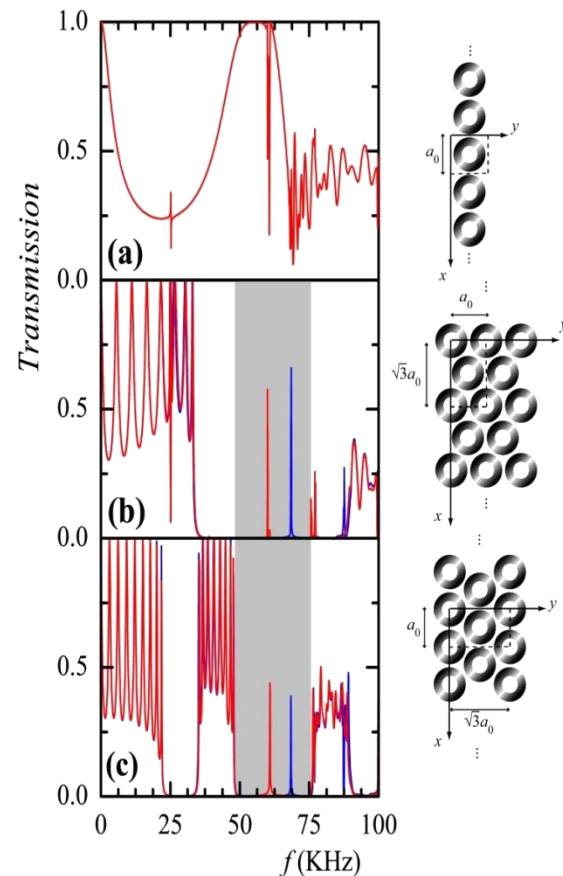
→ **Tunable frequency filter**

Frequency filtering with hollow scatterers:
local resonances

Hollow polymer cylinders in air

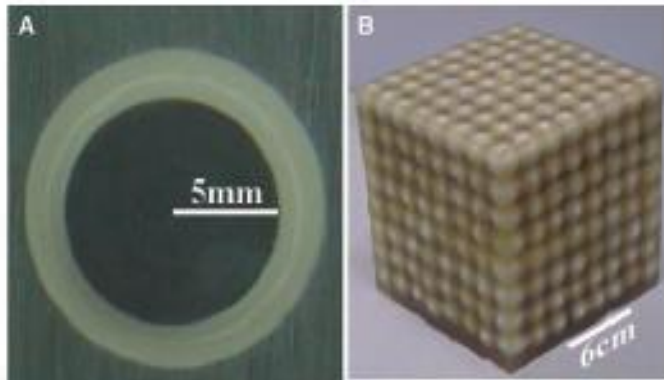


- Filled cylinders (radius $S=2.35$ mm)
- Hollow cylinders ($S_{in}=1.15$ mm, $S_{out}=2.3$ mm)

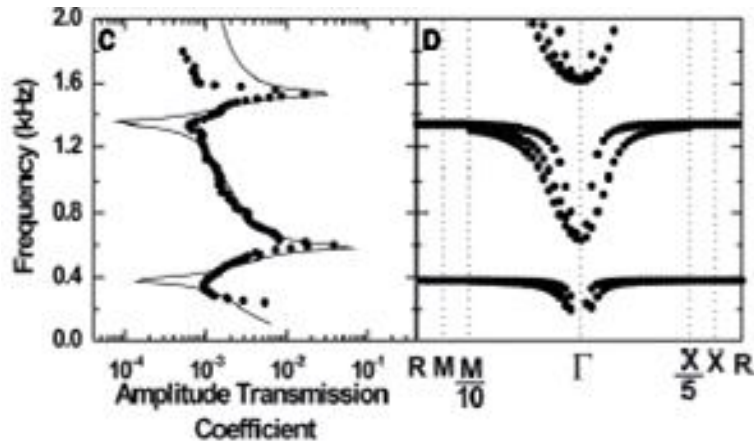


Locally resonant sonic materials (LRSM)

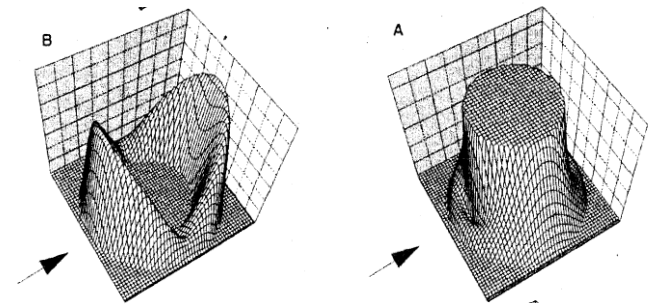
Simple cubic lattice of Pb spheres, coated with
silicone rubber, in an epoxy background



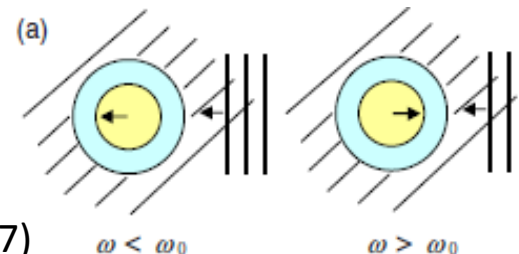
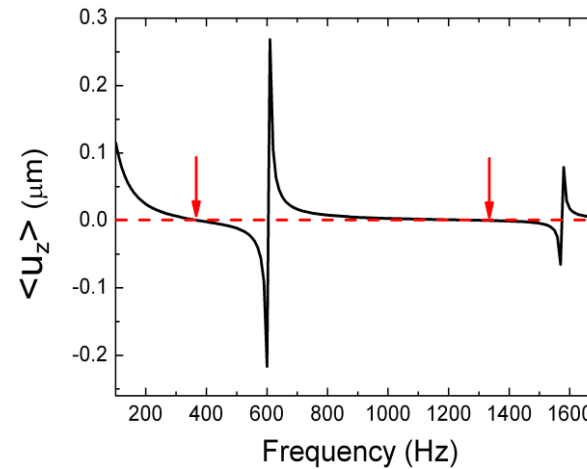
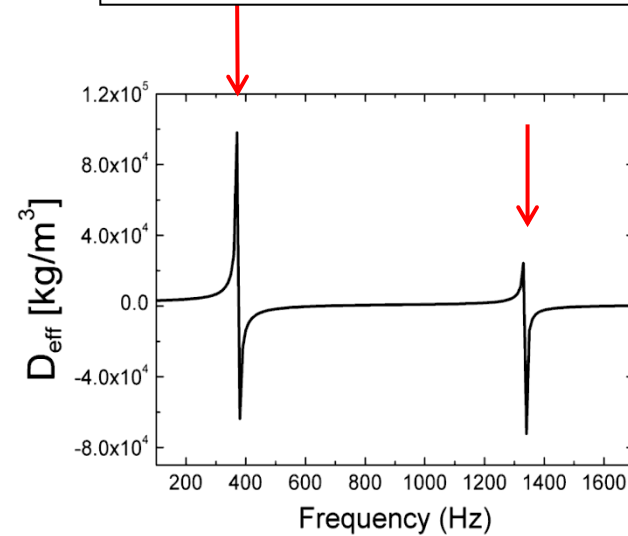
Heavy core: $d = 1$ cm
Coating layer: $\delta = 0.25$ cm
Period: $a = 1.55$ cm



Opening of low
frequency gaps in the
audible range



Locally resonant sonic materials Negative mass density



Dynamic effective mass:

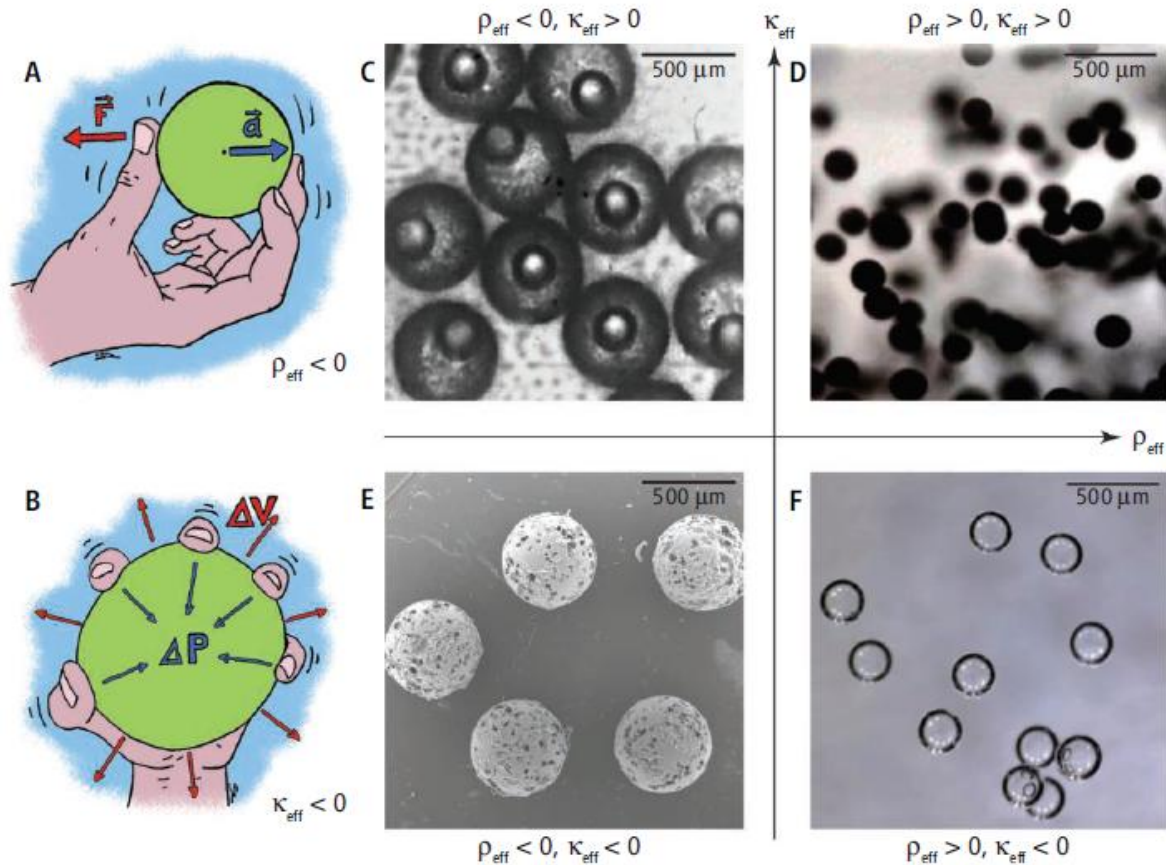
The mass density changes sign at the **zeros of transmission**
(anti-resonances at 400 and 1350 Hz)

Average Normal Displacement $\langle u_z \rangle$:

- vanishes at the zeros of transmission
- becomes important at the maxima of transmission

Schematic interpretation of positive and negative mass

Classification of various locally resonant materials in terms of the sign of effective mass density and bulk modulus



Features of acoustic metamaterials. (A and B) Schematic illustrations outline the dynamic behaviors of locally resonant materials with negative-valued effective parameters submitted to harmonic excitations. (A) The acceleration a of a material possessing a negative effective mass density ($\rho_{\text{eff}} < 0$) is opposite to the driving force F . (B) A material possessing a negative effective bulk modulus ($\kappa_{\text{eff}} < 0$) supports a volume expansion ($\Delta V > 0$) upon an isotropic compression ($\Delta P > 0$). (C to F) Classification of various locally resonant materials in the $(\rho_{\text{eff}}, \kappa_{\text{eff}})$ plane in terms of the sign of the effective mass density and the bulk modulus, made of various resonant inclusions: (C) core-shell particles, (D) "slow-oil" droplets, (E) polymer porous beads, and (F) air bubbles.

Rubber spheres in water

Locally resonant sonic materials Double Negativity

Change of sign in effective density

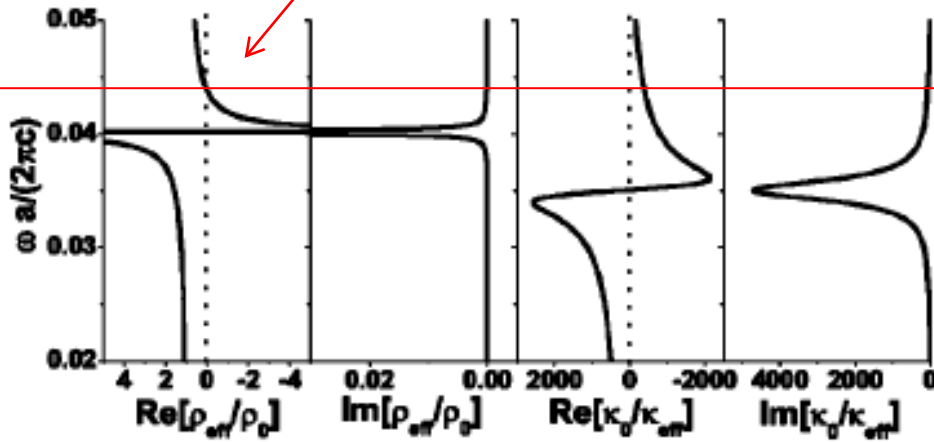
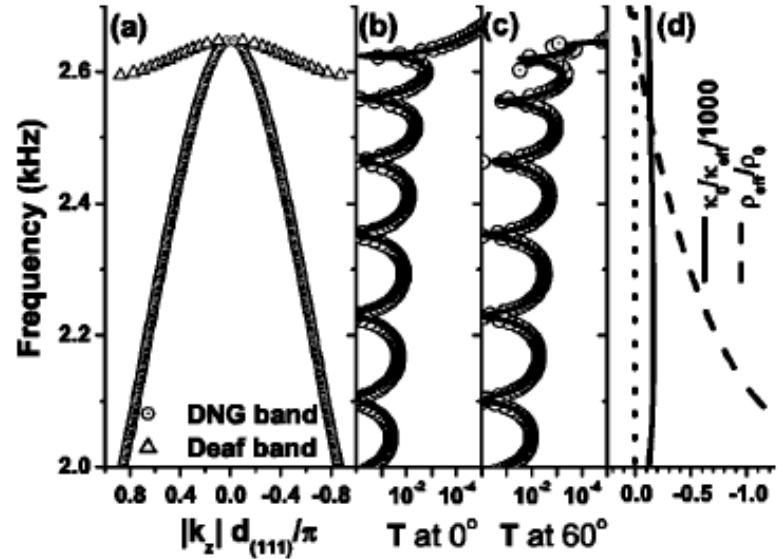


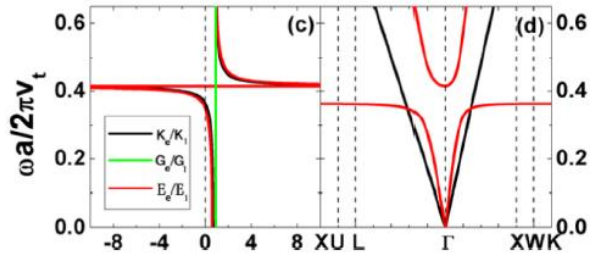
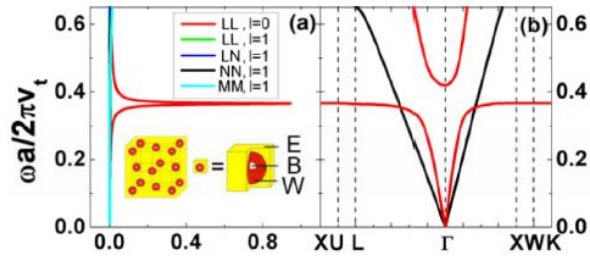
FIG. 1. Effective density and bulk modulus [using Eq. (5)] for rubber ($\rho=1300 \text{ kg m}^{-3}$, $\kappa=6.27 \times 10^5 \text{ Pa}$) spheres of filling ratio 0.1 within water ($\rho=1000 \text{ kg m}^{-3}$, $\kappa=2.15 \times 10^9 \text{ Pa}$).



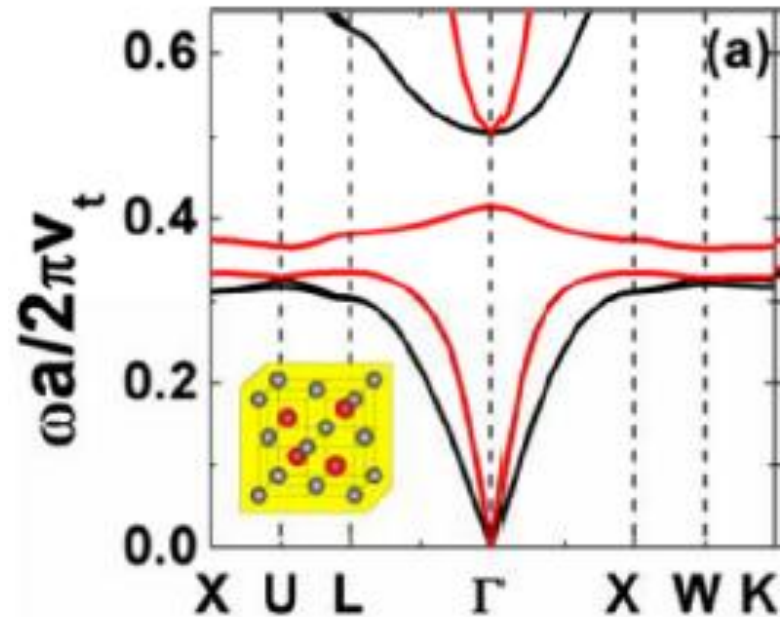
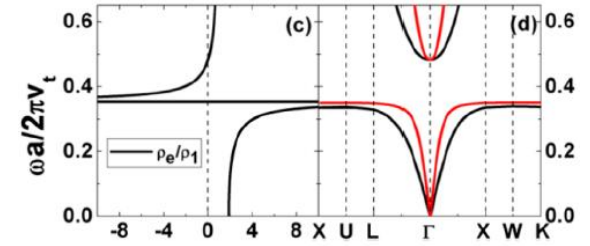
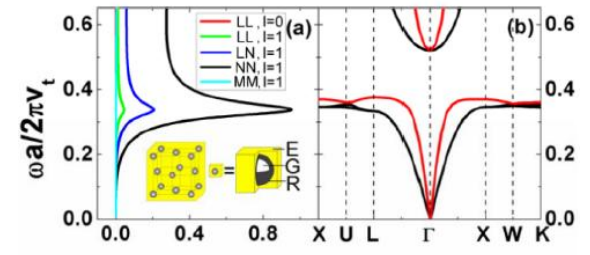
Rubber sphere radius=1cm

Locally resonant sonic materials Double Negativity

Metamaterial with Simultaneously
Negative Bulk Modulus and Mass Density:
Combination of air bubbles and
rubber coated spheres

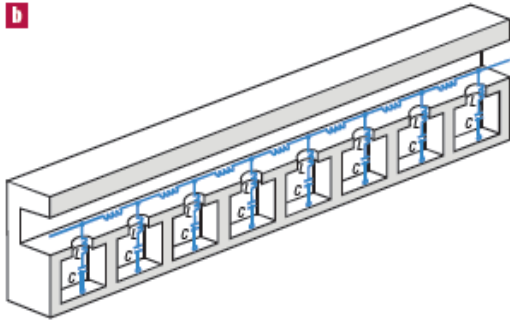


+

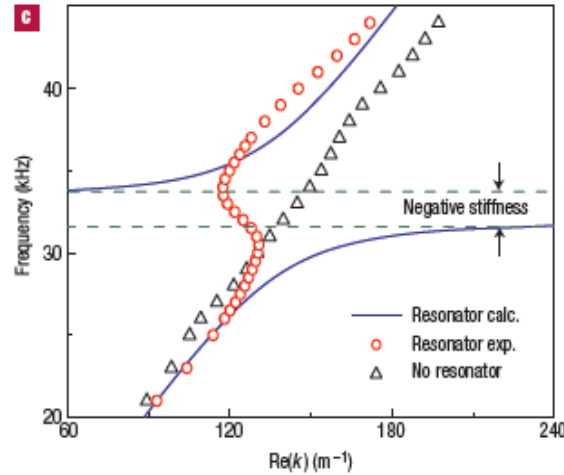


Locally resonant sonic materials Negative bulk modulus

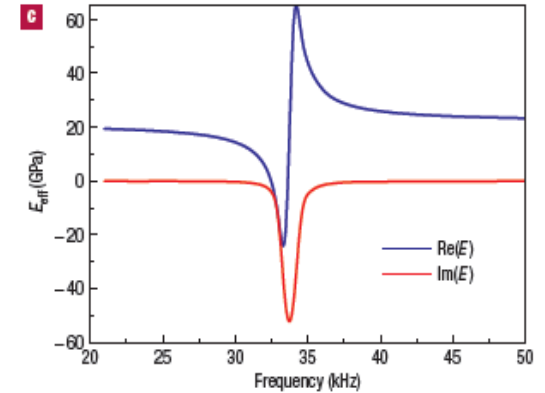
Array of subwavelength Helmholtz resonators



Structure



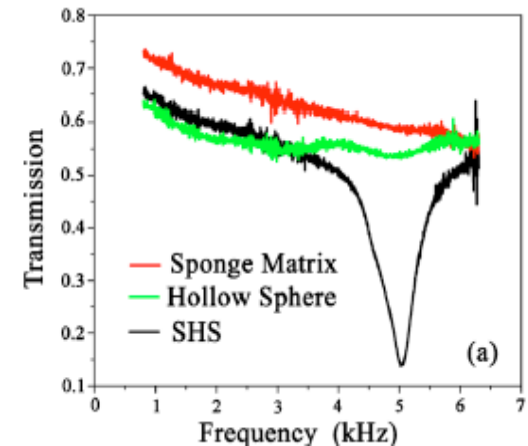
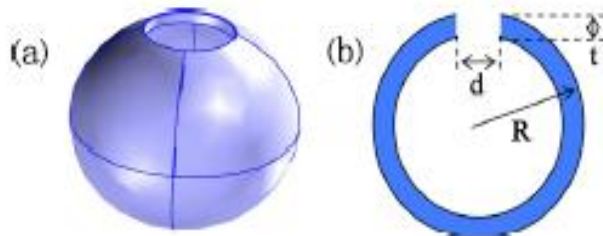
Dispersion curve



Effective bulk modulus

N. Fang, D. Xi, J. Xu, M. Ambati, W. Srituravanich, C. Sun, X. Zhang, Nature Materials, 5, 452 (2006)

Phononic crystal made of Split Hollow Sphere

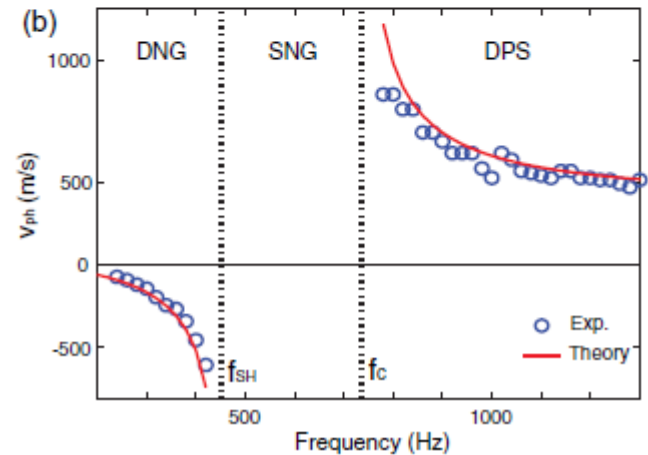
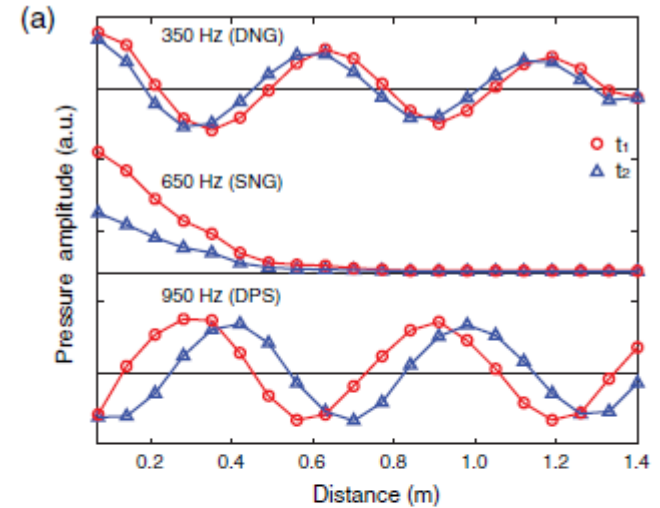
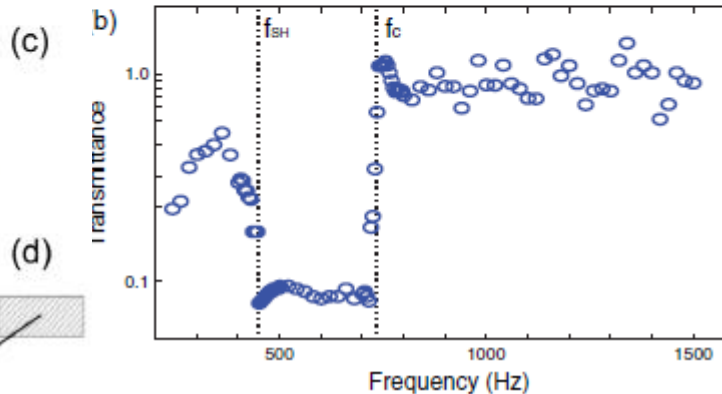
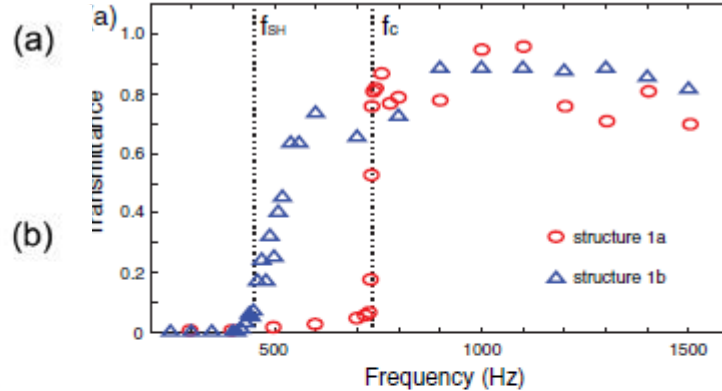
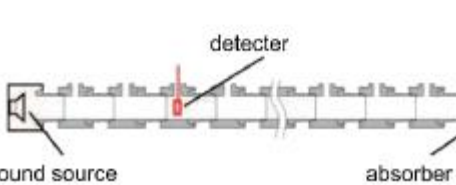
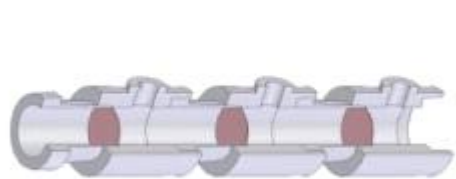
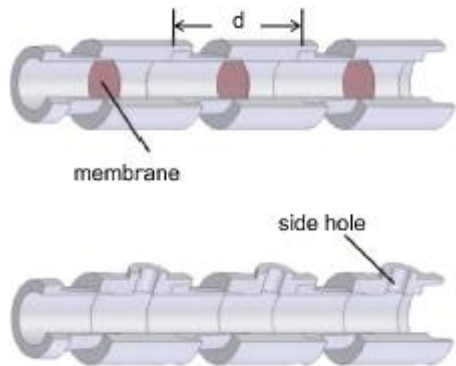


C. Ding, L. Hao, X. Zhao, J. Appl. Phys. 108, 074911 (2010)

Locally resonant sonic materials

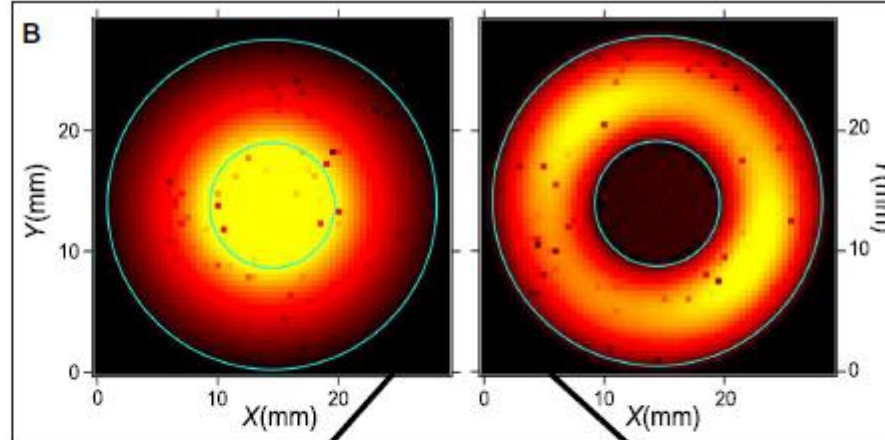
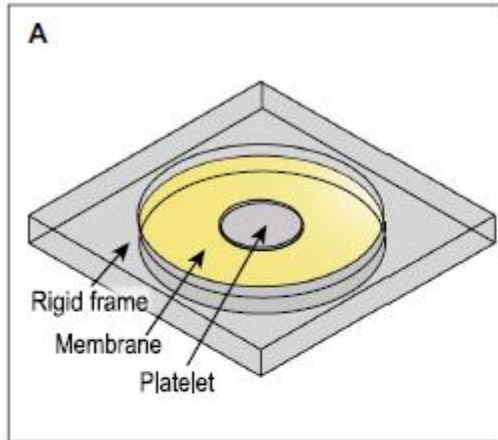
Double Negativity

Array of subwavelength membranes and side holes

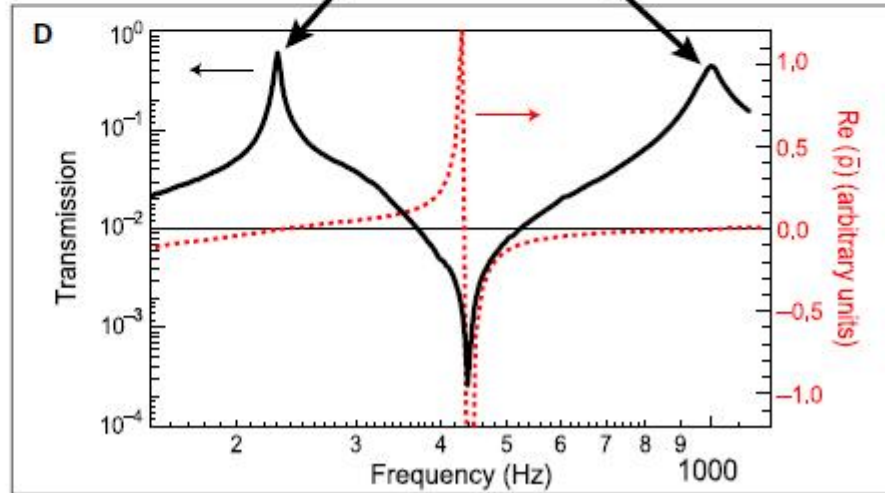
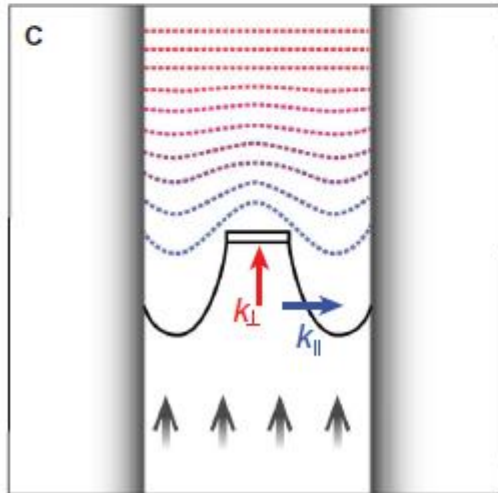


Single membrane with negative effective mass density

Decorated Membrane Resonators
Simple and Double Negativity



Two dipolar resonances

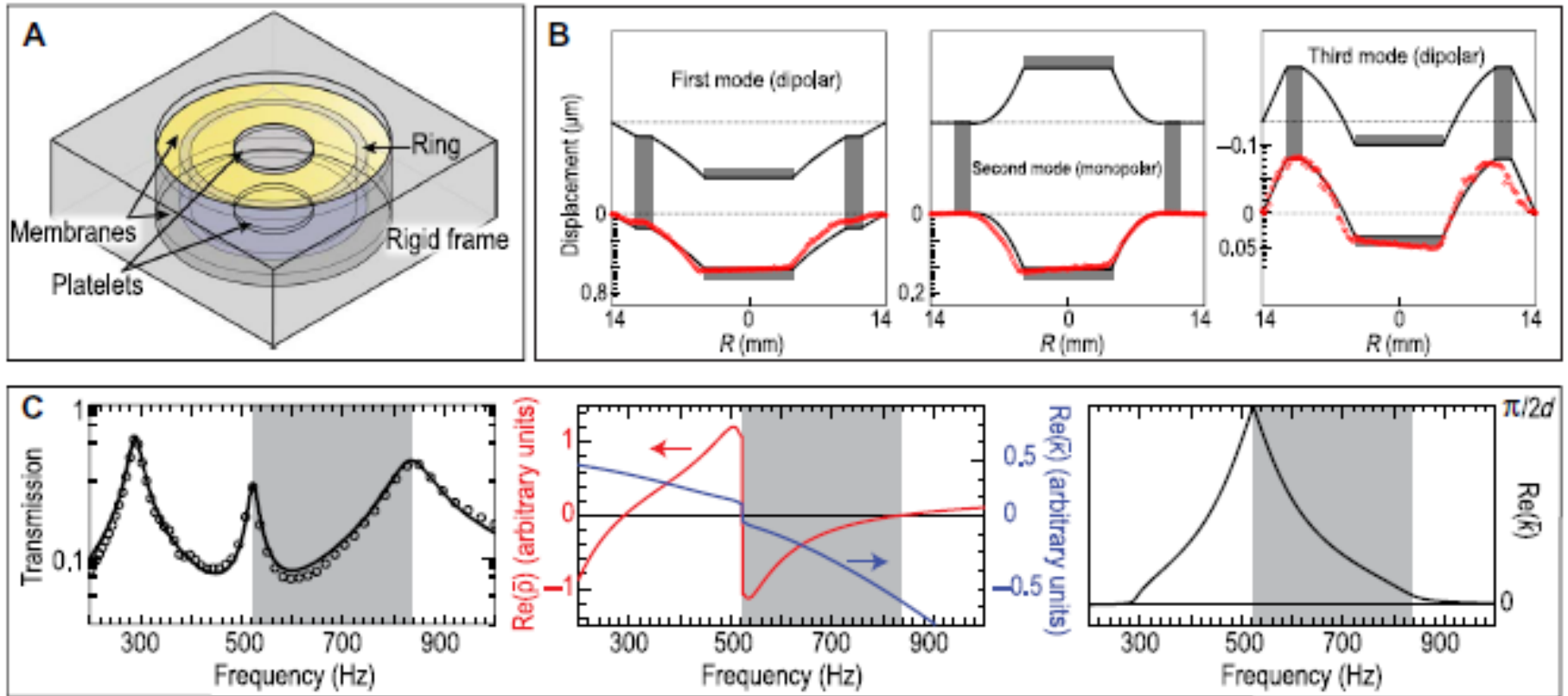


A rigid platelet is attached to the center of the membrane, whose mass is set by the desired resonant frequencies

Guancong Ma and Ping Sheng
Science Advances 2, 1501595 (2016)

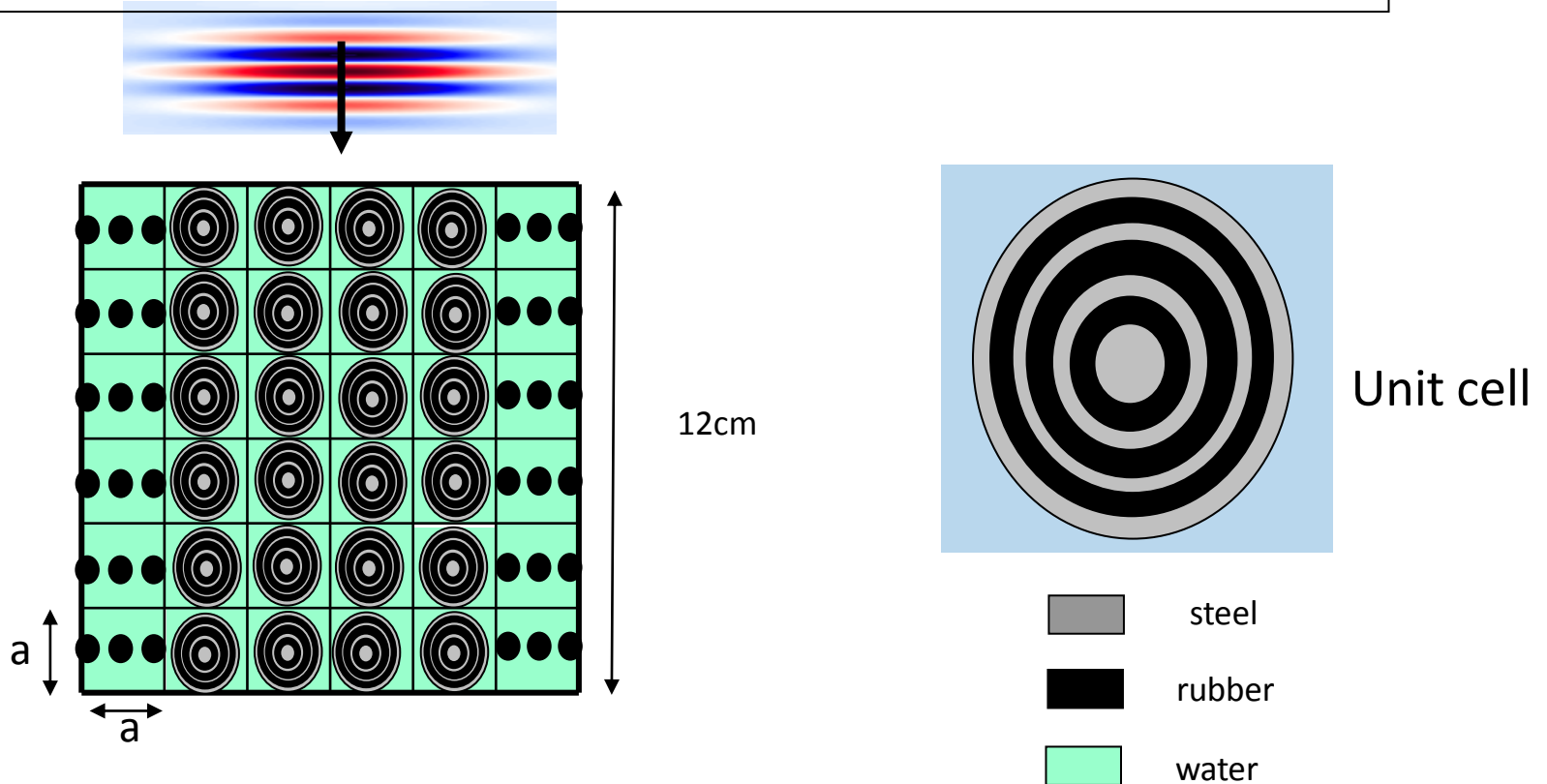
Coupled membrane resonators with double negativity

Decorated Membrane Resonators Simple and Double Negativity

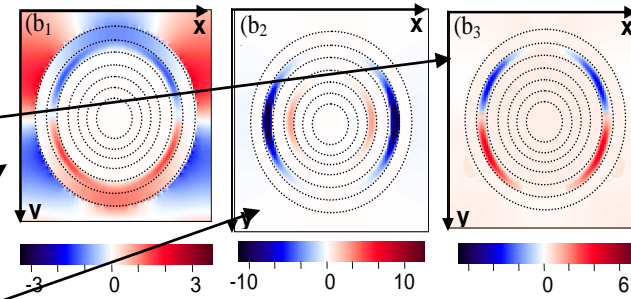
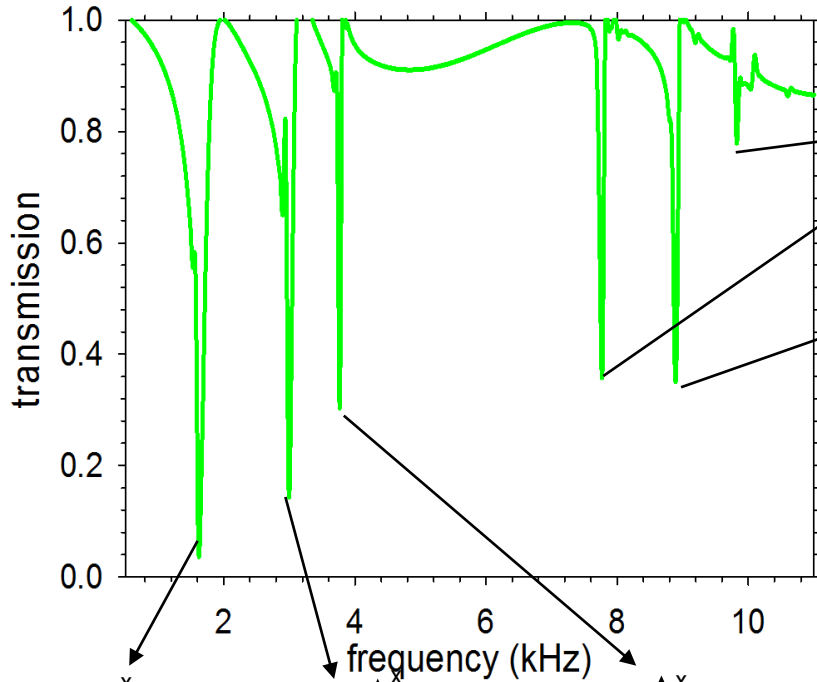


The dipolar and monopolar resonances are separately tunable

2D phononic crystal with multilayer inclusions made of alternate layers of steel and rubber immersed in water

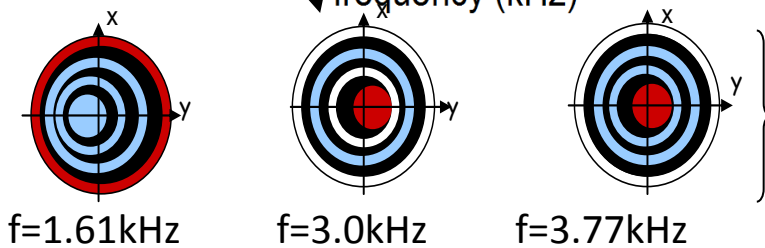


Locally resonant sonic materials and low frequency gaps





$f=7.8\text{kHz}$ $f=8.9\text{kHz}$ $f=9.8\text{kHz}$

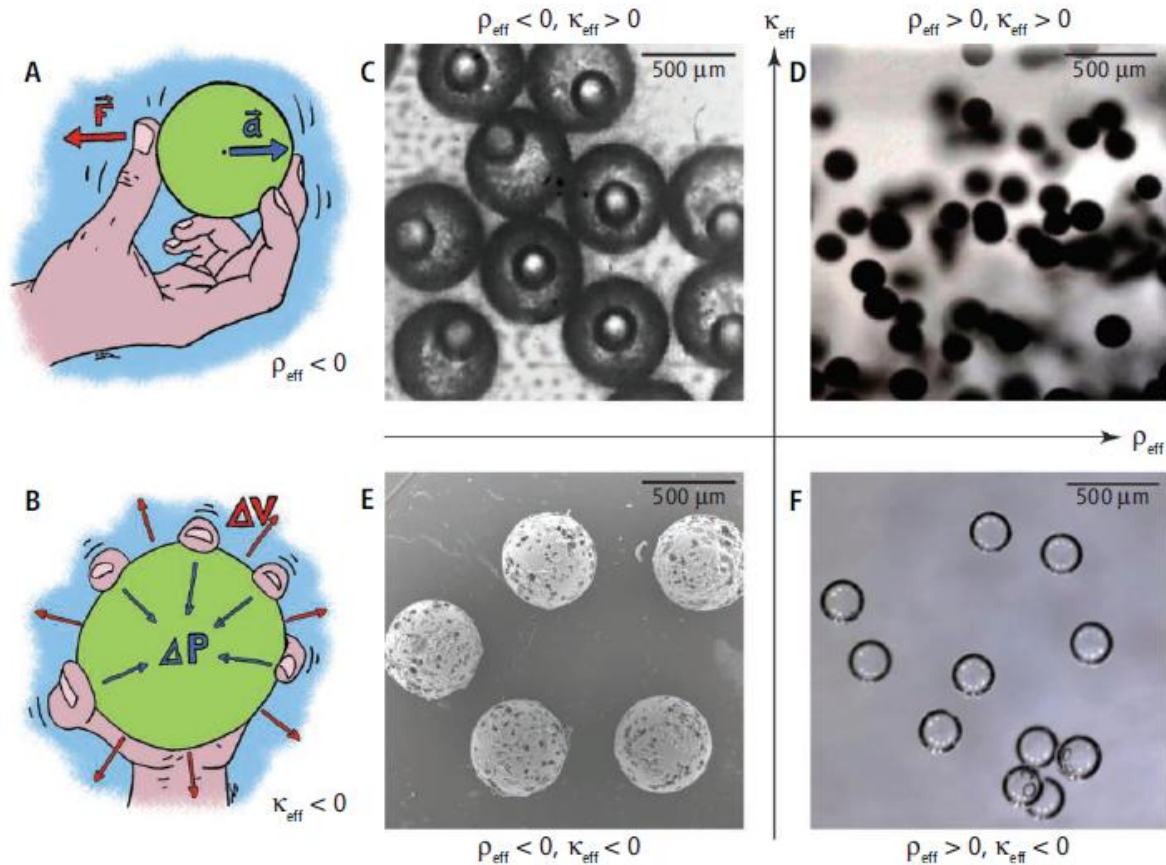
Localization inside the rubber shells



Motion without deformation of the rigid (steel) shells

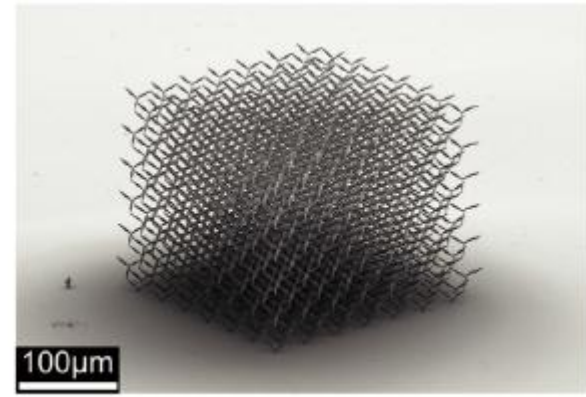
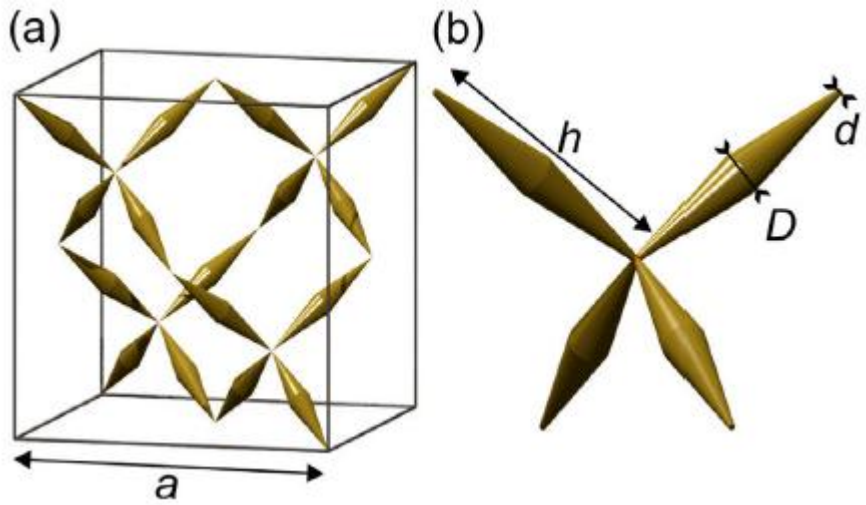
-  Displacement to the left
-  Displacement to the right

Classification of various locally resonant materials in terms of the sign of effective mass density and bulk modulus

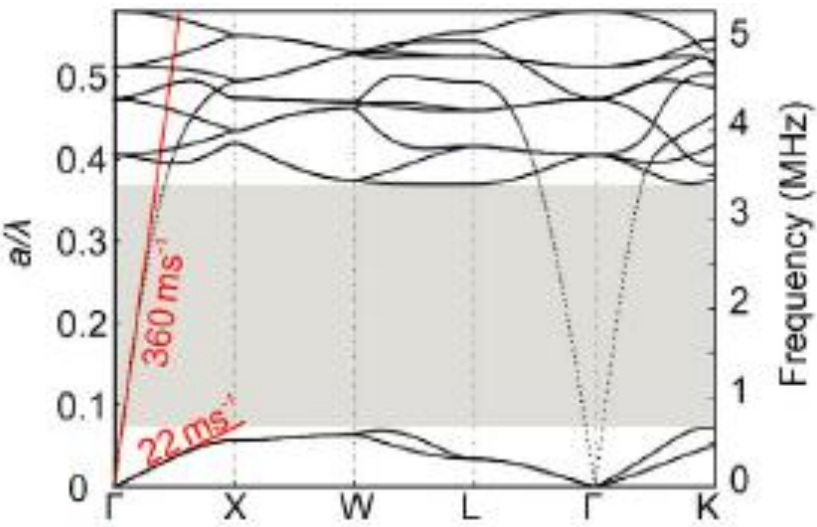


Features of acoustic metamaterials. (A and B) Schematic illustrations outline the dynamic behaviors of locally resonant materials with negative-valued effective parameters submitted to harmonic excitations. (A) The acceleration a of a material possessing a negative effective mass density ($\rho_{\text{eff}} < 0$) is opposite to the driving force F . (B) A material possessing a negative effective bulk modulus ($\kappa_{\text{eff}} < 0$) supports a volume expansion ($\Delta V > 0$) upon an isotropic compression ($\Delta P > 0$). (C to F) Classification of various locally resonant materials in the $(\rho_{\text{eff}}, \kappa_{\text{eff}})$ plane in terms of the sign of the effective mass density and the bulk modulus, made of various resonant inclusions: (C) core-shell particles, (D) "slow-oil" droplets, (E) polymer porous beads, and (F) air bubbles.

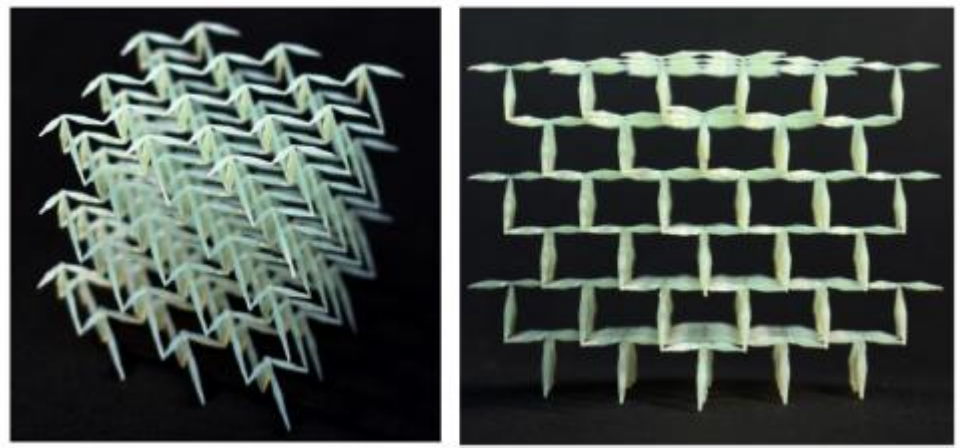
Pentamode metamaterials



Polymer based pentamode metamaterial

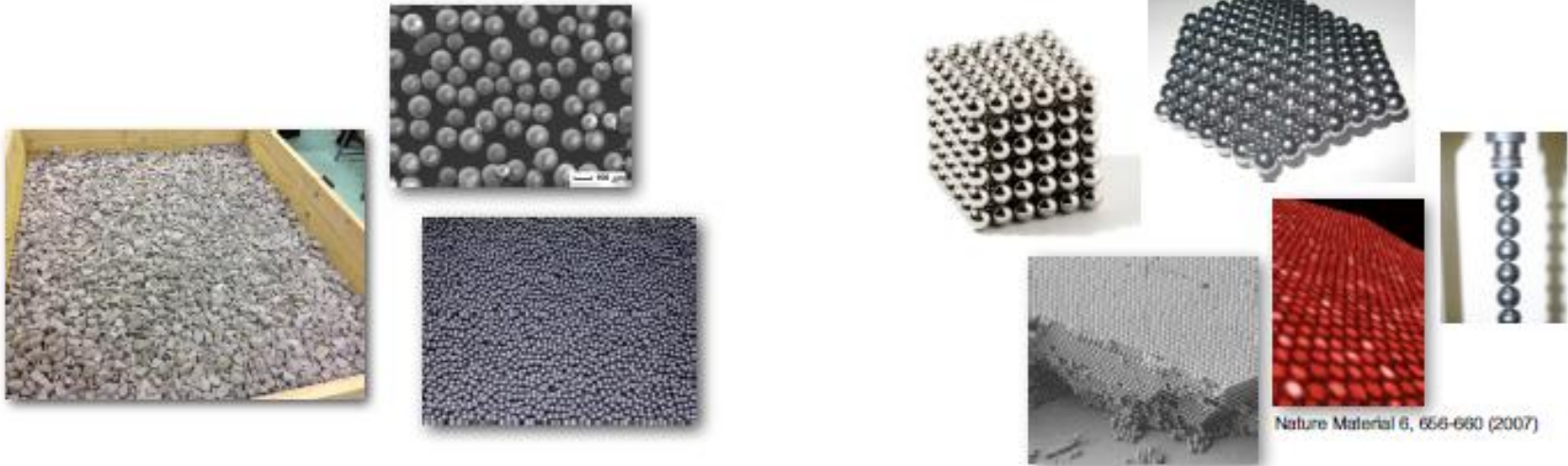


M. Kadic, T. Buckmann, N. Stenger, M. Thiel and M. Wegener, Appl. Phys. Lett. 100, 191901(2012)



Anisotropic version of pentamode metamaterial

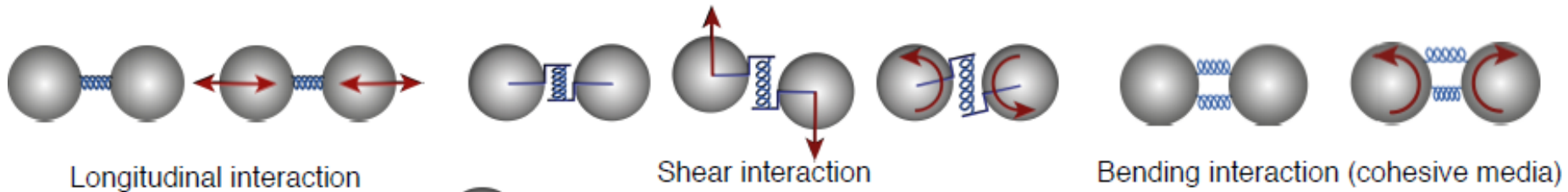
Elastic wave propagation in granular materials



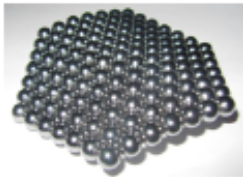
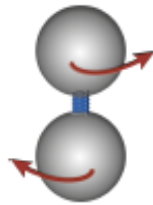
- ⇒ Granular avalanches, packing stability
- ⇒ Evaluation of powders, ballast
- ⇒ Energy transport in amorphous solids

- ⇒ Fundamental wave processes
- ⇒ Model configurations for experiments
- ⇒ Wave filtering, control, devices

Non central forces and contact nonlinearity



Torsional interaction

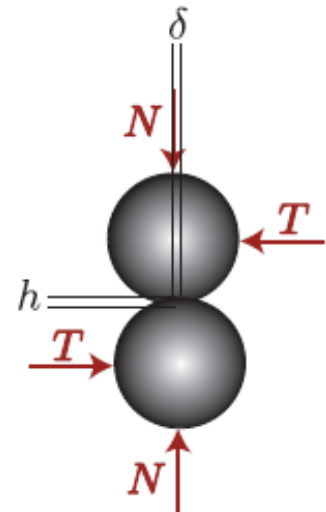


Nonlinear Hertz-Mindlin contact laws

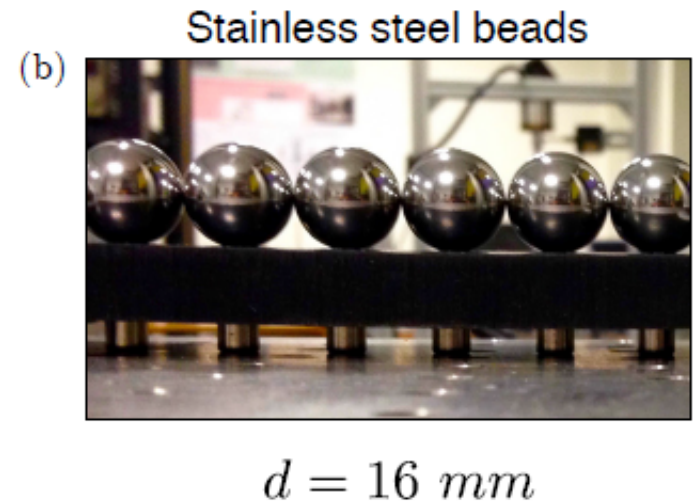
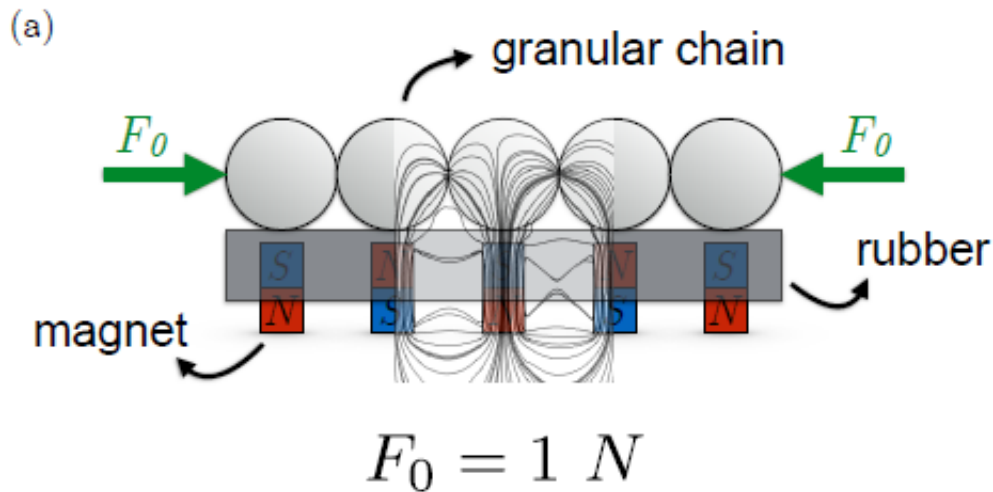
Normal interaction $h \propto N^{2/3}$

Shear interaction $\delta \propto N^{2/3} \left[1 - \left(1 - \frac{T}{\mu_f N} \right)^{2/3} \right]$

⇒ Can be linearized for a large static force compared to the dynamic one



Example of the 1D (free) granular chain

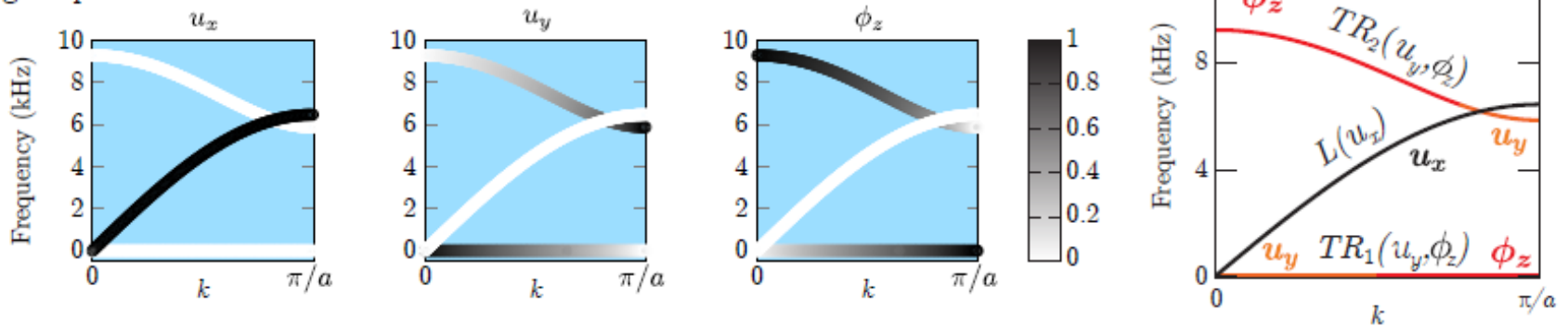


F. Allein, V. Tournat, V. Gusev, G. Theocharis, **Transversal-rotational and zero group velocity modes in tunable magneto-granular phononic crystals**, *Extreme Mechanics Letters* 12, 65-70 (2017).

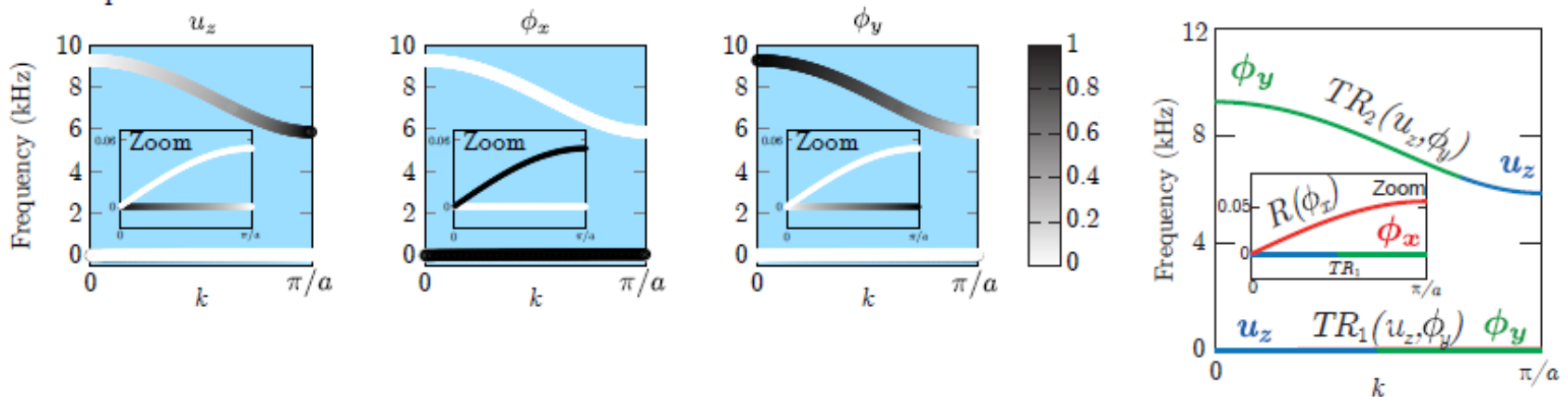
Courtesy of Vincent Tournat

Dispersion in the 1D free granular chain

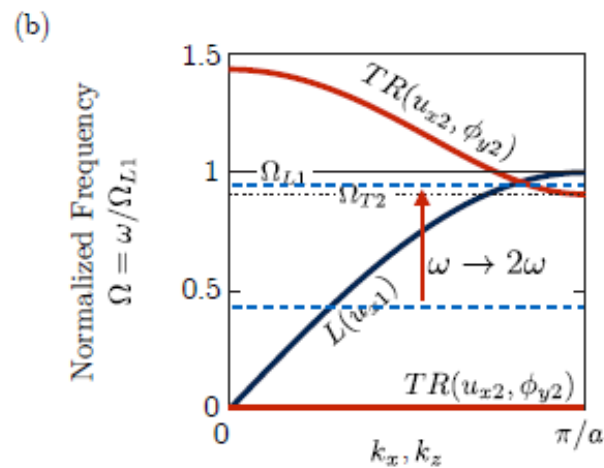
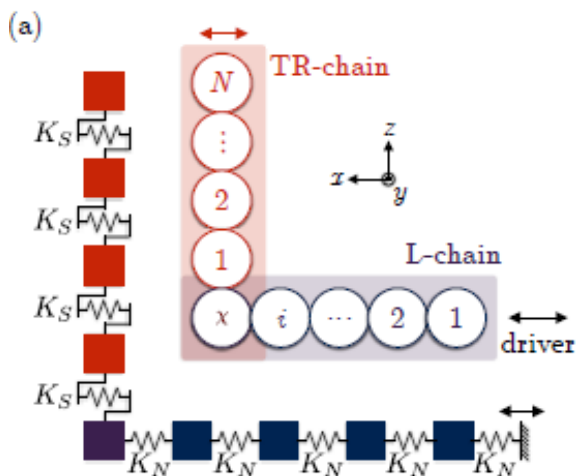
(a) Sagittal plane



(b) Horizontal plane

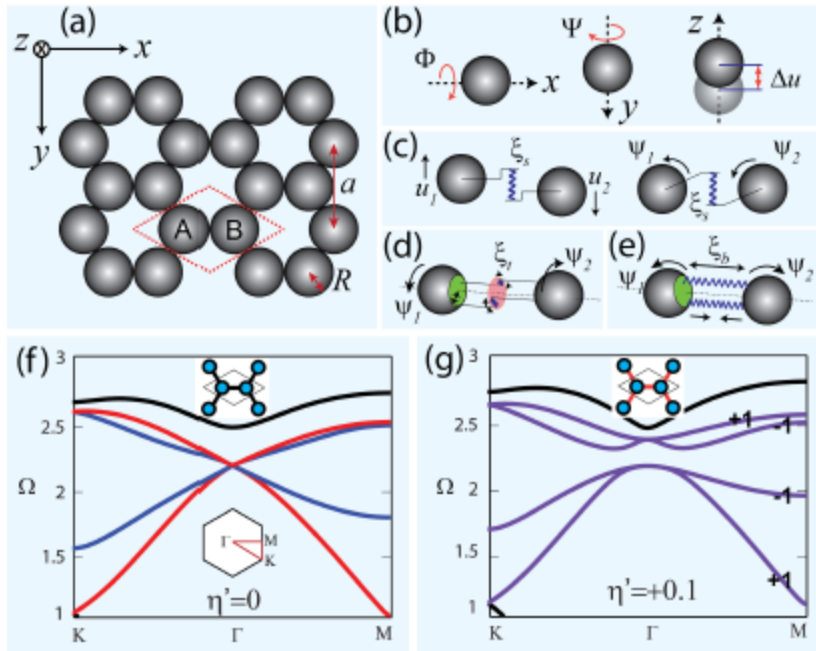




Frequency conversion via a L-shape granular device

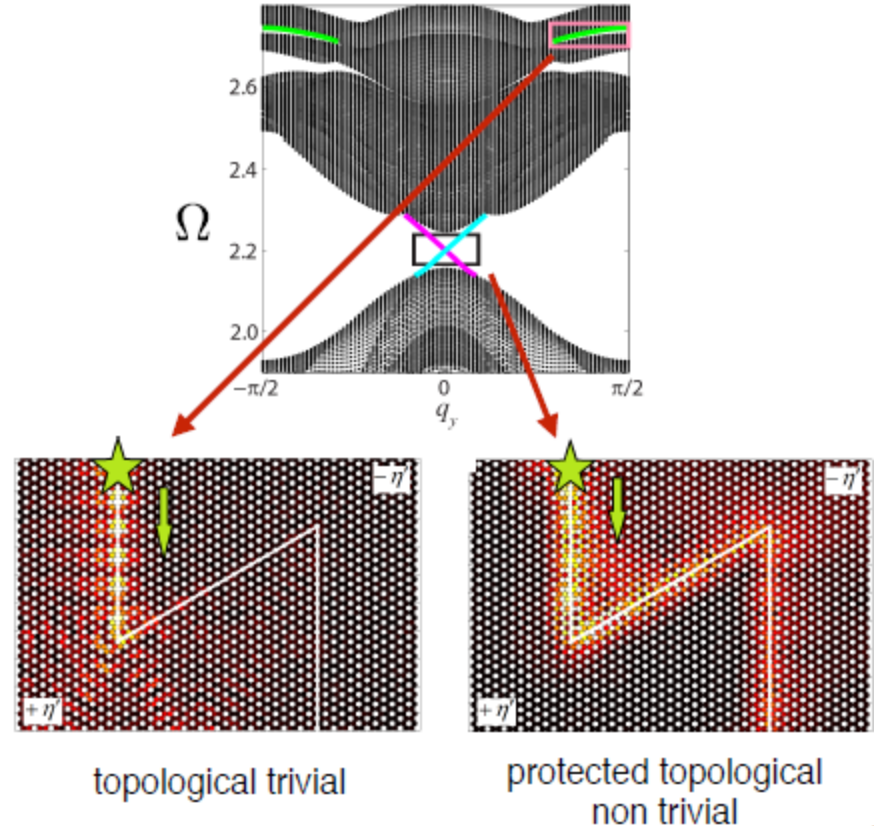


3 kHz \rightarrow 6 kHz
(second harmonic generation)

One-way topologically protected rotational edge waves



-  Kane-Mele Hamiltonian (Z2 topological insulator)
-  Effective spin-orbit coupling



L. Zheng, G. Theocharis, V. Tournat, V. Gusev, Topological rotational waves in granular graphene, submitted (2017).

1. Simple analytical models to introduce basic notions

- ▶ Band gaps and localized modes associated to defects
- ▶ Zeros of transmission and Fano resonances

2. One-dimensional (1D) multilayer structures

- ▶ Theoretical methods
- ▶ Dispersion curves, band gaps and localized modes
- ▶ Transmission coefficient: tunnelling (fast) transmission and resonant (slow) transmission

3. Two-dimensional (2D) Phononic crystals

- ▶ Theoretical methods
- ▶ Dispersion curves and complete band gaps (Bragg gaps and hybridization gaps)
- ▶ Local resonances and low frequency gaps
- ▶ Waveguide and cavity modes

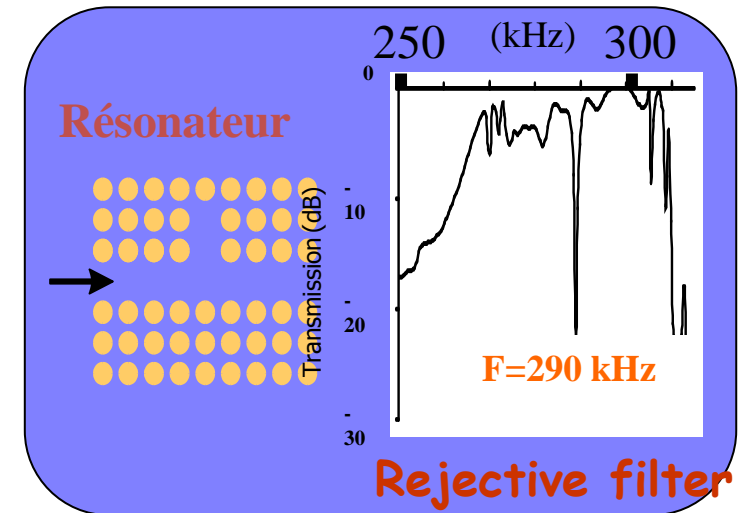
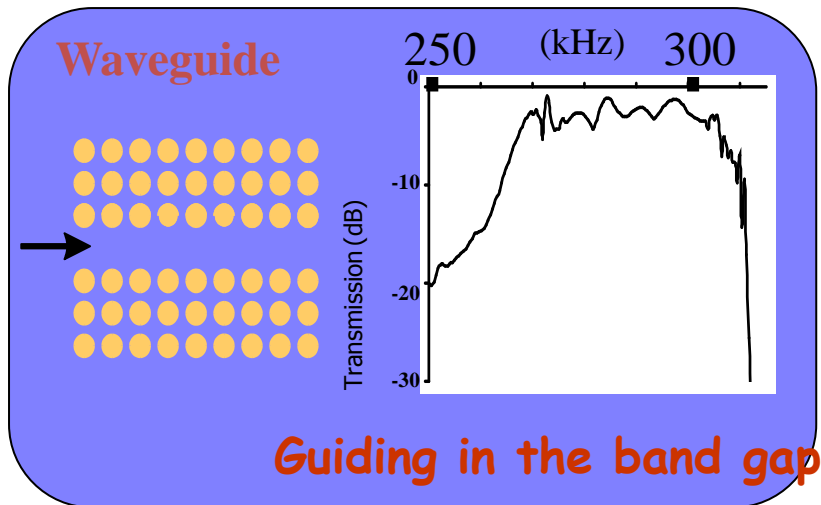
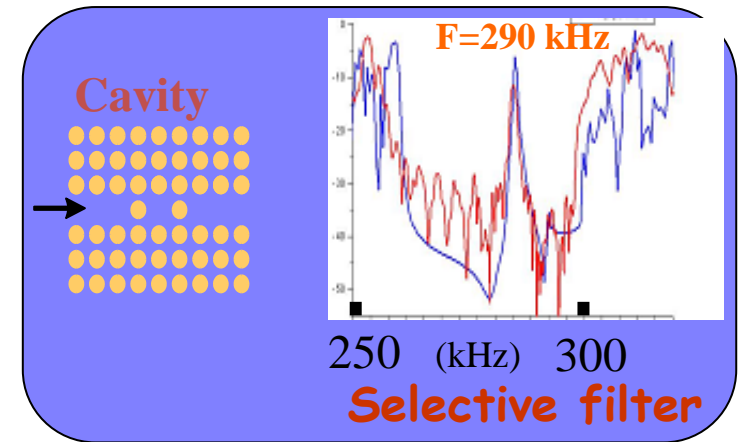
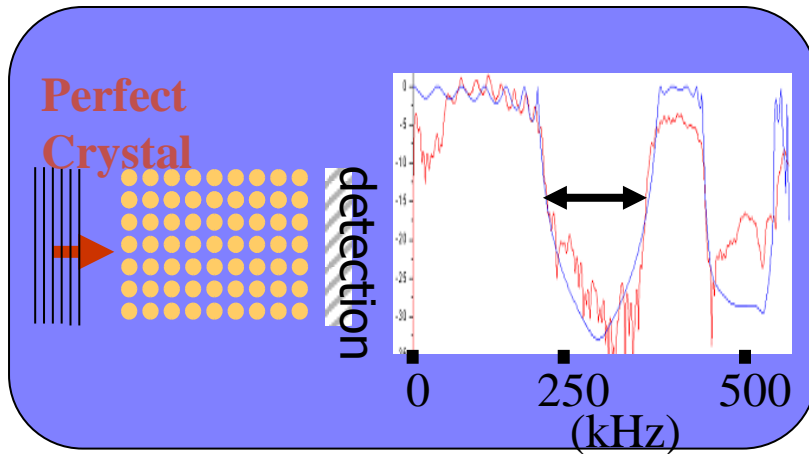
4. Phononic crystal slabs and nanobeams

- ▶ Array of holes in a Si membrane
- ▶ Array of pillars on a thin membrane
- ▶ Surface waves in semi-infinite phononic crystals
- ▶ Nanobeam waveguides

Defects in Phononic Crystals

Examples of steel rods in water

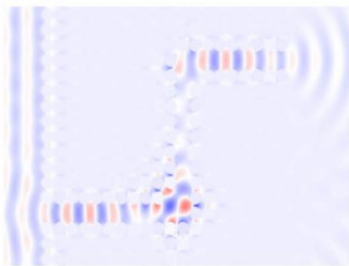
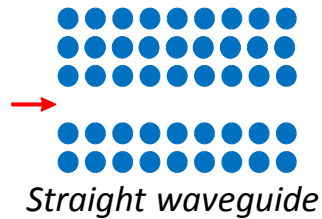
GUIDING



Summary on Defects in Phononic Crystals

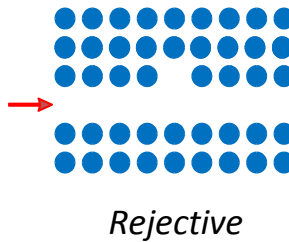
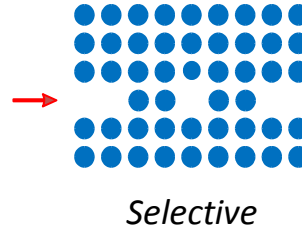
Object: Controlling and manipulating the sound

Guiding

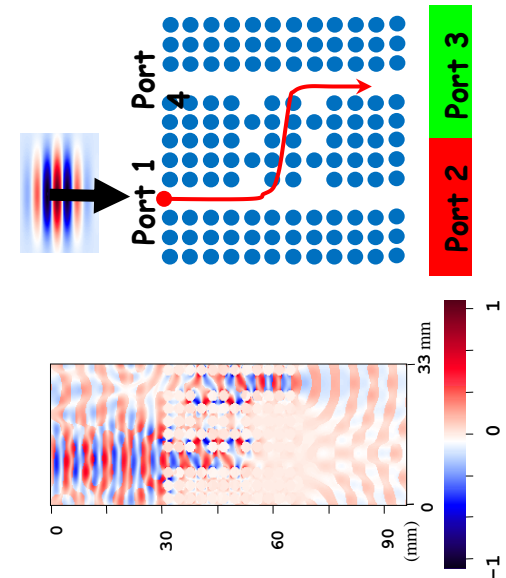


Bent waveguide

Filtering



Demultiplexing



At $f_0=290\text{kHz}$, the incident field is transferred from port 1 to port 3

-Y. Pennec et al., *Phys. Rev. E* 69, 046608 (2004)

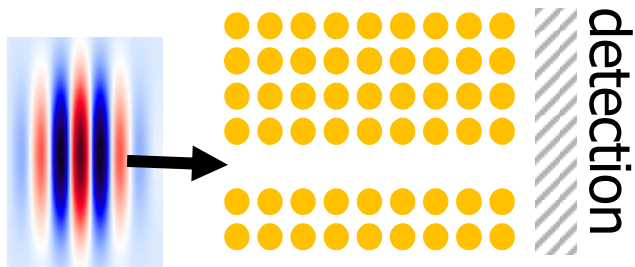
-J.O. Vasseur et al., *Zeitschrift Für Kristallographie* 220, 824 (2005)

-Y. Pennec et al, *Appl. Phys. Lett.* 87, 261012 (2005)

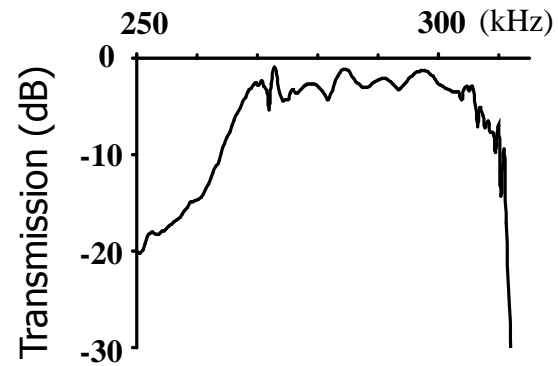
Guided Modes

Square array of steel
cylinders in water

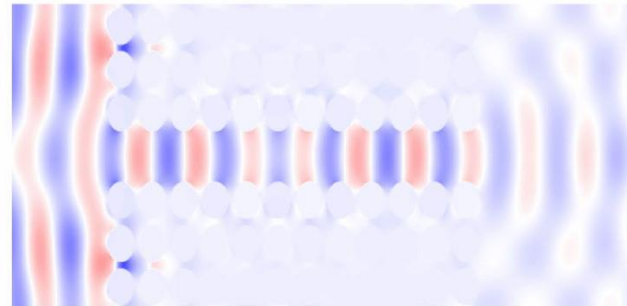
$a = 3 \text{ mm}$; $D = 2.5 \text{ mm}$



Band Gap = [250 310]kHz



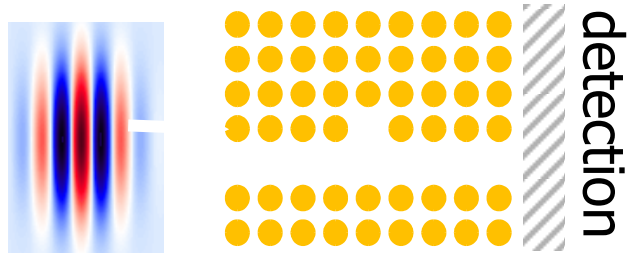
Exemple : $F=290 \text{ kHz}$
monochromatic
Source



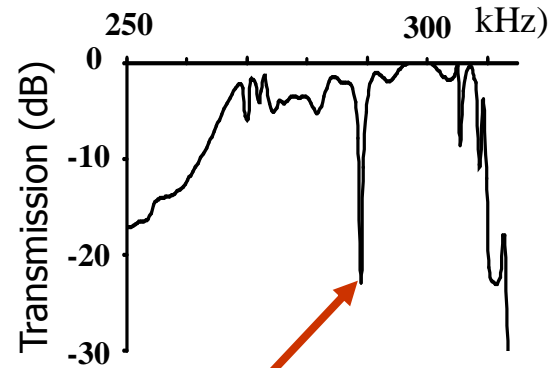
Waveguide coupled to a lateral stub

Square array of steel cylinders in water

$a = 3 \text{ mm}; D = 2.5 \text{ mm}$

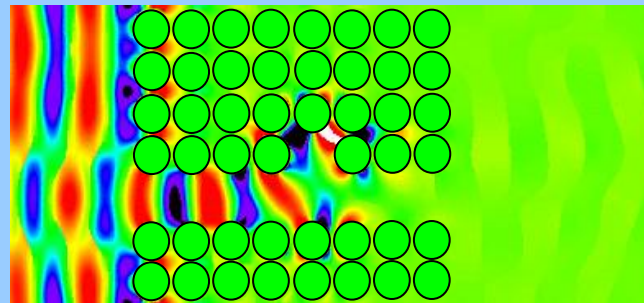


Band Gap = [250 310]kHz



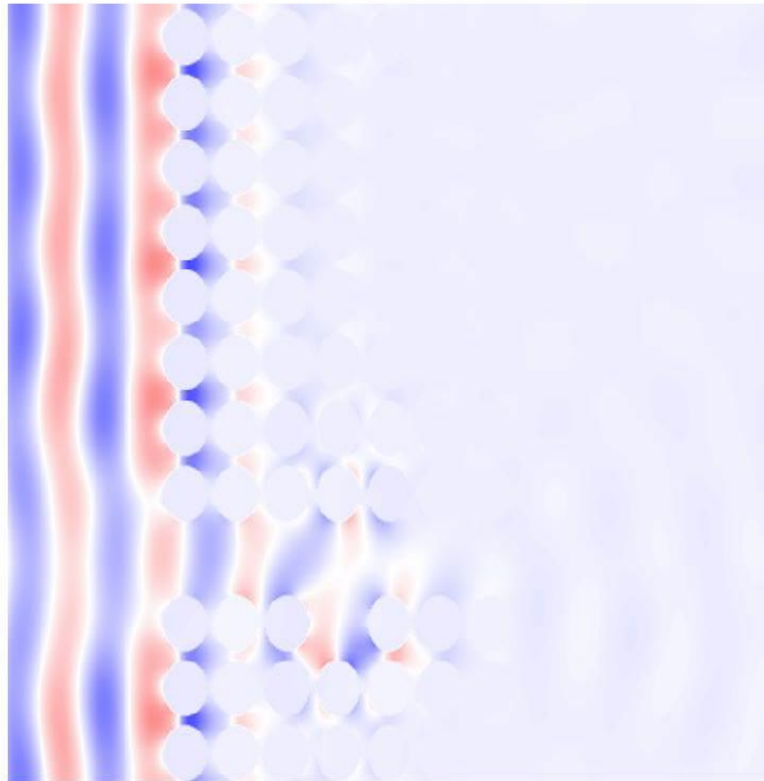
F=290 kHz : Zero of Transmission

Monochromatic Source at F=290kHz



Rejection Filter

Waveguiding and Filtering



Phase of the transmission coefficient

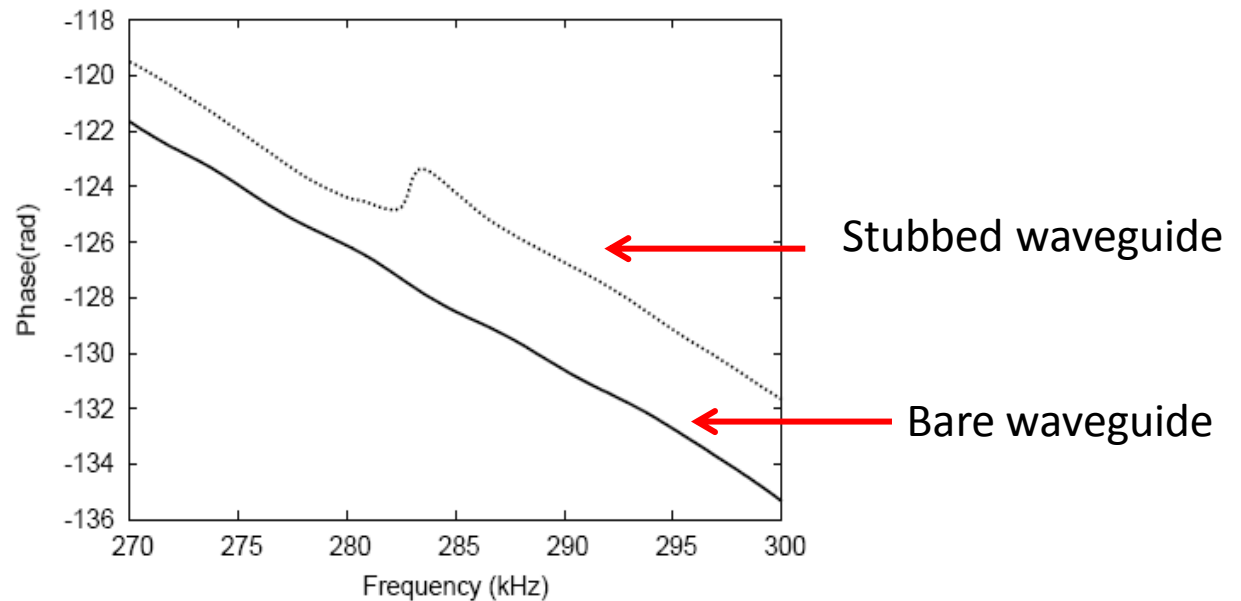
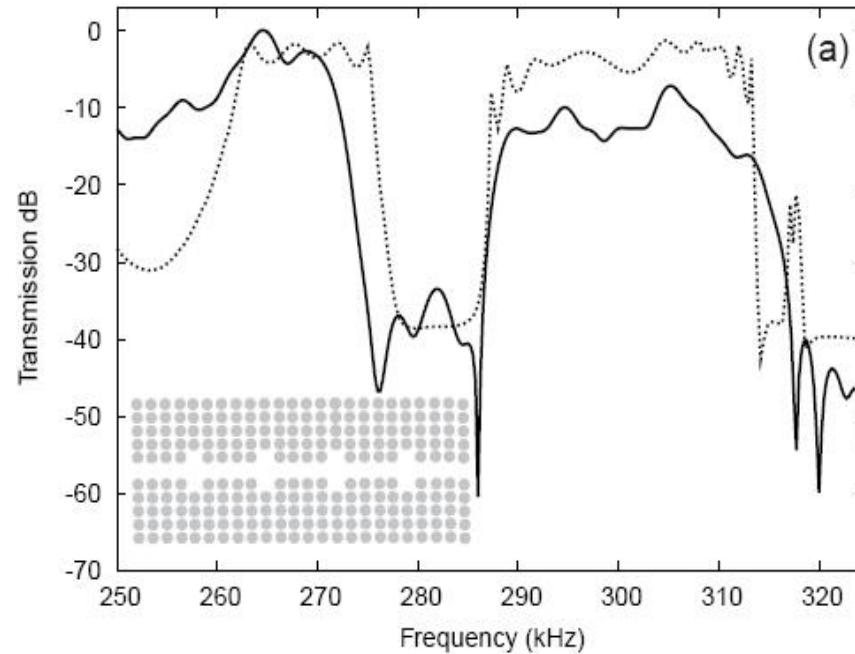
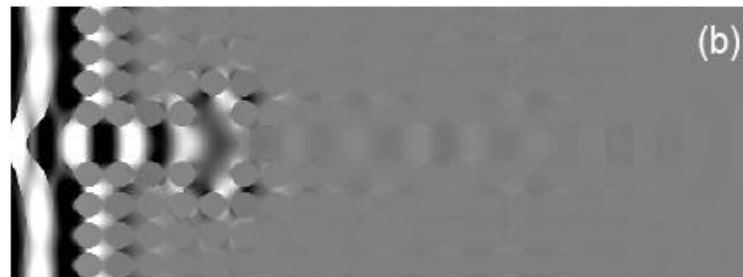


Fig. 3 – Experimental phase *vs.* frequency measurements for the bare waveguide (solid line) and for the guide with a grafted symmetrical stub (dashed line).

Waveguide coupled to a set of lateral stubs



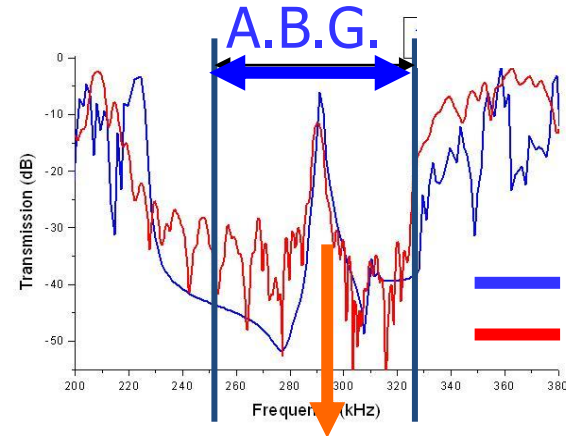
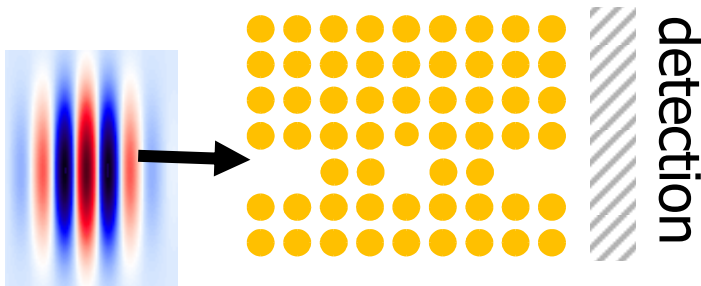
**Band Gap of the crystal:
[250 310]kHz**



A cavity inside a straight guide

Square array of steel cylinders in water

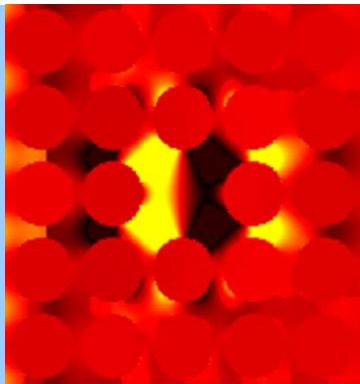
$a = 3 \text{ mm}$; $D = 2.5 \text{ mm}$



F=290 kHz : Selective Transmission

Selective Filter

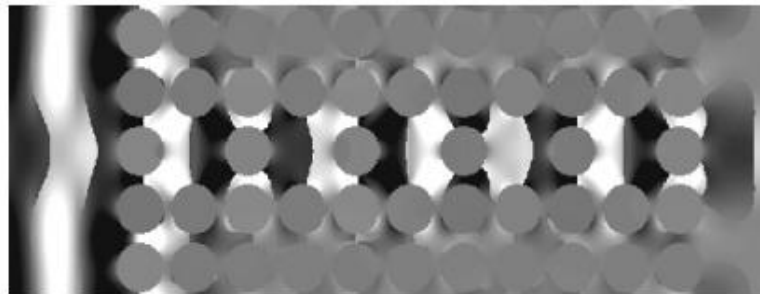
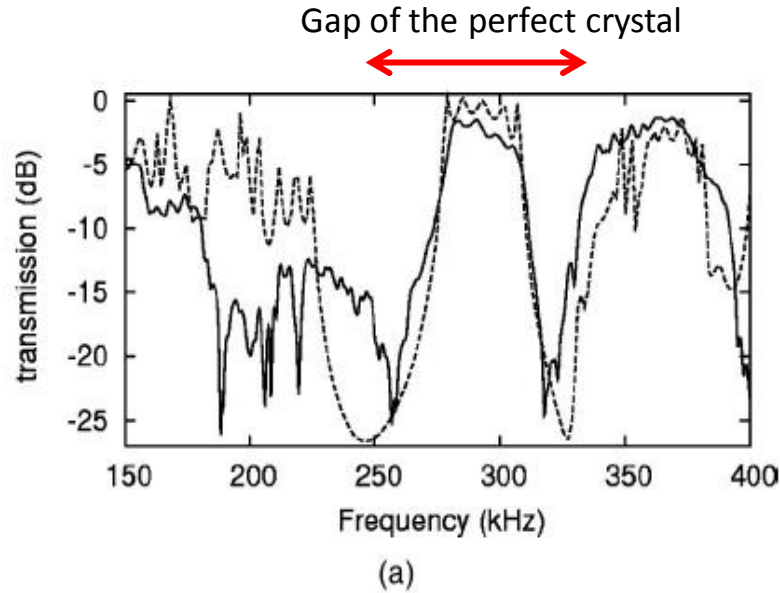
F=290 kHz



Map of the displacement field

Waveguiding based on the evanescent coupling of defect modes

Square array of steel cylinders in water
 $a=3\text{mm}$ $r=1.25\text{mm}$

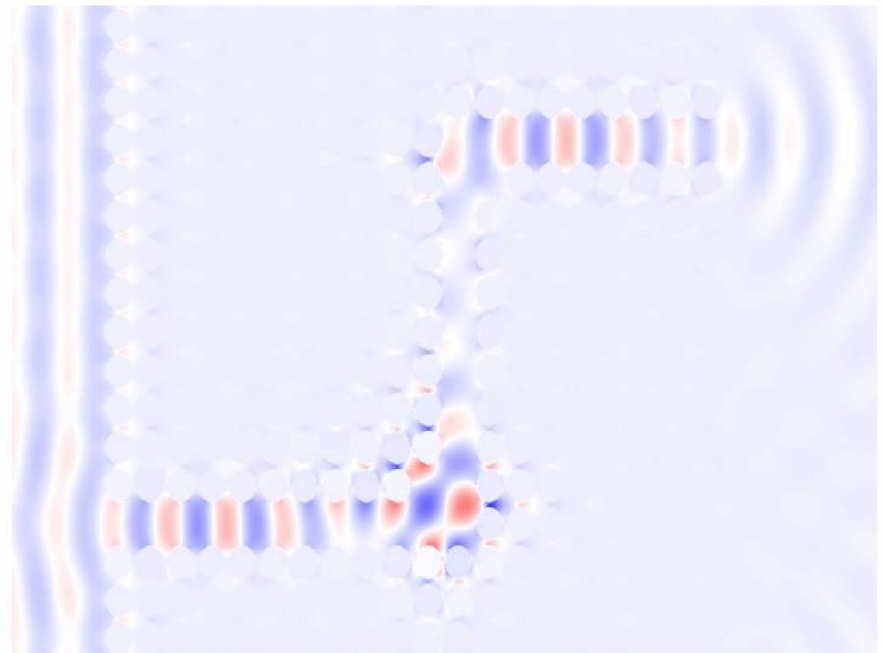
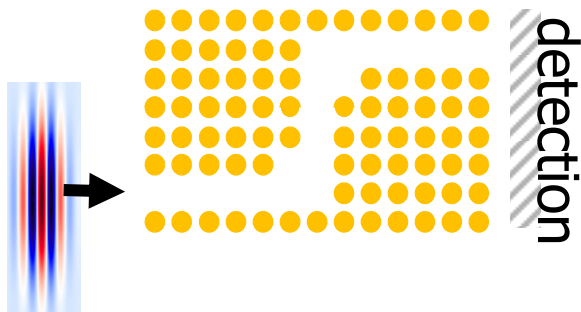


(b)

Bent Guide

$a = 3 \text{ mm}$; $D = 2.5 \text{ mm}$

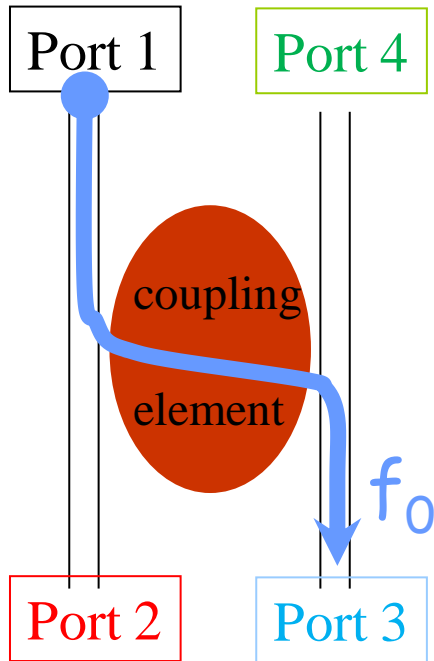
Square array of steel
cylinders in water



Demultiplexer

Demultiplexing

Principle

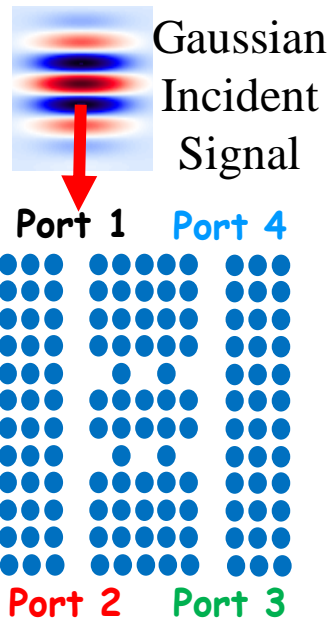


Two waveguides interacting through an appropriate coupling element

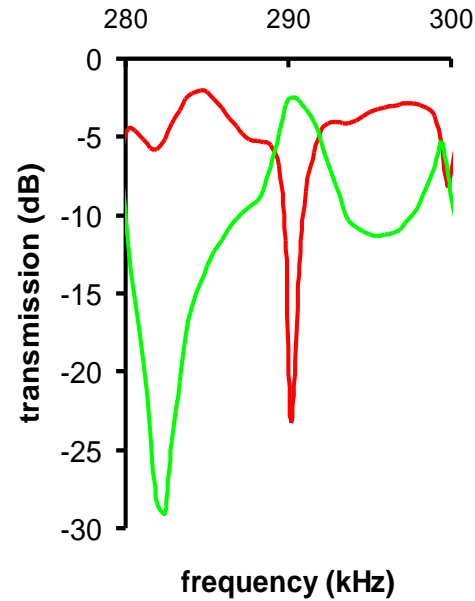
Transfer of one frequency f_0 one guide to the other, leaving all neighboring frequencies unaffected

Demultiplexer

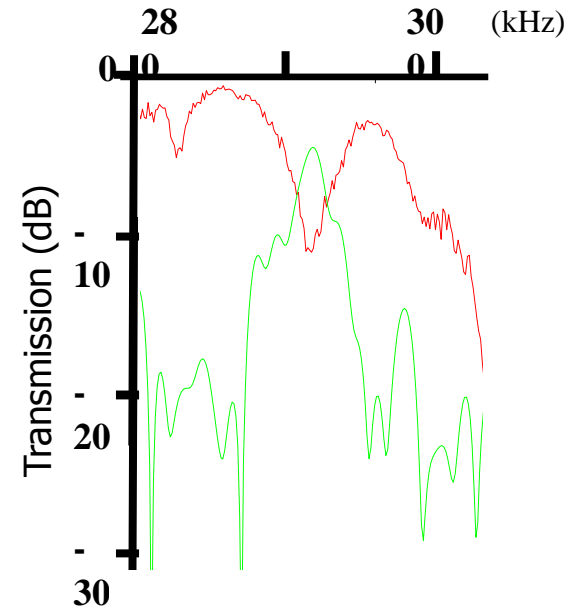
Demultiplexing



Theory

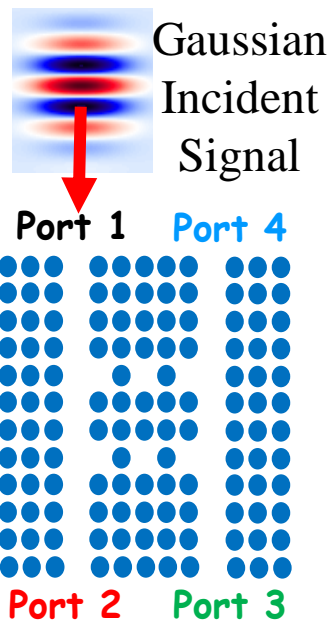


Experiment

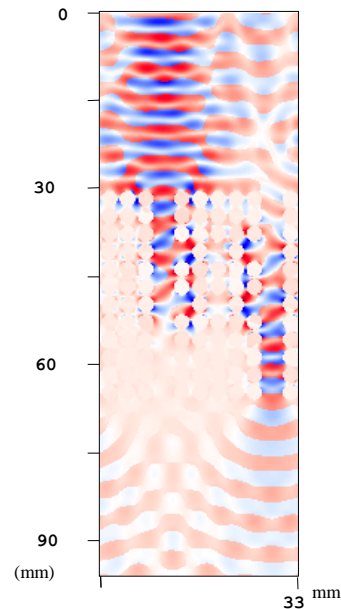


Demultiplexer

Demultiplexing



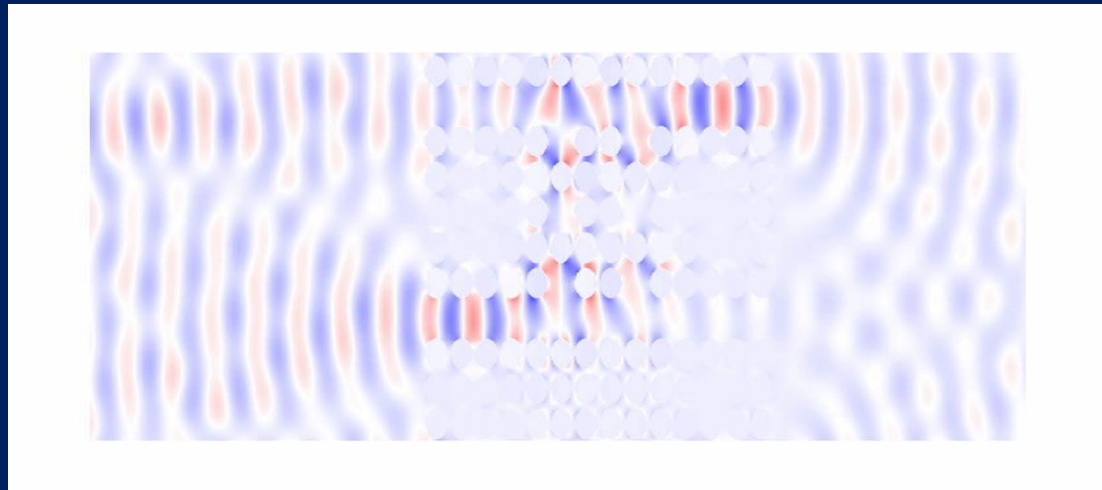
Displacement field



At $f_0=290\text{kHz}$, the incident field is transferred from port 1 to port 3

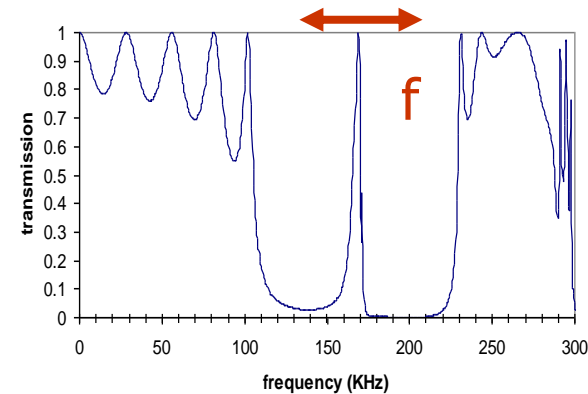
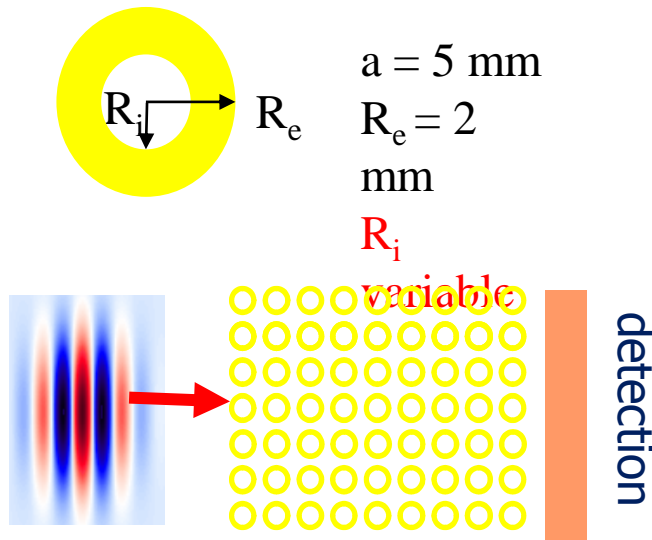
$f_0=290\text{kHz}$ corresponds to both the resonant mode of the stub and the cavity

Demultiplexing



Frequency filtering with hollow scatterers

Square array of steel cylinders in water

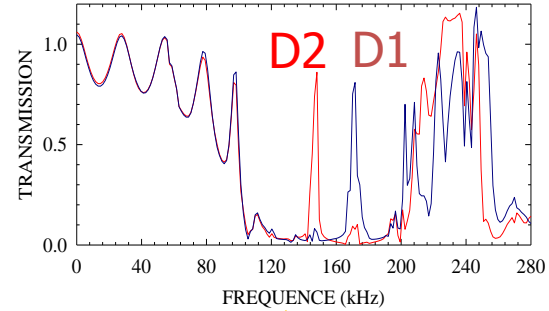
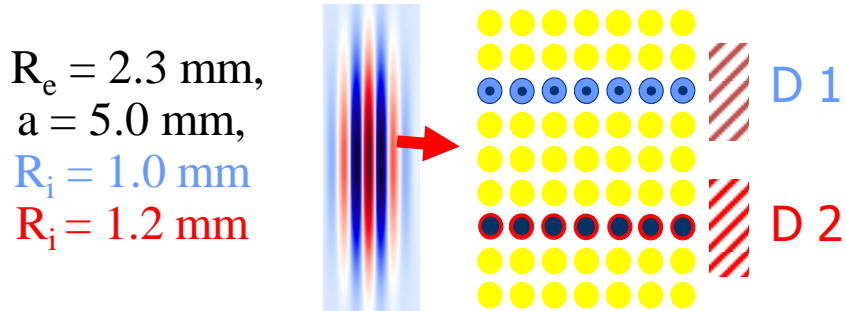


The frequency f is a function of the **internal radius R_i** and the **nature of the fluid inside and outside** the cylinders

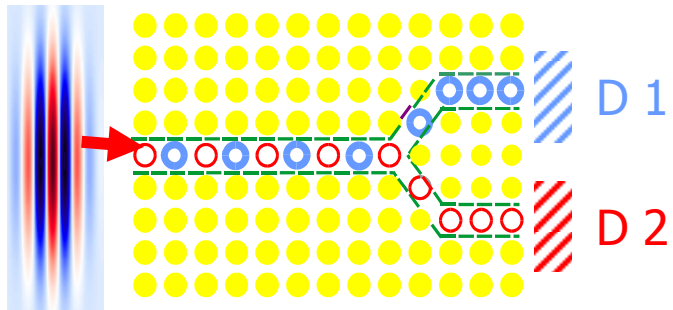
→ Tunable frequency filter

Demultiplexer

1) Two rows of cylinders with different internal radii



2) 'Y' shape waveguides

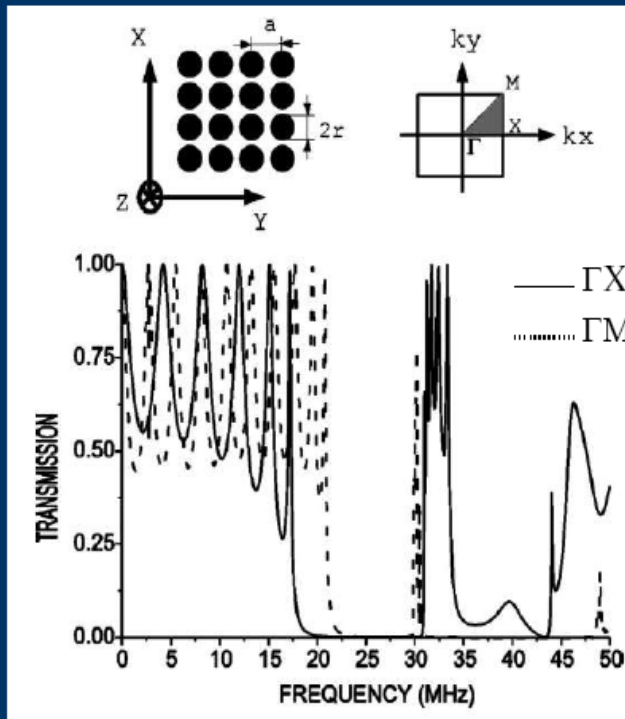


Selection of two different frequencies f_0 and f_1 at the detectors

Square array of air cylinders in silica

Localized defect elastic modes

$a=85\mu\text{m}$
 $r=36\mu\text{m}$



2D phononic crystal
 Computation by 2D-FDTD including
 shear and longitudinal waves

